Supplemental BSTEM Modeling Report Reflecting Operating Conditions in the Flows and Fish Passage Settlement Agreement

Northfield Mountain Pumped Storage Project (No. 2485) and Turners Falls Hydroelectric Project (No. 1889)

Prepared for:

FirstLight

Prepared by:

Gomez and Sullivan Engineers

Stantec

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2022 BL	2022 baseline operational scenario
2023 FFP Settlement	2023 Flow and Fish Passage Settlement Agreement
AFLA	Amended Final License Application
AMC	Appalachian Mountain Club
AMM	Adaptive Management Measure
AW	American Whitewater
BSTEM	Bank Stability and Toe Erosion Model
cfs	cubic feet per second
Commission	Federal Energy Regulatory Commission
EGL Slope	Energy gradeline slope
FERC	Federal Energy Regulatory Commission
GRH	Great River Hydro
HEC-RAS	Hydrologic Engineering Center River Analysis System
ILP	Integrated Licensing Process
ISO-NE	Independent System Operator New England
MDEP	Massachusetts Department of Environmental Protection
MDFW	Massachusetts Division of Fisheries and Wildlife
NE FLOW	New England FLOW
NGVD29	National Geodetic Vertical Datum of 1929
NMFS	National Marine Fisheries Service
Northfield Mountain Project	Northfield Mountain Pumped Storage Project (FERC No. 2485)
NPS	National Park Service
NRF	Naturally routed flow
Operations Model	HEC-ResSim Operations Model
ROR	Run-of-river
SPD	Study Plan Determination
Study No. 3.1.1	2013 Full River Reconnaissance
Study No. 3.1.2	Northfield Mountain / Turners Falls Operations Impacts on Existing Erosion and Potential Bank Instability
TFI	Turners Falls Impoundment
Turners Falls Project	Turners Falls Hydroelectric Project (FERC No. 1889)
USACE	U.S. Army Corps of Engineers
USFWS	U.S. Fish and Wildlife Service
USGS	U.S. Geological Survey
Vernon Project	Vernon Hydroelectric Project (FERC No. 1904)
WSEL	Water Surface Elevation

1 INTRODUCTION

The Turners Falls Hydroelectric Project (Turners Falls Project, FERC No. 1889) and Northfield Mountain Pumped Storage Project (Northfield Mountain Project, FERC No. 2485), collectively "the Projects", are located on the Connecticut River in the Commonwealth of Massachusetts. The Turners Falls Dam impoundment extends upstream into the States of New Hampshire and Vermont. FirstLight MA Hydro LLC is the owner of the Turners Falls Project, while Northfield Mountain LLC is the owner of the Northfield Mountain Project (collectively, "FirstLight"). The current licenses for the Turners Falls and Northfield Mountain Projects were issued on May 5, 1980, and May 14, 1968, respectively. Both licenses expired on April 30, 2018, and both projects are now operating under annual licenses. FirstLight is in the midst of relicensing the Projects with the Federal Energy Regulatory Commission (FERC or the Commission) utilizing FERC's Integrated Licensing Process (ILP).

In Scoping Document 2, FERC noted that the "…effects of Project induced water level fluctuations in the Turners Falls Impoundment (TFI), on shoreline stability and river bank erosion particularly where river bank erosion might impact protected plant species, critical wildlife habitat, adjacent structures, recreational use facilities, and/or private landowners within the Project boundary" should be analyzed as part of the licensing process. In response to this, FirstLight conducted Study No. 3.1.2 *Northfield Mountain / Turners Falls Operations Impacts on Existing Erosion and Potential Bank Instability* (Study No. 3.1.2). Study No. 3.1.2 evaluated and identified the causes of erosion, and the forces associated with them, in the TFI and determined to what extent they are related to existing Project operations. The study was conducted over the course of 2014-2016 in accordance with FERC's September 13, 2013, Study Plan Determination (SPD) and FirstLight's September 15, 2014, addendum to the Revised Study Plan. The final study report (Volumes I-III) was filed with the Commission on April 3, 2017 (FirstLight, 2017a). Study Addendum 1 was also filed with the Commission on that date (FirstLight, 2017b). The addendum evaluated the potential impact of having an expanded Northfield Mountain Upper Reservoir operating range on TFI erosion. The results of Study No. 3.1.2 found that existing Project operations are not a dominant cause of erosion anywhere in the TFI.

As detailed in FirstLight (2017a), Study No. 3.1.2 determined bank-erosion rates and the causes of erosion at 25 detailed study sites located throughout the TFI under existing operating conditions via state-of-the-science modeling and supplemental engineering analysis. The detailed study sites spanned the longitudinal extent of the TFI and were representative of the riverbank features, characteristics, and erosion conditions found throughout the study reach. The results of the modeling and analyses conducted at each study site were then extrapolated throughout the TFI such that each riverbank segment identified during the 2013 Full River Reconnaissance (Study No. 3.1.1) (FirstLight, 2014) had a dominant and, in some cases, contributing cause(s) of erosion assigned to it. For a cause to be considered dominant, it needed to have been responsible for at least 50% of the bank erosion at a site. For a cause to be considered contributing, it had to contribute to >5%, but less than 50%, of the erosion at the site. The complex hydrologic and hydraulic characteristics of the TFI were also evaluated in-depth and accounted for during this process.

Two primary models were used for Study No. 3.1.2 – (1) the U.S. Army Corps of Engineers (USACE) Hydrologic Engineering Center River Analysis System (HEC-RAS) model (hydraulic model), and (2) the Bank Stability and Toe Erosion Model (BSTEM). The hydraulic model was used to analyze hydraulics throughout the TFI. Input parameters to the hydraulic model were based on historic, empirical water surface elevation (WSEL) and flow data. BSTEM was used to analyze erosion and bank stability at each of the 25 detailed study sites. More specifically, BSTEM was used to execute a series of production runs examining various operating scenarios (i.e., Northfield Mountain On (baseline conditions), Northfield Mountain Off, and boat wake waves on/off) to determine the amounts and causes of erosion at each detailed study site under existing operating conditions. BSTEM required geotechnical, geomorphic, and hydraulic input parameters. Geotechnical and geomorphic parameters were based on field-collected data at each detailed

study site. Hydraulic input parameters (i.e., WSEL and energy gradeline slope (EGL slope)) were derived from the hydraulic model. Both the hydraulic model and BSTEM encompassed the period 2000-2014. The results of these efforts were presented in FirstLight (2017a).

Upon preparing the Amended Final License Application (AFLA), supplemental BSTEM modeling was conducted to directly compare baseline conditions and FirstLight's proposed AFLA operating regime to determine the impact, if any, of the AFLA operating regime on TFI bank erosion. This supplemental analysis was necessary because Study No. 3.1.2 only examined the impact of *existing* operations on TFI bank erosion under the current license whereas the AFLA analysis analyzed the potential impact of *future* operations on TFI bank erosion under a new license. The supplemental AFLA modeling used the same period of record as Study No. 3.1.2. The supplemental AFLA modeling also used the same methodology with the exception of how the hydraulic input parameters were determined. For Study No. 3.1.2, the hydraulic input parameters were based on historic empirical data whereas the hydraulic input parameters for the AFLA analysis were based on the HEC-ResSim Operations Model (operations model) for both the baseline condition and AFLA operating regime. Use of the operations model to determine hydraulic input parameters for both scenarios allowed for a direct comparison of the scenarios, which in turn allowed FirstLight to evaluate the impact, if any, of the AFLA operating regime on TFI bank erosion. In addition, under the AFLA scenario several assumptions were made as to how the upstream Vernon Hydroelectric Project (FERC No. 1904) (Vernon Project) would be operated given that the exact operating regime for the Vernon Project was unknown at that time. The results of the AFLA analysis were discussed in Geology and Soils Appendix A of FirstLight (2020). The analysis conducted in the AFLA has since been superseded by the analysis discussed herein.

Since completing the AFLA, there have been settlement discussions regarding Project operations and the timing/types of fish passage at the Projects. Such discussions culminated in the 2023 Flow and Fish Passage Settlement Agreement (2023 FFP Settlement), which was filed with FERC on March 31, 2023, and is included in Appendix A.[1] The 2023 FFP Settlement includes several operational changes that will affect flows and water levels throughout the TFI under a new license. Given this change in operation from the AFLA, additional BSTEM modeling was conducted to determine the impact, if any, of the 2023 FFP Settlement operating regime on TFI bank erosion.[2] Similar to the analysis conducted for the AFLA, the operations model was again used to simulate baseline conditions and the 2023 FFP Settlement operating regime (hereinafter referred to as "2023 FFP" or "2023 FFP Settlement") to allow for a direct comparison. Output parameters from the operations model (i.e., Vernon Project total discharge and WSEL at the Turners Falls Dam) were used as input parameters for the hydraulic model with output parameters from the hydraulic model (i.e., WSEL and EGL Slope) then used as hydraulic input parameters to BSTEM. A series of BSTEM production runs, utilizing the operations model-based hydraulic input data, were then executed to determine bank-erosion rates and the causes of erosion at each detailed study site under both scenarios. The 2023 BSTEM production runs utilized the same detailed study sites, geomorphic and geotechnical input parameters, and modeling period (i.e., 2000-2014) as that which was used for Study No. 3.1.2. The BSTEM results for the baseline condition and 2023 FFP scenarios were compared to determine the impact, if any, of the 2023 FFP operating regime on TFI bank erosion.

[1] Signatories to the 2023 FFP Settlement included: FirstLight, United States Fish and Wildlife Service, National Marine Fisheries Service, Massachusetts Division of Fisheries and Wildlife, The Nature Conservancy, American Whitewater, Appalachian Mountain Club, Crab Apple Whitewater, Inc, New England Flow and Zoar Outdoor (ZO).
[2] It should be noted that additional BSTEM modeling was also conducted in 2022 to analyze the potential impact of a Flows and Fish Passage Agreement-in-Principle (the "2022 AIP"). The results of the 2022 AIP modeling efforts were published in a report dated September 2022. That report has since been superseded by the enclosed report.

2 OPERATIONS & HYDRAULIC MODELING

As part of this analysis, both the operations and hydraulic models were utilized to determine various model input parameters such as flow, WSEL, and EGL slope. Detailed discussion pertaining to the operations and hydraulic models can be found in prior FirstLight documents (FirstLight, 2015), (FirstLight, 2016), (FirstLight, 2017), and (FirstLight, 2020). An overview of the operations and hydraulic modeling conducted specifically for this analysis is provided below.

2.1 HEC-ResSim Operations Model

Operations modeling for this analysis covered the same period as Study No. 3.1.2 (i.e., 2000-2014). Operations modeling consisted of two hourly model runs – (1) baseline conditions, and (2) the 2023 FFP.

Baseline Conditions

The baseline conditions model run consisted of:

- Historical flows recorded at the United States Geological Survey (USGS) gages on the Millers and Ashuelot Rivers, which discharge into the TFI;
- Great River Hydro's (GRH) Wilder, Bellows Falls and Vernon Hydroelectric Projects, located upstream of the Turners Falls Project, were modeled as run of river (ROR) projects since GRH agreed to these operations in a settlement agreement[3]; and
- The Northfield Mountain Project operated under its currently licensed operating conditions.

2023 FFP

The 2023 FFP Settlement model run consisted of:

- Historical flows recorded at the USGS gages on the Millers and Ashuelot Rivers;
- The upstream GRH projects modeled as ROR; and
- Various operational changes, which are described further below.

The 2023 FFP Settlement includes several operational changes at both the Turners Falls and Northfield Mountain Projects. Draft License Articles were developed for the Turners Falls and Northfield Mountain Projects, which are included below and were taken verbatim from the 2023 FFP Settlement. Note that the Draft License Articles are standalone articles, thus any footnotes are included directly below the article. At the end of each Draft License Article are notes in italics to provide further clarification of what measures in the article were simulated in the operations model. For example, some operating measures are not implemented until Year 3 of the license, or an operating measure could change pending future fish passage studies. In general, the operations model assumed the operating measures would be implemented in Year 1 and did not change in the future. In addition, emergency language exists whereupon an operating condition may be temporarily modified. No emergency conditions were simulated in the operations model.

[3] Inflow to the upstream GRH projects was based on historical stream gage data in the Connecticut River watershed. The inflow, reservoir operations, and outflows of the three upstream GRH projects were obtained from the operations model

Turners Falls Draft License Articles

Article A110. Minimum Flows below Turners Falls Dam

Upon license issuance, the Licensee shall discharge from the Turners Falls Dam or from the gate located on the power canal ("canal gate") just below the Turners Falls Dam the following seasonal minimum flows.

Date	Minimum Flows below Turners Falls Dam
01/01-03/31[1]	• If the Naturally Routed Flow (NRF- definition provided later in this article) is ≤400 cubic feet per second (cfs), the Minimum Flow below Turners Falls Dam shall be 400 cfs or the NRF, whichever is less. • If the NRF is > 400 cfs, the Minimum Flow below Turners Falls Dam shall be 400 cfs.
04/01-05/31	• If the NRF is ≤ 6,500 cfs, the Minimum Flow below Turners Falls Dam shall be 67% of the NRF. • If the NRF is > 6,500, the Minimum Flow below Turners Falls Dam shall be 4,290 cfs.
06/01-06/15[2,3]	• If the NRF is ≤ 4,500 cfs, the Minimum Flow below Turners Falls Dam shall be 67% of the NRF. • If the NRF is > 4,500 cfs, the Minimum Flow below Turners Falls Dam shall be 2,990 cfs.
06/16-06/30[3]	• If the NRF is ≤ 3,500 cfs, the Minimum Flow below Turners Falls Dam shall be 67% of the NRF. • If the NRF is > 3,500 cfs, the Minimum Flow below Turners Falls Dam shall be 2,280 cfs.
07/01-11/15[1]	• If the NRF is ≤ 500 cfs, the Minimum Flow below Turners Falls Dam shall be 500 cfs or the NRF, whichever is less. • If the NRF is > 500 cfs, the Minimum Flow below Turners Falls Dam shall be 500 cfs.
11/16-12/31[1]	• If the NRF is ≤ 400 cfs, the Minimum Flow below Turners Falls Dam shall be 400 cfs or the NRF, whichever is less. • If the NRF is > 400 cfs, the Minimum Flow below Turners Falls Dam shall be 400 cfs.

[1] From November 16 through March 31, the 400 cfs minimum flow below Turners Falls Dam will be provided from the canal gate, having a design maximum capacity of 400 cfs. The Licensee shall open the canal gate to its maximum opening and implement ice mitigation measures, if necessary, to maintain the maximum opening. The Licensee shall monitor canal gate operations to determine if supplemental measures, such as cable-heating the gate, are needed to maintain flows at or as close to 400 cfs as possible.

[2] One of the upstream fish passage adaptive management measures (AMMs) described in Article A330 calls for increasing the Total Minimum Bypass Flow below Station No. 1 (see Article A120) from June 1 to June 15 from 4,500 cfs to 6,500 cfs. If this AMM is enacted, and if the NRF is ≤ 6,500 cfs, the Minimum Flow below the Turners Falls Dam shall be 67% of the NRF, subject to the conditions in Article A330. If this AMM is enacted, and if the NRF is > 6,500 cfs, the Minimum Flow below the Turners Falls Dam shall be 4,290 cfs, subject to the conditions in Article A330.

[3] The magnitude of the Minimum Flow below Turners Falls Dam from June 1 to June 30 may be modified in the future pending fish passage effectiveness studies (see Article A330). If the Licensee conducts fish passage effectiveness studies, in consultation with the Massachusetts Division of Fisheries and Wildlife

(MDFW), National Marine Fisheries Service (NMFS), and United States Fish and Wildlife Service (USFWS) and determines that migratory fish are not delayed by passing a greater percentage of the Total Minimum Bypass below Station No. 1 (see Article A120) via Station No. 1 discharges, the Licensee may file for a license amendment to increase the Station No. 1 discharge upon written concurrence of MDFW, NMFS, and USFWS. Prior to filing for a license amendment with the Commission, the Licensee shall consult the Massachusetts Department of Environmental Protection (MDEP) and address any of its comments in the license amendment filing.

Definition of Naturally Routed Flow
From December 1 through June 30, the NRF is defined as the hourly sum of the discharges from 12 hours previous as reported by the: Vernon Hydroelectric Project (FERC No. 1904), Ashuelot River United States Geological Survey gauge (USGS, Gage No. 01161000), and Millers River USGS gauge (Gage No. 01166500).

From July 1 through November 30, the NRF is defined as the hourly sum of the discharges averaged from 1 to 12 hours previous as reported by the: Vernon Hydroelectric Project, Ashuelot River USGS gage, and Millers River USGS gage. Upon license issuance until 3 years thereafter, the Licensee shall operate the Turners Falls Project based on the NRF computational method from July 1 through November 30 to determine if the Turners Falls Project can be operated in this manner. If the Turners Falls Project cannot be operated in this manner, the Licensee shall consult MDFW, NMFS, and USFWS on alternative means of computing the NRF that are feasible for Turners Falls Project operation and sufficiently dampen upstream hydroelectric project flexible operations.

The Minimum Flow below Turners Falls Dam may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Minimum Flow below Turners Falls Dam is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Minimum Flow below Turners Falls Dam may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS and USFWS, and upon 5 days' notice to the Commission.

The potential change in the minimum flow as discussed above in footnote 2 was not simulated in the operations model.

Article A120. Total Minimum Bypass Flows below Station No. 1

Upon license issuance, the Licensee shall maintain the Total Minimum Bypass Flows below Station No. 1 as follows:

Date	Total Minimum Bypass Flows below Station No. 1[1]
01/01-03/31	• If the NRF is ≤ 400 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 400 cfs, or the NRF, whichever is less. • If the NRF is > 400 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 1,500 cfs, or the NRF, whichever is less.
04/01-05/31	• If the NRF is ≤ 6,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF. • If the NRF is > 6,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 6,500 cfs.
06/01-06/15[2,4]	• If the NRF is ≤ 4,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF.

Date	Total Minimum Bypass Flows below Station No. 1[1]
	• If the NRF is > 4,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 4,500 cfs.
06/16-06/30[4]	• If the NRF is ≤ 3,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF. • If the NRF is > 3,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 3,500 cfs.
07/01-08/31[3]	• If the NRF is ≤ 500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 500 cfs, or the NRF, whichever is less. • If the NRF is > 500 cfs and ≤ 1,800 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF or 90% of the NRF. • If the NRF is > 1,800 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,800 cfs, or 90% of the NRF, whichever is less.
09/01-11/15[3]	• If the NRF is ≤ 500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 500 cfs, or the NRF, whichever is less. • If the NRF is > 500 cfs and ≤ 1,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF, or 90% of the NRF. • If the NRF is > 1,500 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,500 cfs, or 90% of the NRF, whichever is less.
11/16-12/31[3]	• If the NRF is < 400 cfs, then the Total Minimum Bypass Flow below Station No. 1 shall be 400 cfs, or the NRF, whichever is less. • If the NRF is > 400 cfs and ≤ 1,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF or 90% of the NRF. • If the NRF is > 1,500 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,500 cfs, or 90% of the NRF, whichever is less.

[1] From license issuance until 3 years thereafter, Station No. 1 will not be automated. During those 3 years, if Station No. 1 is the only source, other than the Fall River, Turners Falls Hydro, LLC, or Milton Hilton, LLC to provide the additional flow needed to meet the Total Minimum Bypass Flow below Station No. 1, the Licensee shall maintain the Station No. 1 discharge such that the Turners Falls Dam Minimum Flow will be as shown in Article A110, or higher flows, in cases where the additional flow cannot be passed through Station No. 1.

[2] One of the upstream fish passage adaptive management measures (AMMs) described in Article A330 calls for increasing the Total Minimum Bypass Flow below Station No. 1 from June 1 to June 15 from 4,500 cfs to 6,500 cfs. If this AMM is enacted, and if the NRF is ≤ 6,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF, subject to the conditions in Article A330. If this AMM is enacted, and the NRF > 6,500 cfs, the Total Minimum Bypass Flow below Station No. 1 is 6,500 cfs, subject to the conditions in Article A330.

[3] From July 1 to August 31, when the NRF is greater than 1,800 cfs, the Total Minimum Bypass Flow below Station No.1 shall be 1,800 or 90% of the NRF, whichever is less. From September 1 to December 31, when the NRF is greater than 1,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 1,500 cfs or 90% of the NRF, whichever is less. From July 1 to December 31, if the Total Minimum Bypass Flow below Station No. 1 shall be reduced by 10%, it will not be taken from the Turners Falls Dam Minimum Flow (Article 110).

[4] The amount of flow needed from Station No. 1 from June 1 to June 30 may be modified in the future pending fish passage effectiveness studies. If the Licensee conducts fish passage effectiveness studies, in

consultation with the MDFW, NMFS, and USFWS and determines that migratory fish are not delayed by passing a greater percentage of the Total Minimum Bypass Flow below Station No. 1 via Station No. 1 discharge, the Licensee may file for a license amendment to increase the magnitude of Station No. 1 discharge upon written concurrence of MDFW, NMFS, and USFWS. Prior to filing for a license amendment with the Commission, the Licensee shall consult AW, AMC, CAW, MDEP, NEF and ZO and address any comments of those entities in the license amendment filing.

If the Station No. 1 units are used to maintain the Total Minimum Bypass Flow below Station No. 1, and if some or all of the Station No. 1 units become inoperable, the balance of the flow needed to maintain the Total Bypass flow below Station No. 1 will be provided from either the Turners Falls Dam Minimum Flow (dam or canal gate), Fall River, Turners Falls Hydro, LLC or Milton Hilton, LLC.

The Total Minimum Bypass Flow below Station No. 1 may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Total Minimum Bypass Flow below Station No. 1 is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The total bypass flow below Station No. 1 may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

It was assumed for modeling purposes that Station No. 1 was automated in Year 1. Also, the potential change in the minimum flow discussed above in footnote 2 was not simulated in the operations model.

Article A130. Minimum Flows below Cabot Station

Upon license issuance, the Licensee shall maintain Minimum Flows below Cabot Station, or the NRF, whichever is less, as follows.

Date	Minimum Flow below Cabot Station
01/01-03/31	3,800 cfs or the NRF, whichever is less
04/01-05/31	8,800 cfs from midnight to 7:00 pm or the NRF, whichever is less and 6,500 cfs from 7:00 pm to midnight or the NRF, whichever is less.
06/01-06/15	6,800 cfs or the NRF, whichever is less
06/16-06/30	5,800 cfs or the NRF, whichever is less
07/01-08/31[1]	1,800 cfs or 90% of the NRF, whichever is less
09/01-11/15[1]	1,500 cfs or 90% of the NRF, whichever is less
11/16-11/30[1]	1,500 cfs or 90% of the NRF, whichever is less
12/01-12/31	3,800 cfs or NRF, whichever is less

[1] From July 1 to November 30, the Minimum Flow below Cabot Station is 1,800 (07/01-08/31) and 1,500 cfs (09/01-11/30) or 90% of the NRF, whichever is less. If the Minimum Flow below Cabot Station is reduced by 10% during these periods, it will not be taken from the Turners Falls Dam Minimum Flow (Article A110).

The Minimum Flow below Cabot Station may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Minimum Flow below Cabot Station is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Minimum Flow below Cabot Station may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS and USFWS, and upon 5 days' notice to the Commission.

The operating conditions above were simulated in the operations model.

Article A140. Cabot Station Ramping Rates

Upon license issuance until 3 years after license issuance, the Licensee shall ramp Cabot Station as follows.

Date	Cabot Station Ramping Rates[1]
04/01-06/30	Up and Down Ramping at a rate of 2,300 cfs/hour
07/01-08/15	Up Ramping at a rate of 2,300 cfs/hour from 8:00 am to 2:00 pm

Three years after license issuance, the Licensee shall ramp Cabot Station as follows.

Date	Cabot Station Ramping Rate[1]
04/01-06/30	Up and Down Ramping at a rate of 2,300 cfs/hour

[1] If the NRF is greater than the sum of the hydraulic capacity of Cabot Station and Station No. 1 and the Minimum Flow below Turners Falls Dam in effect at the time, the Cabot Station up-ramping rates will not apply.

The Cabot Station Ramping Rates above will take precedence over the Flow Stabilization below Cabot Station (Article A160).

The Cabot Station Ramping Rates may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Cabot Station Ramping Rates are so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Cabot Station Ramping Rate may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

The interim ramping rates from license issuance until Year 3 were not simulated in the operations model. Instead it was assumed the ramping rates after Year 3 were implemented in Year 1 in the operations model.

Article A150. Variable Releases from Turners Falls Dam and Variable Flow below Station No. 1

For recreation and ecological conservation purposes, upon license issuance, the Licensee shall provide variable releases from the Turners Falls Dam and a variable flow below Station No. 1 as shown below.

Variable Releases from Turners Falls Dam

Magnitude of Variable Release from Turners Falls Dam	[1]4,000 cfs, or the NRF, whichever is less
Dates when Variable Releases may occur	[2]July 1 through October 31
[3]Total No. of 2-day events	5 events for a total of 10 Variable Releases, but could potentially be 11 Variable Releases subject to footnote 3
Days of Variable Release for 2 day-events	Saturday and Sunday- must be two consecutive days
Hours of Variable Release	10:00 am to 2:00 pm, 4 hrs/day, Saturday and Sunday

Magnitude of Variable Release from Turners Falls Dam from Saturday at 2:00 pm to Sunday at 10:00 am.	See footnote 4
[5]Up-Ramping Rates at Start of Variable Release	See footnote 5
[6]Down-Ramping Rates at End of Variable Release	See footnote 6

[1] If the NRF< 2,500 cfs during the scheduled variable release (see footnote 2 below relative to scheduling variable releases), there will be no variable release and it will not be rescheduled.

[2] The Licensee shall consult American Whitewater (AW), Appalachian Mountain Club (AMC), commercial outfitters, MDEP, MDFW, National Park Service (NPS), New England FLOW (NE FLOW), and USFWS no later than March 1 annually over the license term to develop a mutually agreeable schedule for the variable releases. When developing the schedule, there will be at least one weekend per month, between July 1 and October 31, when no variable releases are provided.

[3] The Licensee conducts annual canal drawdowns for maintenance purposes resulting in the NRF being passed at the Turners Falls Dam. If the canal drawdown occurs between July 1 and October 31 and the NRF is being passed either on Saturday from 10:00 am- 2:00 pm or Sunday from 10:00 am-2:00 pm, the total number of releases at the Turners Falls Dam shall remain at 10 releases. However, if the canal drawdown does not occur between July 1 and October 31 on Saturday from 10:00 am-2:00 pm or Sunday from 10:00 am-2:00 pm, the Licensee shall provide an additional consecutive day of variable release such that one of the 2-day events is a 3-day consecutive event resulting in a total of 11 releases. The additional day shall either be Friday from 10:00 am-2:00 pm before the scheduled weekend variable release or Monday from 10:00 am-2:00 pm after the scheduled weekend variable release. If there ends up being one 3-day event, the magnitude of release from Friday at 2:00 pm to Saturday at 10:00 am (or Sunday at 2:00 pm to Monday at 10:00 am), shall be computed as noted in footnote 4.

[4] This flow will be calculated as: [(Variable Flow Release- Minimum Flow below Turners Falls Dam as defined in Article A110)/2]. If there is a 3-day event as noted in footnote 3, the variable flow release from Friday at 2:00 pm to Saturday at 10:00 am (or from Sunday at 2:00 pm to Monday at 10:00 am) will be based on the same calculation.

[5] At the beginning of the variable release, if the NRF is > 4,000 cfs, the Licensee shall up-ramp from the Minimum Flow below Turners Falls Dam as defined in Article A110 to 4,000 cfs in two hours, not to exceed 2,000 cfs/hr.

At the beginning of the variable release, if the NRF is between 2,500 and 4,000 cfs, the Licensee shall up ramp at 50% of the NRF per hour.

[6] At the end of the variable release, if Turners Falls Dam variable release is between 2,500 and 4,000 cfs, the Licensee shall down ramp at 50% of the variable release per hour.

Variable Flow below Station No. 1

Magnitude of Variable Flow below Station No. 1	[1]2,500 cfs, or the NRF, whichever is less
Dates when Variable Flow may occur	[2]July 1 through October 31
Total No. of 2-day events	7 events for a total of 14 Variable Flows
Days of Variable Flow	Saturday and Sunday- must be two consecutive days
Hours of Variable Flow	10:00 am to 2:00 pm, 4 hrs/day
Magnitude of Variable Flow below Station No. 1 from Saturday at 2:00 pm to Sunday at 10:00 am.	See Footnote 3

[1] If the NRF< 2,500 cfs, during the scheduled flow (see footnote 2 below relative to scheduling the flow), there will be no 2,500 cfs flow and it will not be rescheduled.

[2] The Licensee shall consult AW, AMC, commercial outfitters, MDEP, MDFW, NPS, NE FLOW, and USFWS no later than March 1 annually over the license term to develop a mutually agreeable schedule for the variable flow. When developing the schedule there will be at least one weekend per month, between July 1 and October 31, when no variable flow is provided.

[3] From July 1 to August 31, the Total Minimum Bypass Flow below Station No. 1 is defined in Article A120. If the NRF is > 1,800 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,800 cfs, or 90% of the NRF, whichever is less. The magnitude of flow below Station No. 1 from Saturday at 2:00 pm to Sunday at 10:00 am from July 1 to August 31 will be computed as follows:

(2,500 cfs + Total Minimum Flow below Station No. 1 as defined in Article A120)/2.

From September 1 to November 15, the Total Minimum Bypass Flow below Station No. 1 is defined in Article A120. If the NRF is > 1,500 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,500 cfs, or 90% of the NRF, whichever is less. The magnitude of flow below Station No. 1 from Saturday at 2:00 pm to Sunday at 10:00 am from September 1 to November 15 will be computed as follows:

(2,500 cfs + Total Minimum Flow below Station No. 1 as defined in Article A120)/2.

When implementing the variable releases from the Turners Falls Dam or the 2,500 cfs flow below Station No. 1, the Licensee is still required to maintain the operational requirements in License Articles A110, A120, A130, A140, A160 and A190.

The above variable release from the Turners Falls Dam and variable flow below Station No. 1 may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Turners Falls Dam variable release or variable flow below Station No. 1 are so modified, the Licensee shall notify AW, AMC, commercial outfitters, MDEP, MDFW, NMFS, NPS, NE FLOW, and USFWS as soon as possible. The Turners Falls Dam variable release or variable flow below Station No. 1 may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), AW, AMC, commercial outfitters, MDEP, MDFW, NMFS, NPS, NE FLOW and USFWS.

The variable flow releases above were simulated in the operations model.

Article A160. Flow Stabilization below Cabot Station and Allowable Deviations for Flexible Operations

Three years after license issuance, the Licensee shall maintain ±10% of the NRF below Cabot Station as follows.

Date	Flow Stabilization below Cabot Station[1]
04/01-05/15[2]	Provide ±10% of the NRF below Cabot Station from 7:00 pm to midnight, with allowable deviations up to ±20% of the NRF for up to 22 hours total from 04/01-05/15 (the 22 hours will be used from 7:00 pm to midnight).
05/16-05/31[2]	Provide ±10% of the NRF below Cabot Station from 7:00 pm to midnight, with allowable deviations up to ±20% of the NRF for up to 18 hours total from 05/16-05/31 (the 18 hours will be used from 7:00 pm to midnight).
06/01-06/15[2]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 7 hours total from 06/01-06/15.
06/16-06/30[2]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 7 hours total from 06/16-06/30.
07/01-08/15[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 55 hours total from 07/01-08/15.
08/16-08/31[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 27 hours total from 08/16-08/31.
09/01-10/31[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 44 hours total from 09/01-10/31.
11/01-11/30[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 11 hours total from 11/01-11/30.

[1] If the NRF is greater than the sum of the hydraulic capacity of Cabot Station and Station No. 1 and the Minimum Flow below Turners Falls Dam in effect at the time, the Flow Stabilization below Cabot Station will not apply.

[2] From April 1 to June 30, the NRF flow may be reduced by 10% or up to 20% for select hours. If the NRF is reduced during this period, the flow will be taken from Cabot Station generation.

[3] From July 1 to November 30, the NRF flow may be reduced by 10% or up to 20% for select hours. If the NRF is reduced during this period, the flow will not be taken from the Turners Falls Dam Minimum Flow.

Beginning three years after license issuance, the Licensee may deviate from the Flow Stabilization below Cabot Station and Cabot Station Ramping Rates (Article A140) for a certain number of hours in July, August, September, October and November, hereinafter referred to as flexible operations.

The Licensee has restricted discretionary flexible operating capability to respond to elevated energy prices, as defined in paragraph (a) below, from July 1 to November 30, as well as unrestricted capability to respond to emergencies, Independent System Operator-New England (ISO-NE, or its successors) transmission and power system requirements, and other regulatory requirements as defined in paragraph (b) below.

(a) The Licensee may deviate from the Flow Stabilization below Cabot Station and Cabot Station Ramping Rates (Article A140). The number of hours of flexible operations, which may be used at the discretion of the Licensee, are as follows.

Date	Allowable Deviations from Cabot Station Ramping Rates (Article A140) and Flow Stabilization below Cabot Station
07/01-07/31	20 hours of flexible operations with no more than 7 flexible events per month
08/01-08/31	26 hours of flexible operations with no more than 7 flexible events per month
09/01-09/30	23 hours of flexible operations with no more than 7 flexible events per month
10/01-10/31	20 hours of flexible operations with no more than 7 flexible events per month
11/01-11/30	28 hours of flexible operations with no more than 7 flexible events per month

(b) If compliance with the Flow Stabilization below Cabot and Cabot Station Ramping Rates (Article A140) would cause the Licensee to violate or breach any law, any applicable license, permit, approval, consent, exemption or authorization from a federal, state, or local governmental authority, any applicable agreement with a governmental entity, the Licensee may deviate from the Flow Stabilization below Cabot and Cabot Station Ramping Rates (Article A140) to the least degree necessary to avoid such violation or breach. The Licensee may also deviate from the Flow Stabilization below Cabot and Cabot Station Ramping Rates for the following reasons:

(1) To implement Flood Flow Operations as defined in Article A170.
(2) To perform demonstrations of the resources' operating capabilities under ISO-NE, or its successors, rules and procedures such as, maintaining the Licensee's capacity accreditation (or its successor) or its fast start reserve eligibility. The Licensee shall seek to perform these demonstrations at times that will not cause it to deviate from the conditions in Articles A110-A160, with recognition that April 1 to June 30 should be avoided, to the maximum extent possible.
(3) To manage the Turners Falls Impoundment to stay within its licensed operating limits in Article A190, with recognition that deviations from April 1 to June 30 should be avoided to the maximum extent possible.
(4) If compliance with Articles A110-A160 would cause a public safety hazard or prevent timely rescue.

*ISO-NE, or its successors, (or another recognized entity with responsibilities for regional energy and capacity supply) requirements are circumstances when ISO-NE requires the Licensee to be fully available and, if necessary, responsive.

The Flow Stabilization below Cabot Station may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Flow Stabilization below Cabot Station is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Flow Stabilization below Cabot Station may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

The Cabot Flow Stabilization measures were included in the operations model starting in Year 1. The operations model did not simulate flexible operations as outlined in (a) or (b) above as it was uncertain when flexible operations actually occur.

Article A170. Flood Flow Operations

Upon license issuance, the Licensee shall operate the Project in accordance with its existing agreement with the United States Army Corps of Engineers (USACE). This agreement, memorialized in the Reservoir and River Flow Management Procedures (1976), as it may be amended from time to time, governs how the

Turners Falls Project will operate during flood conditions and coordinate its operations with the Licensee of the Northfield Mountain Pumped Storage Project (FERC No. 2485).

Flood flow operations were included in the operations model.

Article A180. Cabot Station Emergency Gate Use

Upon license issuance, the Licensee will use the Cabot Station Emergency Gates under the following conditions: a) a Cabot load rejection which could cause overtopping of the canal, b) dam safety issues such as potential canal overtopping or partial breach, and c) to discharge up to approximately 500 cfs from April 1 to June 15 for debris management. The Licensee shall avoid discharging flows higher than 500 cfs through the gates from April 1 to June 15 if practicable; however, if necessary to discharge higher flows, the Licensee shall coordinate with NMFS to minimize potential impacts to Shortnose Sturgeon in the area below Cabot Station.

Cabot Station Emergency gate use was not included in the operations model as it is subject to emergency use.

Article A190. Turners Falls Impoundment Water Level Management

Upon license issuance, the Licensee shall operate the Turners Falls Impoundment, as measured at the Turners Falls Dam, as follows:

(a) Maintain water levels between elevation 176.0 feet and 185.0 feet National Geodetic Vertical Datum of 1929 (NGVD29).

(b) Limit the rate of rise of the Turners Falls Impoundment water level to be less than 0.9 feet/hour from May 15 to August 15 from 8:00 am to 2:00 pm. However, if the NRF is greater than the sum of the hydraulic capacity of Cabot Station and Station No. 1 and the Minimum Flow below Turners Falls Dam in effect at the time, the Turners Falls Impoundment rate of rise requirement will not apply.

(c) The rate of rise of the Turners Falls Impoundment may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the rate of rise of the Turners Falls Impoundment is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The rate of rise of the Turners Falls Impoundment may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

(d) The Licensee may increase the allowable NRF deviation from ±10% to ±20% to better manage Turners Falls Impoundment water levels. The increased flow deviation is limited by the number of hours shown in the first table of Article A160. This allowance for an increased flow deviation is in addition to the exceptions outlined in paragraphs (a) and (b) of Article A160. As such, the increased flow allowable deviations outlined in this paragraph will not count against any time allotment for exceptions outlined in paragraphs (a) and (b) of Article A160. Similarly, operations meeting the exception criteria outlined in paragraphs (a) and (b) of Article A160 will not count against any time allotment for allowable deviations outlined in this paragraph. Allowable flow deviations in excess of ±10% of NRF resulting from conflicting operational requirements will not count against any time allotment for allowable deviations outlined in this paragraph.

The Turners Falls operating range was included in the operations model

Northfield Mountain Draft License Articles

Article B100. Project Operations

Upon license issuance, the Licensee shall:

(a) operate the Northfield Mountain Pumped Storage Project in accordance with its existing agreement with the United States Army Corps of Engineers (USACE). This agreement, memorialized in the Reservoir and River Flow Management Procedures (1976), as it may be amended from time to time, governs how the Project will operate during flood conditions and coordinate its operations with the Licensee of the Turners Falls Hydroelectric Project (FERC No. 1889).

(b) operate the Northfield Mountain Pumped Storage Project upper reservoir between elevation 1004.5 and 920.0 feet National Geodetic Vertical Datum of 1929 (NGVD29).

Expanded use of the Upper Reservoir was included in the operations model.

2.2 HEC-RAS Model

The hydraulic model was utilized to determine the hourly WSEL and EGL slope at each detailed study site for both modeling scenarios discussed in Section 2.1 (i.e., baseline conditions and 2023 FFP). The hydraulic model is described in detail in Study Report 3.2.2 (FirstLight, 2015) and (FirstLight, 2016), Study No. 3.1.2 (FirstLight, 2017a), and in AFLA Exhibit E, Section 3.3.2 (FirstLight, 2020).

Hourly output for each operations model scenario described in Section 2.1 were used as boundary conditions in the HEC-RAS Model including:

- Vernon Project Discharge: inflow at the upper end of the hydraulic model about 20 miles upstream of Turners Falls Dam;
- Ashuelot River: inflow about 2 miles below the Vernon Project;
- Northfield Mountain: generating or pumping about 5 miles above Turners Falls Dam;
- Millers River: inflow about 1.2 miles below Northfield Mountain and 3.7 miles above Turners Falls Dam; and
- Turners Falls Dam: WSEL boundary condition at the downstream end of the HEC-RAS Model.

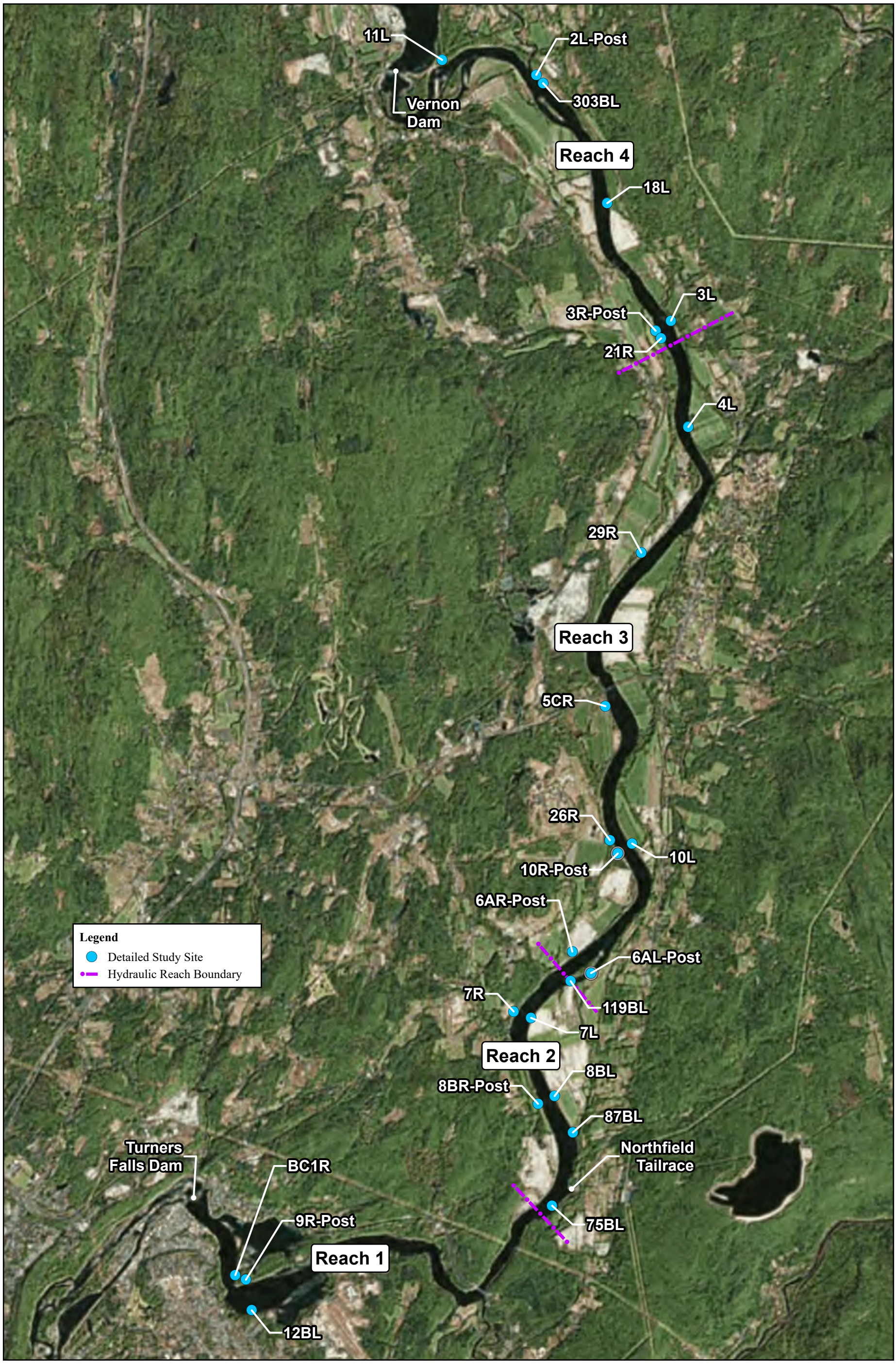
Outputs from the hydraulic model (i.e., hourly WSEL and EGL slope) were used as BSTEM hydraulic input parameters at the 25 detailed study sites located throughout the TFI (Figure 2.2-1). Similar to Study Report 3.1.2 (FirstLight 2017a) several representative locations spanning the longitudinal extent of the TFI (about 20 miles long) were identified to illustrate the WSEL and EGL slope relationships in the TFI, including:

- BC-1R: near the entrance to Barton Cove (Station 4,750, about 0.9 miles upstream of Turners Falls Dam) and generally representative of the WSEL at the Turners Falls Dam.
- 75BL: just downstream of the Northfield Mountain tailrace (Station 27,000, about 5.1 miles upstream of Turners Falls Dam).
- 4L: downstream of the Pauchaug Boat Launch (Station 74,000, about 14.0 miles upstream of Turners Falls Dam).

Figures 2.2-2 through 2.2-4 depict WSEL and EGL slope comparisons for both modeling scenarios described in Section 2.1.

At Site BC-1R (Barton Cove) (Figure 2.2-2), the EGL slopes are similar for both scenarios. WSELs at this location are slightly higher under the 2023 FFP as compared to baseline and water levels at this location are generally representative of the WSELs at the Turners Falls Dam. At Site 75 BL (Figure 2.2-3) the WSELs show a similar relationship to Site BC-1R at lower WSELs and are very similar to each other at higher (above 185 ft.) WSELs. At Site 4L (Figure 2.2-4), slightly higher WSELs are observed under the 2023 FFP scenario, while the EGL slopes are similar in both scenarios.

The hourly WSEL and EGL slope at the 25 detailed study sites were used as inputs to BSTEM as discussed in Section 3.



Northfield Mountain Pumped Storage Project No. 2485
Turners Falls Hydroelectric Project No. 1889

Supplemental BSTEM Modeling

Figure 2.2-1:
Turners Falls Impoundment
Detailed Study Sites

Service Layer Credits: World Imagery (Clarity): Source: Esri, Maxar, Earthstar Geographics, IGN, and the GIS User Community

Path: D:\FirstLight\GIS\maps\Settlement\BSTEM_2023\BSTEM_2023.aprx

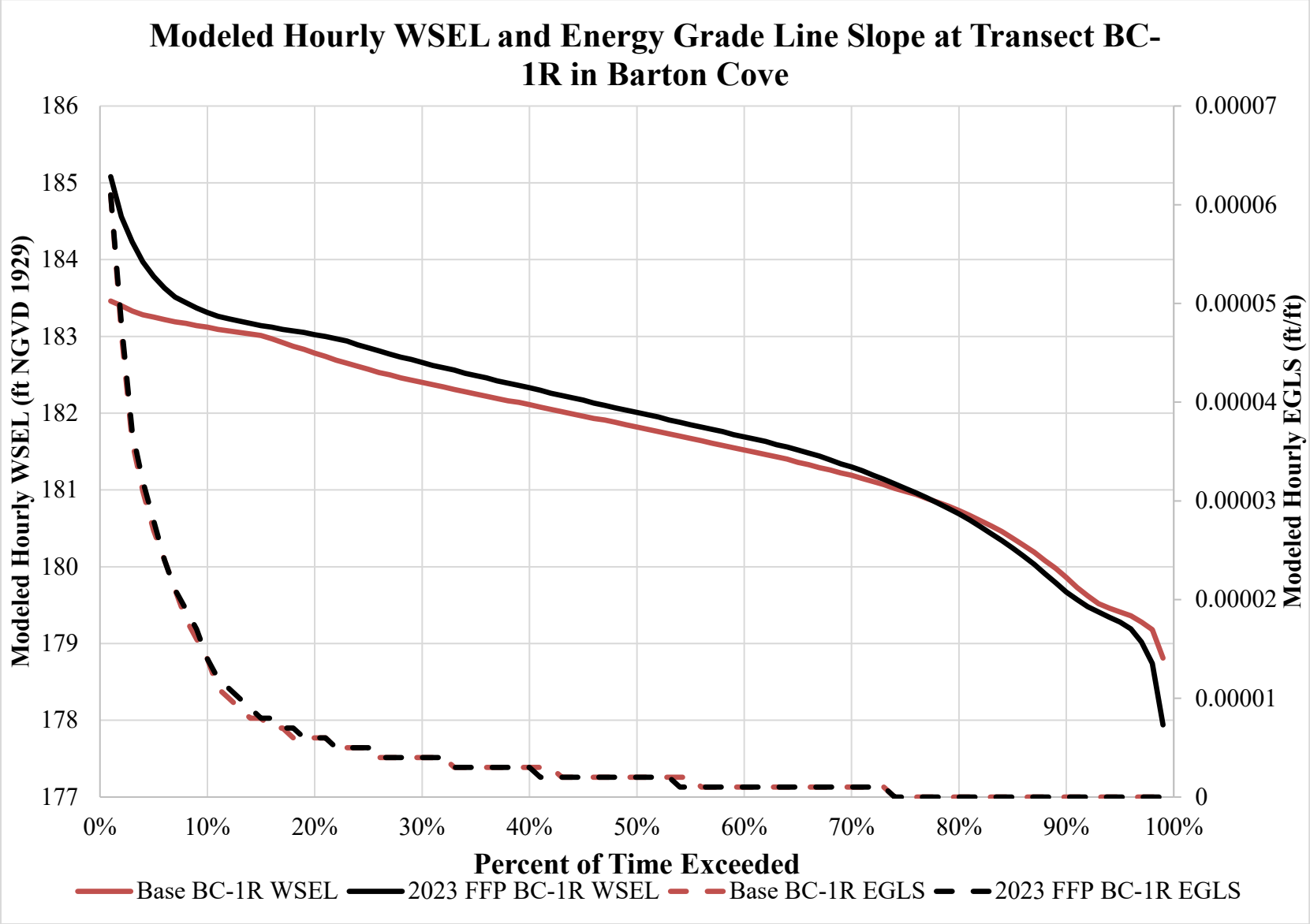


Figure 2.2-2: Modeled WSELs and EGL Slopes at Transect BC-1R

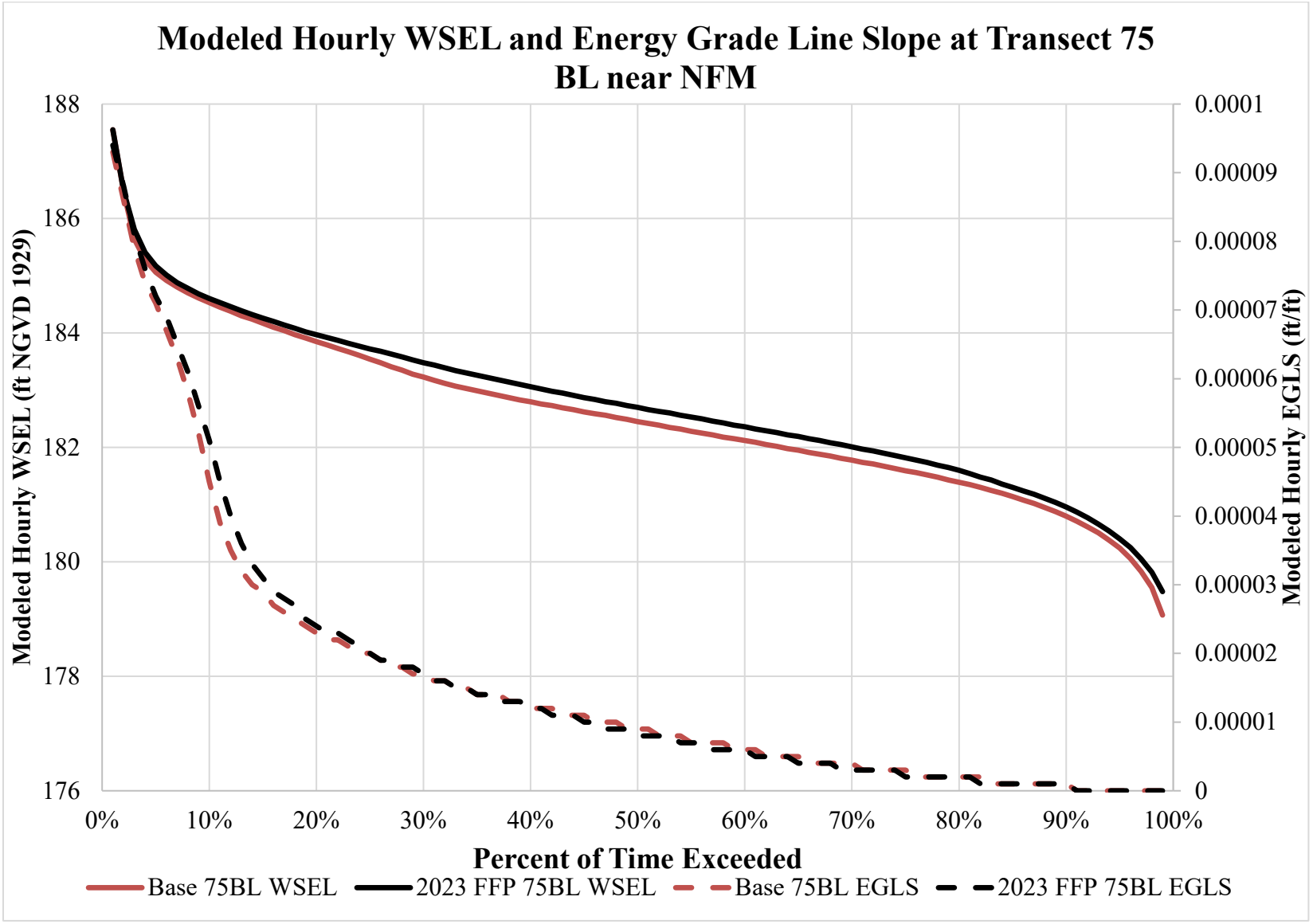


Figure 2.2-3: Modeled WSELs and EGL Slopes at Transect 75BL

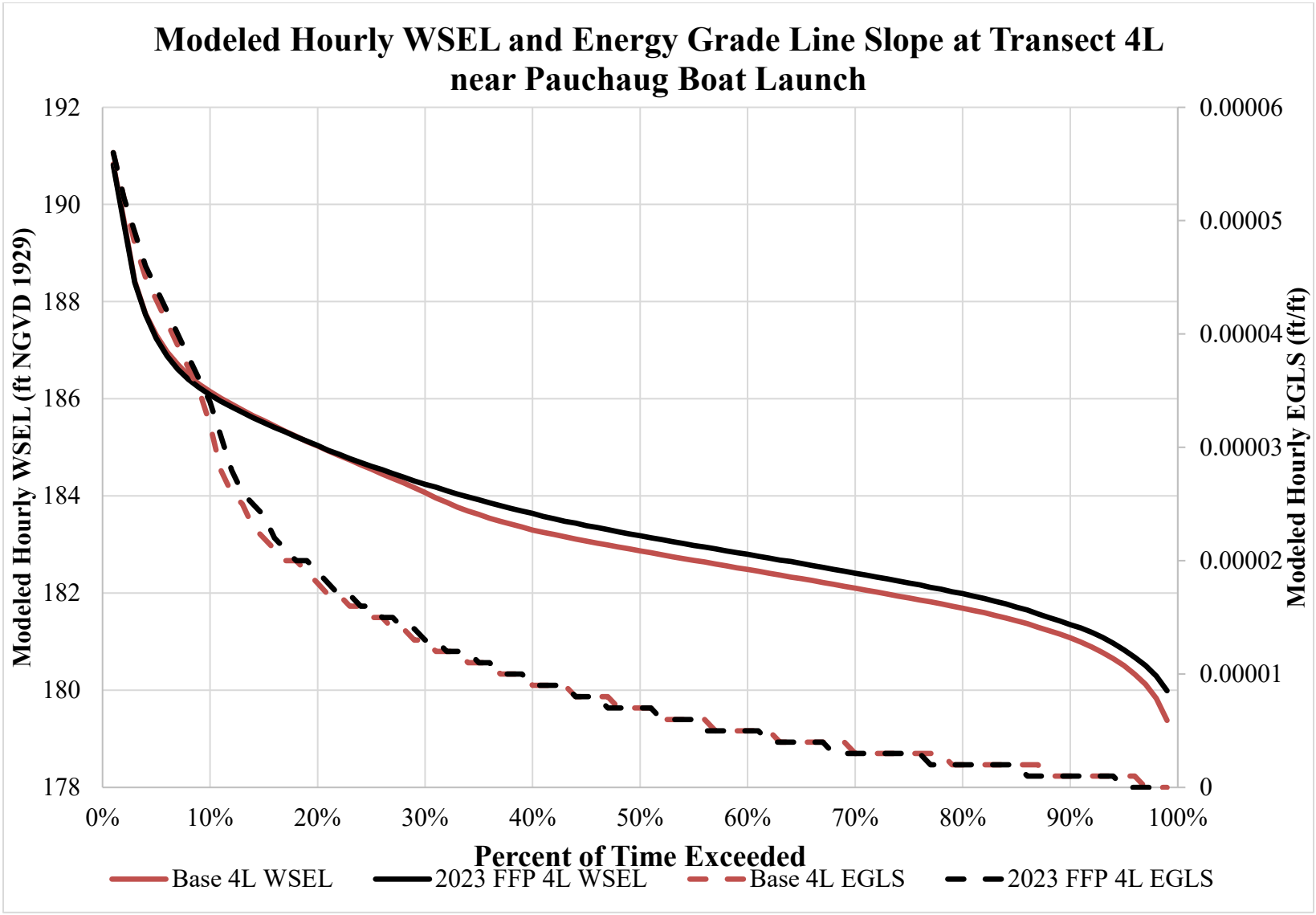


Figure 2.2-4: Modeled WSELs and EGL Slopes at Transect 4L

3 BSTEM MODELING

The purpose of the BSTEM modeling was to quantify the difference between bank-erosion rates under the 2023 FFP and baseline conditions. BSTEM was run for the same time period (i.e., 2000-2014) as that which was used in Study No. 3.1.2 and the same version of BSTEM-Dynamic was used.

Using one-hour time steps, the same initial bank geometry and bank-material properties as those used in Study No. 3.1.2 were also applied here. Post-restoration geometry and bank-material properties were used at restoration sites (2L, 3R, 10R, 6AL, 6AR, 8BR, and 9R). Bank erosion at all 25 detailed study sites were simulated for the hydraulic conditions discussed in previous sections of this report. All simulations were conducted initially without engaging the boat-wave sub-model (Waves Off). Another set of simulations were then conducted at eight (8) select sites with the boat wave sub-model turned on (Waves On) where boat waves were potentially a contributing cause of erosion.[4] These sites included: 26R, 8BL, 8BR, 87BL, 75BL, 9R, 12BL, and BC-1R. Differences in those results represented the role of boat waves on bank erosion at each site. Thus, up to four sets of BSTEM-Dynamic simulations were conducted at each site for this evaluation:

- Baseline, Waves Off
- Baseline, Waves On (select sites)
- 2023 FFP, Waves Off
- 2023 FFP, Waves On (select sites)

This approach allowed for comparison of bank-erosion rates with and without boat waves to determine the relative role of boat waves. Additionally, results from the simulations were extracted and analyzed to evaluate the role of other factors such as high flows, moderate flows, and the 2023 FFP as was also done for Study No. 3.1.2.

3.1 Bank-Erosion Rates for the Baseline and 2023 FFP Scenarios

To get a sense of the difference in WSELs under the Baseline (2022 BL) and 2023 FFP scenarios, distributions were compared for a representative site in each of the four reaches of the TFI. As can be seen in Figure 3.1-1, WSEL behavior across the modeled time period at each representative site varies between the 2022 BL and 2023 FFP scenarios. Site BC-1R is showing minor decreases in WSELs at lower flows with an average difference of about 0.29 ft. Whereas, the other representative sites (18L, 5CR and 87L) are showing increases in WSEL at lower flows, though generally minimal changes at higher flows with average differences of 0.26, 0.25 and 0.23 ft, respectively. In general, all of the representative sites showed a slight (0.3 ft or less) increase in WSEL in the 2023 FFP scenario as compared to the 2022 BL.

To determine the role of the proposed operations on bank erosion, erosion rates for the 2022 BL and 2023 FFP were compared with and without boat waves where applicable (as mentioned above) (Table 3.1-1). For ease of comparison between the two flow scenarios, results from the Waves On simulations were substituted for the Waves Off values for the eight sites where simulated, to create a single distribution of results for each flow scenario. This is termed the Combination (or Combo) distribution and is justified on the basis that the 'Waves On' scenario represents either equal or greater erosion rates at each site, thereby providing a conservative case. The data for each site and the comparison between the flow scenarios is

[4] The eight (8) sites were selected for 'Wave On' production runs because previous analyses found boat waves to be a dominant or contributing cause of erosion at each site.

displayed in Table 3.1-2. Table 3.1-2 also shows the delta or difference between 2022 BL and 2023 FFP both as a percentage and as a bank erosion rate, where a positive value indicates an increase in erosion rate under the 2023 FFP.

As observed in Table 3.1-2, four (4) detailed study sites exhibited differences in erosion rates between 2022 BL and 2023 FFP of more than 5% (i.e., Sites 18L, 3L, 8BR, and BC-1R); however, only three (3) of those sites exhibited differences in erosion rates greater than 0.16 $ft^3/ft/yr$ and more than 5% (i.e., Sites 18L, 3L, and BC-1R). As discussed in previous filings, erosion rates equal to or less than 0.16 $ft^3/ft/yr$ are considered insignificant because they represent very low rates of erosion (lowest 5% of Baseline rates along the reach) and are within survey accuracy. For a site to be considered to have a measurable amount of erosion, the erosion rate needs to be greater than 0.16 $ft^3/ft/yr$.

As observed in Table 3.1-2, the majority of the sites show either a very small increase or a decrease when comparing bank erosion using the Combo dataset under 2023 FFP to the 2022 BL. Average values across the 25 sites are 1.2% greater for the 2023 FFP scenario when compared to the 2022 BL. Median erosion rates are almost identical at roughly 1.16 $ft^3/ft/yr$. Of the four sites that showed increases of greater than 5%, two (2) are in the upper impoundment (18L and 3L), one is in the Northfield Mountain reach (8BR), and one is in the lower impoundment (BC-1R).

The increase at 18L under 2023 FFP conditions is surprising given its location in the upper impoundment. It is important to note that bank erosion begins at flow rates >14,000 cfs 4) with 98% occurring above the 17,130 cfs high-flow threshold for Reach 4. The increase under 2023 FFP conditions can be summarized as follows:

- WSELs are only slightly higher across the range of the flow distribution (~0.02%; 0.26 ft), hardly sufficient to alter erosion processes;

- Although average boundary shear stresses across the flow series are about 1% lower for the 2023 FFP scenario, average EGL slope and boundary shear stress are about 11% greater along a critical part of the bank face where the bank transitions from the "beach-like" surface to the steeper part of the bank. This is between elevation 180.77 and 182.71 ft. There is also a change in bank materials here with layer 4 (representing the lower layer at this transition) being susceptible to hydraulic erosion.

- The greater erosion at 18L for the 2023 FFP is related to this 11% increase in EGL and shear stress along this zone of the bank.

At Site 3L the increase in erosion is due to an increase in the duration that flows impact a critical layer of the bank (i.e., layer 3). The increase in erosion is occurring at El. 183.7-187.7 along the bank and an evaluation of flows at this level shows that flow duration in this zone increased by 20%.

Site 8BR-Post is located within the Northfield Mountain Reach of the TFI on river right immediately upstream of the Northfield Mountain tailrace. Site 8BR is a previously restored site with very low bank-erosion rates under both scenarios. Under the Baseline scenario (Waves On), Site 8BR-Post had an erosion rate of 0.65 $ft^3/ft/yr$, whereas under the 2023 FFP scenario (Waves On) the erosion rate was 0.69 $ft^3/ft/yr$, resulting in an increase of 0.04 $ft^3/ft/yr$ under the 2023 FFP. Although Site 8BR has an increase in bank-erosion rates of greater than 5%, the actual amount of the increase (i.e., 0.04 $ft^3/ft/yr$) is considered insignificant.

At BC-1R in the lower impoundment, bank-erosion rates for all scenarios fell below the 5^{th} percentile (0.16 $ft^3/ft/y$) threshold (set from the original Baseline simulations), with the exception of the 2023 FFP Wave On scenario. In this scenario, bank-erosion rates were 0.66 $ft^3/ft/yr$, equivalent to roughly the 40^{th} percentile

of all 2023 FFP bank-erosion rates. The increase in boat-generated wave erosion in the lower-most part of the Lower reach (Site BC-1R) is in part explained by the 0.5 - 2.9 ft increase in stage for the top 5% of the flows, impacting an erodible layer of the bank.

Results for all sites are shown in Table 3.1-1 and Table 3.1-2, and Figure 3.1-2. Discussion pertaining to the dominant and contributing causes of erosion at each of the detailed study sites is included in Section 3.2.

Table 3.1-1: Results of BSTEM-Dynamic simulations showing average annual bank-erosion rates per unit length of channel (one foot) for the Baseline 2022 and 2023 FFP conditions.

Reach	Transect	2022 BL		2023 FFP Settlement	
		Waves On	Waves Off	Waves On	Waves Off
		$ft^3/ft/yr$	$ft^3/ft/yr$	$ft^3/ft/yr$	$ft^3/ft/yr$
Upper (Reach 4)	11L		0.12		0.13
	2L – Post		6.41		6.66
	303BL		0.65		0.65
	18L		1.22		1.49
	3L		3.14		3.43
	3R-Post		0.32		0.32
	21R		2.32		2.34
Middle (Reach 3)	4L		0.02		0.02
	29R		1.67		1.67
	5CR		7.27		7.33
	26R	1.16	1.08	1.16	1.07
	10L		0.42		0.44
	10R-Post		0.00		0.00
	6AL-Post		0.00		0.00
	6AR-Post		0.00		0.00
Northfield Mountain (Reach 2)	119BL		6.16		6.26
	7L		4.00		3.99
	7R		1.98		1.99
	8BL	0.19	0.19	0.19	0.19
	8BR-Post	0.65	0.64	0.69	0.69
	87BL	3.79	3.67	3.68	3.54
	75BL	3.67	3.24	3.71	3.57
Lower (Reach 1)	9R-Post	0.09	0.00	0.07	0.00
	12BL	5.87	0.18	4.92	0.10
	BC-1R	0.05	0.04	0.66	0.00

Table 3.1-2: Comparison between the Combined (Combo) Results for the Two Flow Scenarios

Reach	Transect	2022 BL	2023 FFP Settlement	2023 FFP Settlement Combo minus 2022 BL Combo[1]	2023 FFP Settlement Combo minus 2022 BL Combo
		Combo	Combo		
		$ft^3/ft/yr$	$ft^3/ft/yr$	$ft^3/ft/yr$	% difference
Upper (Reach 4)	11L	0.12	0.13	0.01	4.2%
	2L - Post	6.41	6.66	0.25	3.9%
	303BL	0.65	0.65	0.00	-0.4%
	18L	1.22	1.49	0.27	22.0%
	3L	3.14	3.43	0.29	9.0%
	3R-Post	0.32	0.32	0.00	1.1%
	21R	2.32	2.34	0.02	0.6%
Middle (Reach 3)	4L	0.02	0.02	0.00	0.0%
	29R	1.67	1.67	0.00	0.0%
	5CR	7.27	7.33	0.06	0.8%
	26R	1.16	1.16	0.00	-0.2%
	10L	0.42	0.44	0.02	3.4%
	10R-Post	0.00	0.00	0.00	0.0%
	6AL-Post	0.00	0.00	0.00	0.0%
	6AR-Post	0.00	0.00	0.00	0.0%
Northfield Mountain (Reach 2)	119BL	6.16	6.26	0.10	1.6%
	7L	4.00	3.99	-0.01	-0.2%
	7R	1.98	1.99	0.01	0.5%
	8BL	0.19	0.19	0.00	2.3%
	8BR-Post	0.65	0.69	0.04	7.3%
	87BL	3.79	3.68	-0.11	-3.1%
	75BL	3.67	3.71	0.04	1.2%
Lower (Reach 1)	9R-Post	0.09	0.07	-0.02	-19.8%
	12BL	5.87	4.92	-0.95	-16.1%
	BC-1R	0.05	0.66	0.61	1301%

[1] Positive values indicate greater erosion under the 2023 FFP.

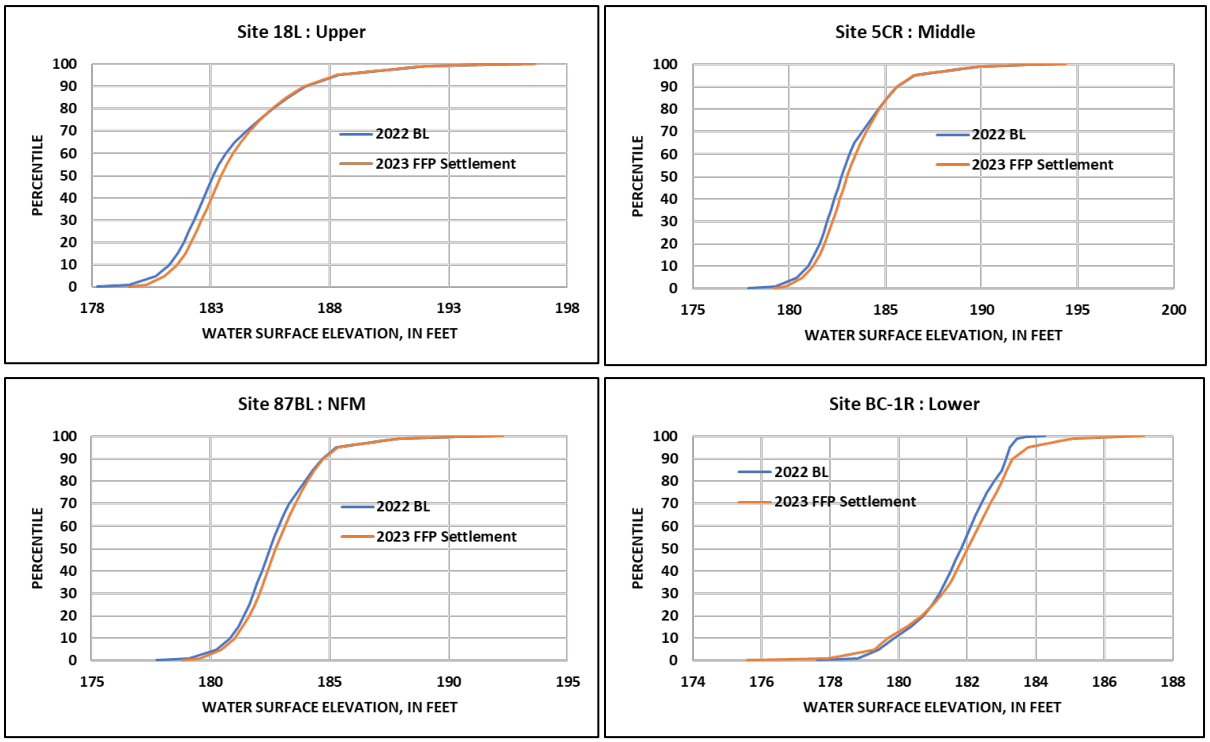


Figure 3.1-1: Comparison of the Distributions of Water Surface Elevations under the 2022 BL and 2023 FFP Scenarios for a Representative Site in Each of the Four Reaches of the TFI.

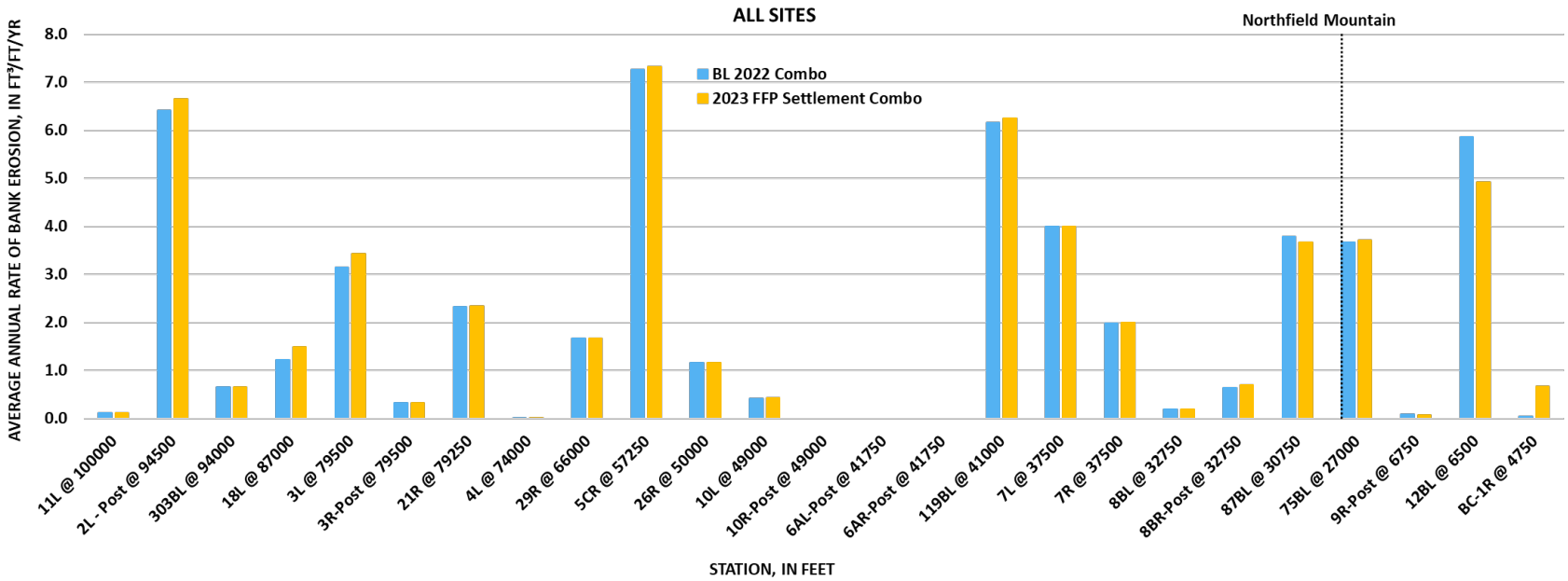


Figure 3.1-2: Comparison of Average Annual Bank-Erosion Rates of the Combined Results of the 2022 BL and 2023 FFP.

3.2 Dominant and Contributing Causes of Bank Erosion Under 2023 FFP Conditions

Results of the BSTEM-Dynamic modeling runs were used to evaluate the primary and contributing causes of erosion including: (1) hydraulic forces (shear stress) due to flowing water; (2) water levels and fluctuations due to hydropower operations; and (3) boat-generated waves. From analysis of the bank-erosion data from the 25 detailed-study sites, the dominant and contributing causes of bank erosion were identified. For a cause to be considered dominant, it needed to have been responsible for at least 50% of the bank erosion at a site. For a cause to be considered contributing, it had to contribute to >5% but <50% of the erosion at the site. Dominant and contributing causes of erosion were determined consistent with the methodology used in Study No. 3.1.2.

The role of high flows on bank-erosion rates was investigated by analyzing the hourly outputs from each time step in BSTEM-Dynamic. The role of boat waves was investigated by analyzing the differences between the Waves On and Waves Off BSTEM runs for those sites where both runs were made. Finally, the role of the 2023 FFP was investigated by analyzing the difference in erosion rates between the 2022 BL and 2023 FFP scenarios using the high and moderate flow analysis, and the boat-wave analysis. A matrix of dominant and contributing causes was then developed for the detailed study sites (Table 3.2-1). The results contained in the matrix are also displayed in Figure 3.2-1.

Based on the results of this analysis, the dominant cause of erosion at all sites in the TFI was found to be high flows[5] with the exception of three sites in the lower impoundment (9R, 12BL, and BC-1R). In these cases, the dominant cause of bank erosion is boat-generated waves with the understanding that the restoration activities undertaken at 9R have virtually eliminated erosion at the site. Thus, no dominant cause is shown at 9R in Table 3.2-1 because the computed erosion rate of 0.07 $ft^3/ft/yr$ for the Waves On scenario is below the threshold of 0.16 $ft^3/ft/yr$.

Regarding contributing causes of erosion, moderate flows (i.e., flows between 17,130 and 37,000 cfs) were found to be a contributing cause of erosion at three (3) sites throughout the TFI (i.e., Sites 119BL, 87BL, and 75BL), all of which are located within the Northfield Mountain Reach. Boats were found to be a contributing cause of erosion at two (2) sites – 26R (Middle Reach) and 75BL (Northfield Mountain Reach). The 2023 FFP was found to be a contributing cause of erosion at three (3) sites – 18L and 3L (Upper Reach) and 8BR (Northfield Mountain Reach). Each of the sites where the 2023 FFP was found to be a contributing cause of erosion are discussed further below.

Under the 2023 FFP, Site 18L has a 0.27 $ft^3/ft/yr$ increase in bank erosion rate over Baseline. As discussed above in Section 3.1, the bank erosion rate under the 2023 FFP at this site is 1.49 $ft^3/ft/yr$ of which approximately 22% is attributed to the 2023 FFP. Similarly, it is observed that Site 3L has a 0.28 $ft^3/ft/yr$ increase in bank erosion rate over Baseline and an overall bank erosion rate of 3.43 $ft^3/ft/yr$ under the 2023 FFP of which 9% is attributed to the 2023 FFP. Although the 2023 FFP was found to be a contributing cause of erosion at these sites, erosion processes are dominated by naturally occurring high flows.

Site 8BR is a previously restored site that currently exhibits minimal erosion. Under the 2023 FFP, Site 8BR has a greater than 5% increase in erosion when compared to the Baseline; however, that only equates to a difference of 0.04 $ft^3/ft/yr$. The bank erosion rate under the 2023 FFP at this site is 0.69 $ft^3/ft/yr$ of which approximately 7% is attributed to the 2023 FFP. Although the 2023 FFP contributes to 7% of the erosion at this site, the actual amount of erosion (i.e., bank erosion rate) attributed to the 2023 FFP is considered immeasurable.

Finally, it should be noted that as discussed in Section 3.1, Site BC-1R also had a greater than 5% increase in erosion under the 2023 FFP when compared to Baseline (an increase of 0.6 $ft^3/ft/yr$), yet 2023 FFP operations are not found to be a contributing cause of erosion at this site. This is due to the dominance of

[5] Consistent with Study No. 3.1.2, the high flow threshold for Reaches 1-3 was 37,000 cfs. The high flow threshold for Reach 4 was 17,130 cfs.

boat waves at this location, which are responsible for approximately 100% of all erosion at the site. Bank-erosion rates at this site under all scenarios are extremely small for Waves Off scenarios. The 2023 FFP Waves Off scenario resulted in a bank-erosion rate of 0.00 $ft^3/ft/yr$, as compared to the Baseline Waves Off scenario which resulted in an erosion rate of 0.04 $ft^3/ft/yr$, further demonstrating the significance of boat waves at this site.

Extrapolation of Causes Throughout the TFI

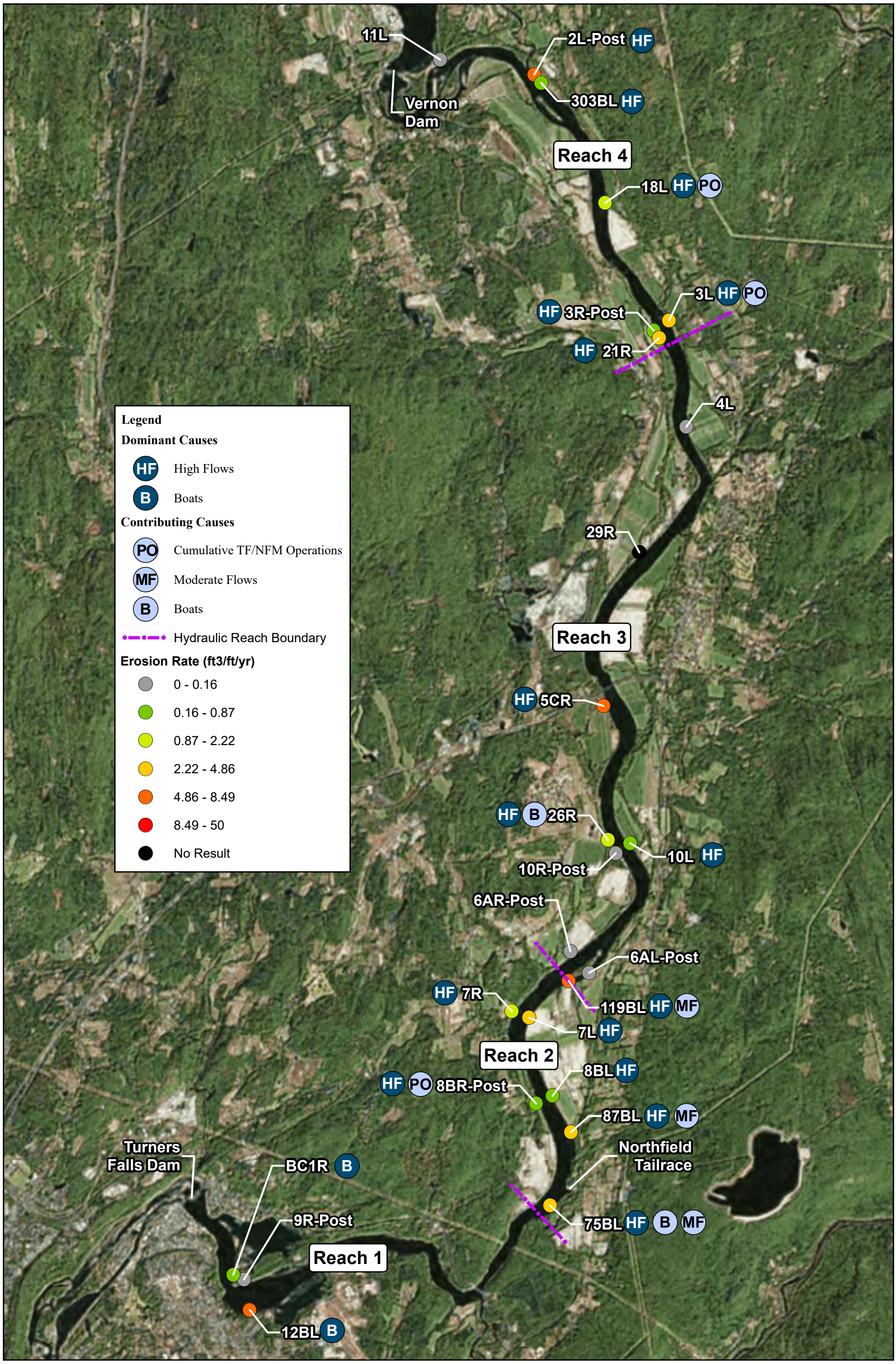
Once the dominant and contributing causes of erosion were identified for each detailed study site, the results were extrapolated throughout the TFI following the same methodology described in FirstLight (2017a). The extrapolation method relied heavily on the nearest detailed study site for the majority of the TFI, with the exception of the area from Barton Cove to the French King Gorge. As discussed in FirstLight (2017a), due to the lack of detailed study sites in this stretch the causes of erosion were determined via supplemental hydraulic analyses utilizing the hydraulic model combined with field observations made during the 2013 Full River Reconnaissance. The results of the extrapolation are shown in Figure 3.2-2.

As observed in the figure, high flows are the dominant cause of erosion for approximately 37.1 miles of the shoreline (86% of the entire TFI), while boat waves are the dominant cause for the remaining 5.9 miles (14% of the entire TFI). Boats were a contributing cause of erosion for 8.0 miles of shoreline (19% of the entire TFI), primarily in Reach 1 and Reach 3. Moderate flows were a contributing cause for 4.4 miles (10% of the entire TFI), all of which were within the Northfield Mountain Reach (Reach 2). Project operations were found to be a contributing cause of erosion for 7.7 miles of shoreline (18% of the entire TFI). Of the 7.7 miles where the 2023 FFP was found to be a contributing cause of erosion, 1.3 miles are associated with Site 18L, 1.5 miles associated with Site 3L, and 0.9 miles associated with the previously restored Site 8BR. The remaining 4.1 miles are located in Reach 1 between the exit of Barton Cove and French King Gorge.

Table 3.2-1: Matrix of Dominant and Contributing Causes of Erosion under 2023 FFP Conditions

Reach	Site	Station	Dominant Causes: ≥ 50%			Contributing Causes: >5% and <50%			
			2023 FFP Settlement Operations	High Flows	Boats	2023 FFP Settlement Operations	High Flows	Moderate Flows	Boats
Upper (Reach 4)	**11L**	100000	-	-	-	-	-	-	-
	2L*	94500		X					
	303BL	94000		X					
	18L	87000		X		X			
	3L	79500		X		X			
	3R*	79500		X					
	21R	79250		X					
Middle (Reach 3)	**4L**	74000	-	-	-	-	-	-	-
	29R	66000	Failure occurs at first time step, cannot determine primary cause						
	5CR	57250		X					
	26R	50000		X					X
	10L	49000		X					
	10R*	49000	-	-	-	-	-	-	-
	6AL*	41750	-	-	-	-	-	-	-
	6AR*	41750	-	-	-	-	-	-	-
Northfield Mountain (Reach 2)	**119BL**	41000		X				X	
	7L	37500		X					
	7R	37500		X					
	8BL	32750		X					
	8BR*	32750		X		X			
	87BL	30750		X				X	
	75BL	27000		X				X	X
Lower (Reach 1)	**9R***	6750	-	-	-	-	-	-	-
	12BL	6500			X				
	BC-1R	4750			X				

[1] The high flow threshold for Reaches 1-3 is 37,000 cfs. In Reach 4, the high flow threshold is 17,130 cfs
[2] The moderate flow threshold for Reaches 1-3 is between 17,000 and 37,000 cfs
'-' = causes not attributed because of insignificant amount of erosion (i.e., less than 0.16 $ft^3/ft/yr$. 5^{th} percentile of original baseline)
'*' = restoration site, erosion amounts represent post-restoration condition



Northfield Mountain Pumped Storage Project No. 2485
Turners Falls Hydroelectric Project No. 1889

Supplemental BSTEM Modeling

FirstLight

N

0 0.625 1.25 2.5 Miles

Figure 3.2-1:
Dominant and Contributing Causes of Erosion at each Detailed Study Site – 2023 FFP

Service Layer Credits: World Imagery (Clarity): Source: Esri, Maxar, Earthstar Geographics, IGN, and the GIS User Community

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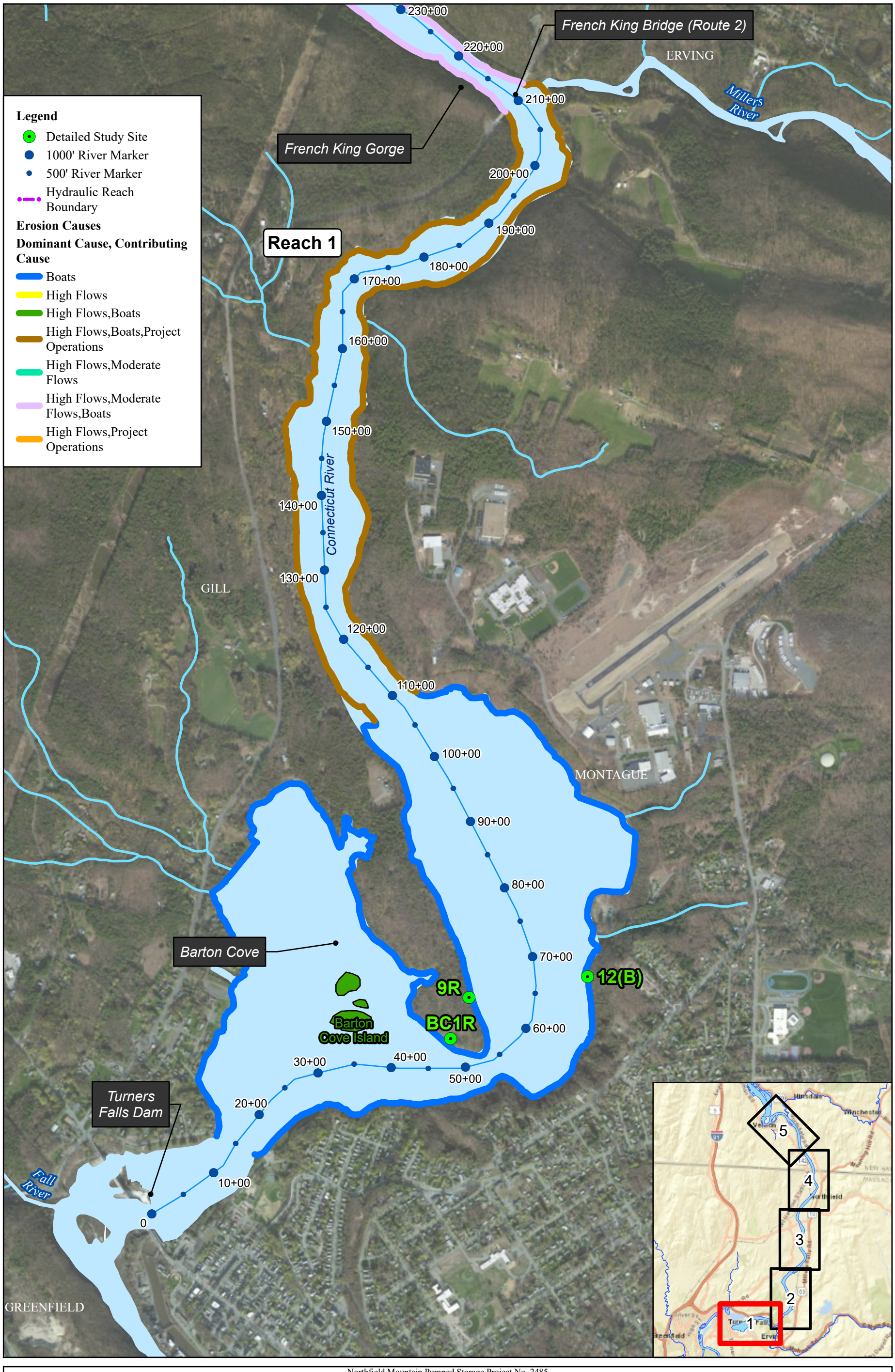

Northfield Mountain Pumped Storage Project No. 2485
Turners Falls Hydroelectric Project No. 1889

Supplemental BSTEM Modeling

0 625 1,250 2,500
Feet

Figure 3.2-2: Final Extrapolation
of the Causes of Erosion for each Riverbank Segment
in the TFI – 2023 FFP
Map 1

Service Layer Credits: World Esri Imagery (Clarity) Basemap: Source: Esri, Maxar, Earthstar Geographics, IGN, and the GIS User Community
World Esri Street Basemap: Esri, HERE, Garmin, NGA, USGS, NPS

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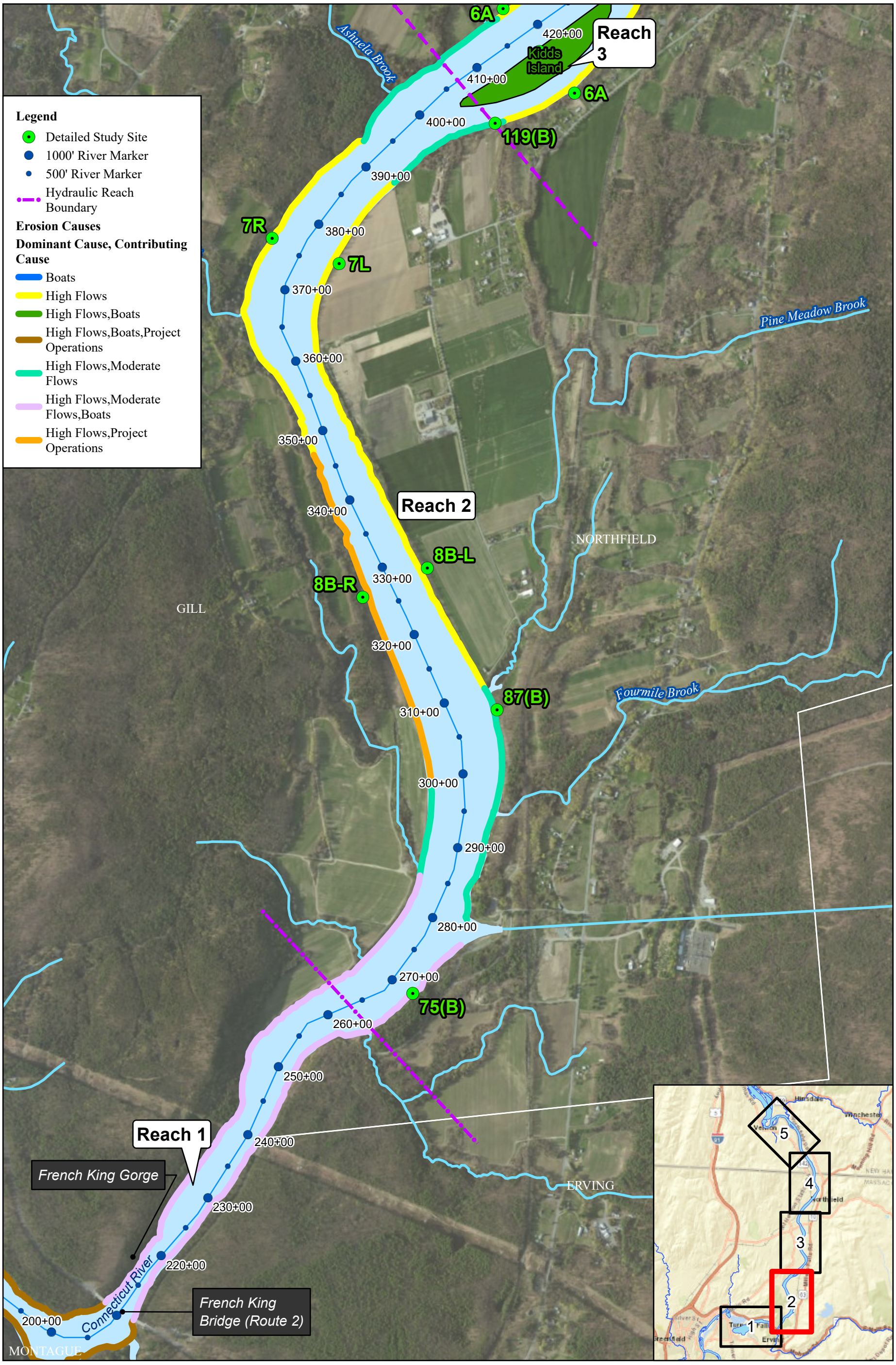

Northfield Mountain Pumped Storage Project No. 2485
Turners Falls Hydroelectric Project No. 1889

Supplemental BSTEM Modeling

N

0 625 1,250 2,500 Feet

Figure 3.2-2: Final Extrapolation of the Causes of Erosion for each Riverbank Segment in the TFI – 2023 FFP
Map 2

Service Layer Credits: World Esri Imagery (Clarity) Basemap: Source: Esri, Maxar, Earthstar Geographics, IGN, and the GIS User Community
World Esri Street Basemap: Esri, HERE, Garmin, NGA, USGS, NPS

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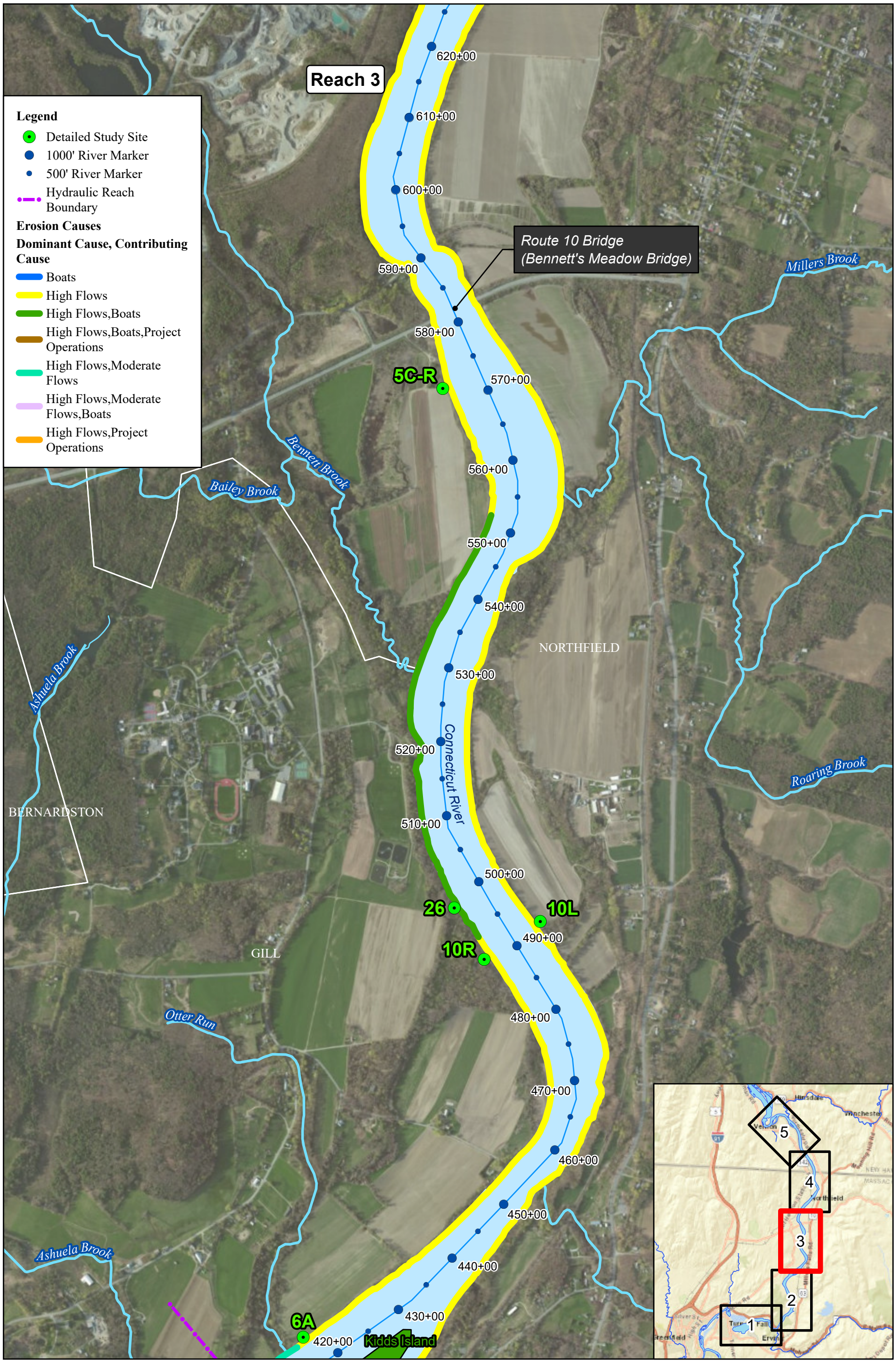

Northfield Mountain Pumped Storage Project No. 2485
Turners Falls Hydroelectric Project No. 1889

Supplemental BSTEM Modeling

Figure 3.2-2: Final Extrapolation of the Causes of Erosion for each Riverbank Segment in the TFI – 2023 FFP
Map 3

Service Layer Credits: World Esri Imagery (Clarity) Basemap: Source: Esri, Maxar, Earthstar Geographics, IGN, and the GIS User Community
World Esri Street Basemap: Esri, HERE, Garmin, NGA, USGS, NPS

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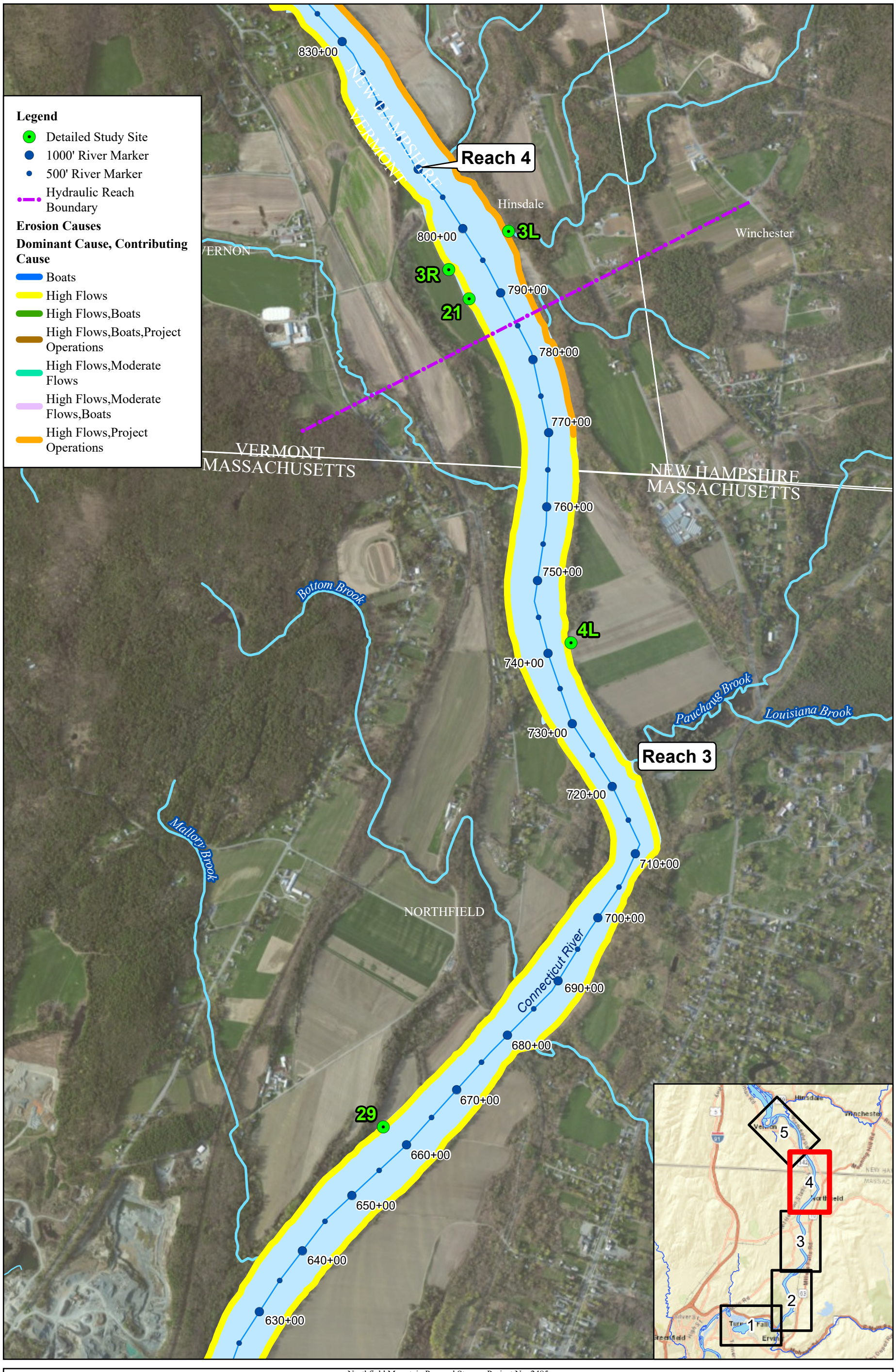

Northfield Mountain Pumped Storage Project No. 2485
Turners Falls Hydroelectric Project No. 1889

Supplemental BSTEM Modeling

N

0 625 1,250 2,500 Feet

Figure 3.2-2: Final Extrapolation of the Causes of Erosion for each Riverbank Segment in the TFI – 2023 FFP
Map 4

Service Layer Credits: World Esri Imagery (Clarity) Basemap: Source: Esri, Maxar, Earthstar Geographics, IGN, and the GIS User Community
World Esri Street Basemap: Esri, HERE, Garmin, NGA, USGS, NPS

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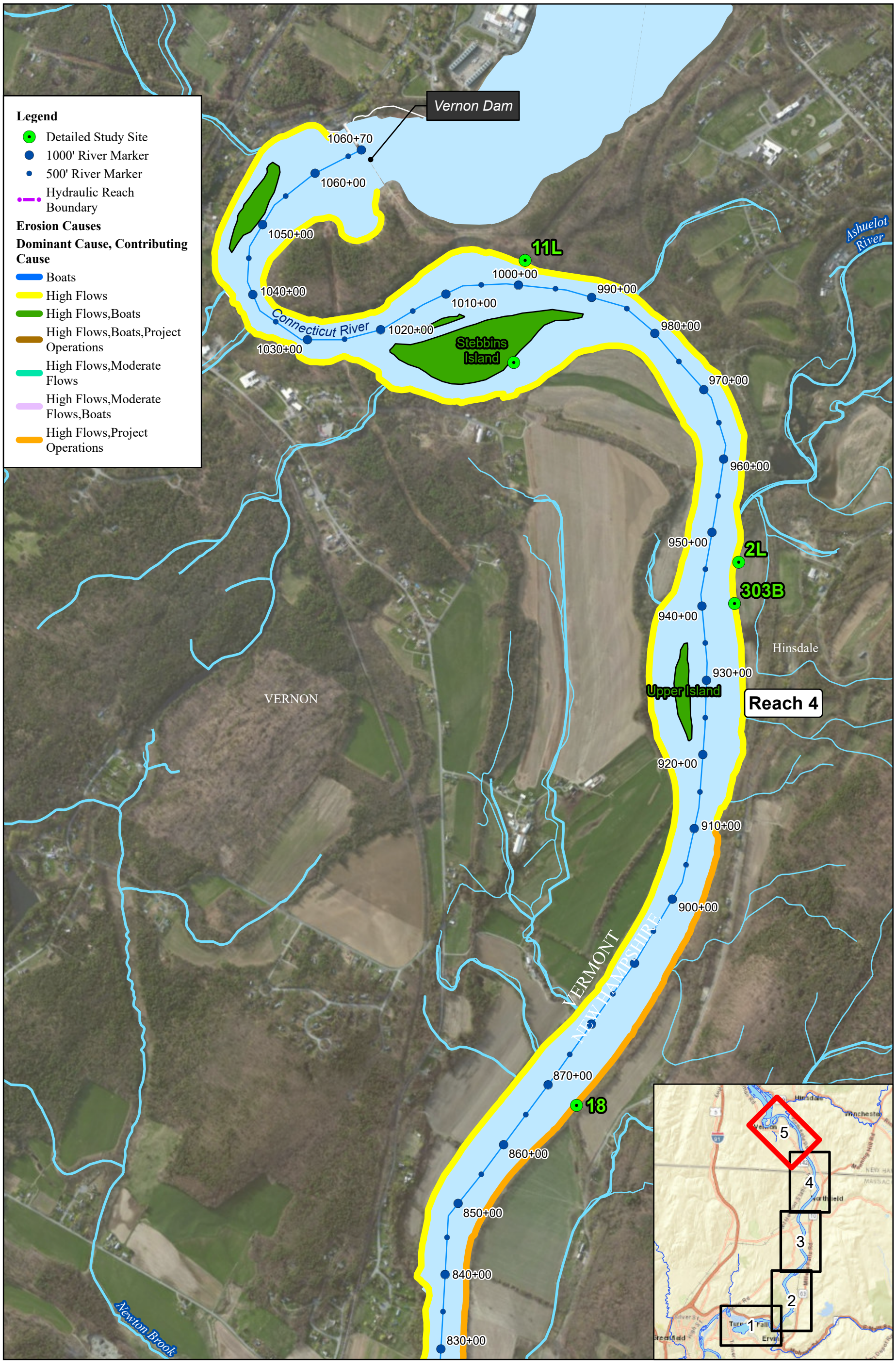

Northfield Mountain Pumped Storage Project No. 2485
Turners Falls Hydroelectric Project No. 1889

Supplemental BSTEM Modeling

0 625 1,250 2,500 Feet

Figure 3.2-2: Final Extrapolation of the Causes of Erosion for each Riverbank Segment in the TFI – 2023 FFP
Map 5

Service Layer Credits: World Esri Imagery (Clarity) Basemap: Source: Esri, Maxar, Earthstar Geographics, IGN, and the GIS User Community
World Esri Street Basemap: Esri, HERE, Garmin, NGA, USGS, NPS

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4 SUMMARY

Study No. 3.1.2 examined the impact of existing operations under the current license, and in particular the operation of Northfield Mountain, on bank stability and erosion throughout the TFI. Since Study No. 3.1.2 has been completed, additional supplemental analyses have been conducted to examine the potential impact, if any, of proposed operating regimes that would be implemented under a new license. Previously conducted supplemental analyses (i.e., the AFLA and the 2022 Agreement-in-Principle) have been superseded by the 2023 FFP analysis discussed herein. Unlike Study No. 3.1.2, the supplemental analyses utilized the operations model to provide input parameters for the hydraulic model to allow for a direct comparison of baseline conditions and proposed operational changes. As a result of the proposed operational changes, BSTEM results at each individual detailed study site have changed slightly when compared to the original study due to the changing hydrologic and hydraulic characteristics throughout the TFI associated with proposed operational changes. That said, the overall conclusions of Study No. 3.1.2 remain valid – natural high flows are the dominant cause of bank erosion throughout the TFI (with the exception of Barton Cove where boat waves are the dominant cause) and Project operations have minimal impact.

The purpose of this specific evaluation was to quantify the change in bank-erosion rates under the 2023 FFP compared to the 2022 Baseline and evaluate the dominant and contributing causes of erosion under the 2023 FFP. The difference in bank-erosion rates between the two scenarios (as determined by BSTEM) as well as the results of the other analyses previously discussed indicate what impact, if any, the 2023 FFP would have on streambank erosion in the TFI. As discussed in previous filings, bank-erosion rates equal to or less than 0.16 $ft^3/ft/yr$ were considered immeasurable as such erosion rates represent very low rates of erosion (lowest 5% along the reach) and are within survey accuracy. The results of the 2023 FFP analysis found that the dominant causes of erosion do not change under the FFP. Natural high flows continue to have the greatest impact on erosion in the TFI, with boat waves having the greatest impact in the Lower Reach. High flows are the dominant cause of erosion at 86% of the riverbank segments, while boat waves are the dominant cause at 14%.

Regarding the potential impact of the 2023 FFP, there is no appreciable difference (i.e., >5%) in the modeled amount of erosion between the Baseline and 2023 FFP at 21 or the 25 detailed study sites. Of the four detailed study sites that had a greater than 5% increase in erosion under the 2023 FFP, only three sites (Sites 18L, 3L, and BC-1R) had a difference of greater than 0.16 $ft^3/ft/yr$ between the Baseline and the 2023 FFP. The overall impact of the 2023 FFP at these sites is marginal. High flows dominate erosion processes at Site 18L and 3L, with the 2023 FFP only responsible for 22% and 9% of erosion at the sites, respectively. At Site BC-1R, the bank-erosion rates across all scenarios are minor and boat waves account for approximately 100% of all erosion at this site under the 2023 FFP. This is evident when comparing the 2023 FFP Waves On and Waves Off scenarios, which equate to 0.66 $ft^3/ft/yr$ and 0.00 $ft^3/ft/yr$, respectively.

When extrapolated across the TFI, the 2023 FFP is found to be a contributing cause of bank erosion associated with detailed study sites 18L, 3L, and 8BR, as well as banks on river right and left between Barton Cove and the French King Gorge.

5 LITERATURE CITED

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FirstLight. (2017a). Relicensing Study 3.1.2 Northfield Mountain / Turners Falls Operations Impact on Existing Erosion and Potential Bank Instability Study Report. Prepared for FirstLight Power Resources. Northfield, MA: Author.

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FirstLight. (2020). Amended Final Application for New License for Major Water Power Project – Existing Dam Turners Falls Hydroelectric Project (FERC Project Number 1889) and Northfield Mountain Pumped Storage Project (FERC Project Number 2485) Exhibit E – Environmental Report. Prepared for FirstLight MA Hydro LLC and Northfield Mountain LLC. Northfield, MA: Author.

APPENDIX A.
2023 FLOWS AND FISH PASSAGE SETTLEMENT

FLOWS AND FISH PASSAGE SETTLEMENT AGREEMENT

FOR THE RELICENSING OF THE TURNERS FALLS HYDROELECTRIC PROJECT, FERC PROJECT NO. 1889, AND NORTHFIELD MOUNTAIN PUMPED STORAGE PROJECT, FERC PROJECT NO. 2485

MARCH 2023

FLOWS AND FISH PASSAGE SETTLEMENT AGREEMENT FOR THE RELICENSING OF THE TURNERS FALLS HYDROELECTRIC PROJECT, FERC PROJECT NO. 1889, AND NORTHFIELD MOUNTAIN PUMPED STORAGE PROJECT, FERC PROJECT NO. 2485

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APPENDICES

This Relicensing Settlement Agreement for the Turners Falls Hydroelectric Project and Northfield Mountain Pumped Storage Project ("Settlement Agreement") is made and entered into pursuant to Federal Energy Regulatory Commission ("Commission" or "FERC") Rule 602, 18 C.F.R. § 385.602, by and among:

FirstLight MA Hydro LLC
Northfield Mountain LLC
National Marine Fisheries Service
U.S. Fish and Wildlife Service
Massachusetts Division of Fisheries and Wildlife
The Nature Conservancy
American Whitewater
Appalachian Mountain Club
Crab Apple Whitewater, Inc.
New England FLOW
Zoar Outdoor

each referred to individually as a "Party" and collectively as "Parties."

RECITALS

WHEREAS,

A. FirstLight MA Hydro LLC and Northfield Mountain LLC (collectively, "FirstLight") are the FERC licensees for the Turners Falls Hydroelectric Project, FERC Project No. 1889 ("Turners Falls Project"), and Northfield Mountain Pumped Storage Project, FERC Project No. 2485 ("Northfield Mountain Project"), respectively. Both the license for the Turners Falls Project and the license for the Northfield Mountain Project (collectively, "Projects") expired on April 30, 2018. The Projects have been operating on annual licenses pursuant to Section 15 of the Federal Power Act ("FPA") since that time.

B. In accordance with the requirements of the FPA and FERC's regulations, FirstLight filed a Notice of Intent to file an application for new license for each of the Projects on October 31, 2012. Pursuant to FERC's Integrated Licensing Process, FirstLight then engaged with relicensing participants, FERC, and the public in scoping environmental issues related to the Projects and in developing and implementing a rigorous study plan to assess the Projects' environmental impacts.

C. As required by the FPA and FERC's regulations, FirstLight filed a Final Application for New License ("FLA") for the Projects with FERC on April 29, 2016. Because certain environmental studies required by FERC had not yet been completed as of the statutory deadline for filing of the FLA, FirstLight filed a separate Amended Final License Application for each Project ("AFLAs") on December 4, 2020, including FirstLight's proposed protection, mitigation and enhancement ("PM&E") measures to be included in the new licenses and the scientific and evidentiary basis for those measures.

D. In 2017, FirstLight began formal settlement discussions with relicensing participants, in particular, discussions with state and federal fish and wildlife agencies on fish passage and flow issues. Those discussions did not result in agreement on all fish passage and flow issues, but nevertheless informed FirstLight's PM&E proposals in the AFLAs. FirstLight's PM&E proposals in the AFLAs also were informed by further non-FERC required environmental studies undertaken in consultation with the state and federal fish and wildlife agencies, which FirstLight filed into the FERC record.

E. Following submittal of the AFLAs, FirstLight, the state and federal fish and wildlife agencies, and certain conservation organizations resumed discussions on fish passage and flows, which resulted in an Agreement in Principle which FirstLight filed with FERC on March 18, 2022. The same Parties reached an Amended Agreement in Principle on fish passage and flows to address fish passage adaptive management and certain other matters, which FirstLight filed with FERC on October 31, 2022. FirstLight separately engaged with whitewater boating interests and entered into an Agreement in Principle which FirstLight filed with FERC on February 28, 2022. Because of certain inconsistencies between the fish passage and flow agreement and the whitewater boating agreement, the parties to both agreements engaged in mutual discussions to bridge the gaps. Those discussions resulted in updates that have been incorporated into this Settlement Agreement.

F. While FERC and the Massachusetts Department of Environmental Protection ("MADEP") have not been directly involved in settlement negotiations, FirstLight and other Parties have kept FERC and MADEP generally apprised with periodic reports of their progress. Additionally, FirstLight and other Parties have at critical junctures requested FERC to continue to defer its Ready for Environmental Analysis ("REA") notice requesting comments, protests and interventions on FirstLight's applications for new license in order to give the Parties time to negotiate a final settlement agreement and resolve remaining outstanding issues. MADEP has been supportive of continued settlement discussions in filings with FERC. The Parties appreciate FERC's agreement to defer its REA notice during this time to allow the Parties to focus on finalizing the Settlement Agreement.

G. This Settlement Agreement is the end product of the Parties' work on: (1) fish passage, (2) flows for fishery, ecological conservation and recreation purposes, and (3) protected, threatened and endangered species, and as to the Parties, addresses all outstanding issues for the relicensing of the Projects on those topics ("Topics within the Scope of this Agreement").

H. In the course of settlement negotiations, FirstLight developed additional technical materials in support of those discussions. The additional materials will be filed with FERC as relevant and appropriate to the Settlement Agreement.

I. FERC has stated its intent to do a comprehensive environmental review that includes FirstLight's Projects as well as the upstream Project Nos. 1855, 1892, and 1904. This Settlement Agreement has been negotiated with the understanding that FirstLight's

operation of the Projects is in part governed by and dependent upon operations of the upstream projects.

TERMS OF AGREEMENT

NOW THEREFORE, in consideration of the mutual covenants set forth herein, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

1 General Provisions

1.1 Effective Date of Settlement Agreement

Except as provided in Section 1.1.1, this Settlement Agreement shall become effective upon the execution by all Parties of this Settlement Agreement ("Effective Date").

1.1.1 FirstLight's Affirmative Acceptance of License

FirstLight's contractual obligation to the Parties to implement the measures set forth in Appendix C of this Settlement Agreement shall become effective only upon FirstLight's acceptance, in its sole discretion, of the Final New Project Licenses. Within 45 days of the New Project Licenses becoming Final, FirstLight shall provide Notice to all Parties whether it affirmatively accepts the New Project Licenses and its concomitant obligations under this Settlement Agreement. If FirstLight does not timely provide such Notice, it shall be deemed to have affirmatively accepted the New Project Licenses. If FirstLight rejects the New Project Licenses this Settlement Agreement will terminate pursuant to Section 6.5, and will not be binding on FirstLight or any other Party in any subsequent proceeding at FERC or otherwise.

1.1.2 Effective Date of Parties' Obligations

The Parties' obligations under Sections 2 through 8, including the obligation to support this Settlement Agreement in the relicensing and related regulatory proceedings, take effect on the Effective Date.

1.2 Term of Settlement Agreement

The term of this Settlement Agreement shall commence on the Effective Date and shall continue (unless terminated as otherwise provided herein) for the term of the New Project Licenses plus the term(s) of any annual license(s) that may be issued after the foregoing New Project Licenses have expired.

1.3 Definitions

1.3.1 Commission or **FERC** shall mean the Federal Energy Regulatory Commission.

1.3.2 Consultation shall mean the process under this Settlement Agreement by which FirstLight seeks views through providing drafts of proposals, plans and reports, and seeking and considering comments on such proposals, plans, and reports as appropriate from relevant Parties. Consultation under this Settlement Agreement shall not be construed to satisfy "consultation" under Section 7 of the Endangered Species Act ("ESA") or other federal laws specifically requiring consultation, unless specifically noted.

1.3.3 Disputing Party or Disputing Parties shall mean the Party providing Notice of the dispute, the Party alleged to have failed to perform an obligation, and any other Party that provides Notice of its intent to participate in the dispute resolution.

1.3.4 Final, with respect to the New Project Licenses under this Settlement Agreement, shall mean such licenses after exhaustion of administrative and judicial remedies for any challenge which any Party or other person brings against the New Project Licenses or against any other regulatory approval integral to issuance of the New Project Licenses.

1.3.5 Fishway Prescription shall mean a prescription issued by the National Marine Fisheries Service ("NMFS") or the U.S. Fish and Wildlife Service ("USFWS") under Section 18 of the FPA, whether designated as preliminary, modified or final.

1.3.6 Inconsistent with this Settlement Agreement shall mean: (1) any material modification to, deletion of, or addition to the Proposed License Articles in the New Project Licenses; (2) any material modification to, deletion of, or addition to the Proposed License Articles in any Fishway Prescription, ESA Section 7 Biological Opinion, or Clean Water Act ("CWA") Section 401 Certification issued in connection with the New Project Licenses; (3) changes to the Projects proposed by FirstLight that are materially inconsistent with the assumptions underlying the Settlement Agreement; or (4) New Project Licenses issued for terms of less than 50 years. The term "material" for purposes of this section means a deviation from the Proposed License Articles that, either individually or collectively with other such deviations, substantially affects a Party's bargained-for benefits under this Settlement Agreement.

1.3.7 Inconsistent with this Settlement Agreement shall not mean: (1) the inclusion of standard articles from the appropriate L-Form (as defined by 18 C.F.R. § 2.9) in the New Project Licenses; (2) FERC's reservation of its authority to require changes to implementation schedules, plans, or other requirements of the New Project Licenses; (3) the inclusion in any Fishway Prescription of the issuing agency's reservation of authority to reopen its prescription, provided that the reservation of authority is consistent with this Settlement Agreement, and provided further that each Party reserves its right to contest the exercise of such reserved authority at such time as the agency may exercise the reserved authority; (4) the inclusion in any ESA Section 7 Biological Opinion of the issuing agency's

criteria for re-initiation of Section 7 consultation pursuant to 50 C.F.R. § 402.16; or (5) the inclusion in the New Project Licenses, any Fishway Prescription, any ESA Section 7 Biological Opinion, or any CWA Section 401 Certification, of such reasonable minimization and reporting requirements as FERC or the issuing agency determines are necessary to ensure FirstLight's compliance.

1.3.8 Material New Information shall mean significant and relevant new information which was neither in the administrative record for the relicensing nor otherwise known as of the Effective Date to the Party who seeks to use the Material New Information. Each Party agrees in good faith to share any such information with the other Parties in a timely manner.

1.3.9 New Project Licenses shall mean the new licenses, not to include any annual license extending the current licenses, issued by the Commission to FirstLight pursuant to Section 15 of the FPA for the continued operation of Project Nos. 1889 and 2485.

1.3.10 Notice shall mean a written communication which meets the requirements of Section 7.9 and any other requirements for notice specifically provided in any other applicable section of this Settlement Agreement.

1.3.11 Party or **Parties** shall mean the signatories to this Settlement Agreement.

1.3.12 Projects shall mean the Turners Falls Hydroelectric Project, currently licensed to FirstLight MA Hydro LLC as FERC Project No. 1889, and the Northfield Mountain Pumped Storage Project, currently licensed to Northfield Mountain LLC as FERC Project No. 2485.

1.3.13 Proposed License Articles shall mean the terms and conditions set forth in Appendices A and B of this Settlement Agreement that the Parties request that the Commission include in the New Project Licenses for the continued operation of the Projects.

1.3.14 Regulatory Party (collectively, "Regulatory Parties") shall mean USFWS, NMFS, and the Massachusetts Division of Fisheries and Wildlife ("MDFW").

1.3.15 Settlement Agreement shall mean the entirety of this Settlement Agreement, including the Appendices.

1.4 Acronyms

1.4.1 AFLAs – Amended Final License Applications
1.4.2 CWA – Clean Water Act
1.4.3 ESA – Endangered Species Act
1.4.4 FERC – Federal Energy Regulatory Commission
1.4.5 FLA – Final License Application
1.4.6 FPA – Federal Power Act
1.4.7 MADEP – Massachusetts Department of Environmental Protection
1.4.8 MDFW – Massachusetts Division of Fisheries and Wildlife
1.4.9 NMFS – National Marine Fisheries Service
1.4.10 NEPA – National Environmental Policy Act
1.4.11 PM&E – protection, mitigation and enhancement measure
1.4.12 REA – Ready for Environmental Analysis
1.4.13 USFWS – U.S. Fish and Wildlife Service

2 Purpose of Settlement Agreement

2.1 Purpose

The Parties have entered into this Settlement Agreement for the purpose of resolving all issues that have or could have been raised by the Parties in connection with FERC's orders issuing New Project Licenses relating to Topics within the Scope of this Agreement. While recognizing that several regulatory and statutory processes are not yet completed, it is the Parties' intention that this Settlement Agreement considers all significant issues related to the authority of Regulatory Parties concerning Topics within the Scope of this Agreement that may arise in the issuance of all regulatory approvals integral to FERC's issuance of the New Project Licenses, including but not limited to ESA Section 7 Biological Opinions to be issued by USFWS and NMFS, the CWA Section 401 Certifications to be issued by MADEP, and any Environmental Impact Statement or Environmental Assessment issued pursuant to the National Environmental Policy Act ("NEPA"). The Parties recognize that MADEP is the agency responsible for Section 401 Certification and is not a Party to this Settlement Agreement. Pursuant to the Parties' various rights, authorities, and responsibilities under Sections 10(a), 10(j), and 18 of the FPA, as well as other statutory and regulatory authorities and implied powers, this Settlement Agreement is intended to establish FirstLight's obligations concerning Topics within the Scope of this Agreement for the protection, mitigation and enhancement of resources affected by the Projects under the New Project Licenses. It also specifies procedures to be used among the Parties to ensure that implementation of the New Project Licenses is not Inconsistent with this Settlement Agreement, and with other legal and regulatory mandates. Except as specifically provided below, each of the Regulatory Parties agrees that FirstLight's performance of its obligations under this Settlement Agreement will be consistent with and is intended to fulfill FirstLight's existing statutory and regulatory obligations as to each Regulatory Party relating to the relicensing of the Projects with respect to Topics within the Scope of this Agreement.

2.2 No Precedent for Other Proceedings

This Settlement Agreement is made with the understanding that it constitutes a negotiated resolution of issues relating to Topics within the Scope of this Agreement for the New Project Licenses. Accordingly, this Settlement Agreement shall not be offered against a Party as argument, admission or precedent in any mediation, arbitration, litigation, or other administrative or legal proceeding that does not involve or relate to the New Project Licenses or the operation of the Projects. Further, no Party shall be deemed to have approved, admitted, accepted, or otherwise consented to any operation, management, valuation, or other principle underlying any of the matters covered by this Settlement Agreement, except as expressly provided herein. With respect to any mediation, arbitration, litigation, or other administrative or legal proceeding involving or relating to the New Project Licenses, the Parties' rights and responsibilities shall be as set forth in this Settlement Agreement. This Section shall survive any termination of this Settlement Agreement.

3 Compliance with Legal Responsibilities and Reservations of Rights

3.1 Regulatory Parties

3.1.1 Except as otherwise provided in this Settlement Agreement, by entering into this Settlement Agreement, each Regulatory Party represents that it believes and expects, based on the information known to it at time of signature, that: (1) the Proposed License Articles set forth in Appendices A and B are likely to satisfy the statutory, regulatory, or other legal requirements for the protection, mitigation, and enhancement of natural resources with respect to Topics within the Scope of this Agreement under the New Project Licenses; and (2) the Regulatory Party's statutory, regulatory, or other legal responsibilities with respect to Topics within the Scope of this Agreement are, or can be, met through approval without material modification of this Settlement Agreement and subsequent implementation of the New Project Licenses. This representation applies only to those requirements that the Regulatory Party administers.

3.1.2 Nothing in this Settlement Agreement is intended or shall be construed to be an irrevocable commitment of resources or a pre-decisional determination by a Regulatory Party. After the Effective Date of this Settlement Agreement but prior to the issuance of the New Project Licenses, each Regulatory Party will participate in the relicensing proceeding, including environmental review and consideration of public comments, as required by applicable law. Further, NMFS and USFWS shall consult with FERC under the ESA. Each Regulatory Party shall consider any new information arising in the relicensing proceeding or ESA consultation, as required by applicable law.

3.1.3 The Regulatory Parties agree that, throughout the duration of the term of this Settlement Agreement, they will not exercise any statutory or regulatory authority under currently applicable federal or state law in a manner that is

Inconsistent with this Settlement Agreement, absent Material New Information and except as provided in Section 4.12. Any reservation of authority of USFWS or NMFS pursuant to Section 18 of the FPA and any exercise of such reserved authority shall be consistent with the provisions of this Settlement Agreement, including Section 4.12.

3.2 No Effect on Parties' Other Legal Duties

Nothing in this Settlement Agreement is intended to, or shall be construed to, affect or limit the authority or obligation of any Party to fulfill its constitutional, statutory, and regulatory responsibilities or to comply with any judicial decision or order.

3.3 Future Relicensings

Nothing in this Settlement Agreement is intended or shall be construed to affect or restrict any Party's participation in or comments about the provisions of any future relicensing of the Projects subsequent to the current relicensing.

4 Settlement Agreement Commitments and Implementation

4.1 Parties Bound by Settlement Agreement

Each Party shall be bound by this Settlement Agreement for the term stated in Section 1.2, provided the Final New Project Licenses are not Inconsistent with this Settlement Agreement and the Party has not withdrawn from the Settlement Agreement under Section 6 of this Settlement Agreement.

4.2 Fishway Prescriptions and Section 10(a) and 10(j) Recommendations

4.2.1 Protection, Mitigation and Enhancement Measures to Be Included in Section 18 Fishway Prescriptions and Section 10(a) and 10(j) Recommendations

(1) Preliminary Fishway Prescriptions and any flow or fish passage recommendations under FPA Sections 10(a) and 10(j) of the Parties shall not be Inconsistent with this Settlement Agreement;

(2) Any information, comments, or responses to comments regarding flows and/or fish passage by the Parties in the context of relicensing of the Projects shall not be Inconsistent with this Settlement Agreement;

(3) The Parties shall use reasonable efforts to obtain FERC orders approving this Settlement Agreement and issuing New Project Licenses not Inconsistent with this Settlement Agreement in a timely manner;

(4) The Parties shall support, in all relevant regulatory proceedings in which they

participate, regulatory actions regarding flows and/or fish passage not Inconsistent with this Settlement Agreement; and

(5) A Party may only use Material New Information to submit comments or recommendations under Sections 10(a) or 10(j) Inconsistent with this Settlement Agreement if it believes in good faith that such information significantly undermines the Settlement Agreement, taken as a whole for the affected Party, and significantly affects the adequacy of the Proposed License Articles under Sections 10(a) or 10(j).

4.2.2 Fishway Prescriptions Inconsistent with Settlement Agreement

4.2.2.1 NMFS and USFWS intend that any Fishway Prescriptions submitted to FERC in connection with the issuance of the New Project Licenses will not be Inconsistent with this Settlement Agreement, in particular, Proposed License Articles A300, A310, A320, and A330 for the Turners Falls Project and Articles B200, B210, and B220 for the Northfield Mountain Project.

4.2.2.2 If any Fishway Prescription is Inconsistent with this Settlement Agreement, the Settlement Agreement shall be deemed modified to conform to the inconsistency unless a Party provides Notice to the other Parties that it objects to the inconsistency and initiates dispute resolution within 30 days after the date the inconsistent Fishway Prescription is filed with FERC.

4.2.2.3 The Disputing Party may exercise any right it may have to request an agency trial-type hearing on issues of material fact under Section 18 of the FPA, and propose alternatives under Section 33 of the FPA, with respect to any Fishway Prescriptions that include an inconsistency with this Settlement Agreement, even if other provisions in the Fishway Prescriptions are not Inconsistent with the Settlement Agreement. The Disputing Party may also seek administrative review at FERC and any other administrative and/or judicial remedies provided by law. The Parties shall follow the dispute resolution process to the extent reasonably practicable while any such appeal of an inconsistent action is pursued.

4.2.2.4 Except as provided in Section 4.5.5.4 for omissions based on jurisdiction or if the Settlement Agreement is terminated pursuant to Section 6.5, if any Fishway Prescriptions are Inconsistent with this Settlement Agreement after a final and non-appealable administrative or judicial decision, this Settlement Agreement shall be deemed modified to conform to that decision.

4.2.2.5 If the Fishway Prescriptions are not Inconsistent with this Settlement Agreement, each Party waives any right it may have to request

an agency trial-type hearing on issues of material fact under Section 18 of the FPA, and to propose alternatives under Section 33 of the FPA. The Parties shall not support any trial-type hearing request by any non-party and will make reasonable efforts to support USFWS and NMFS, as appropriate, if a trial-type hearing is requested by any non-party. If a non-party requests a trial-type hearing, the Parties may intervene in the hearing to support this Settlement Agreement.

4.3 ESA Consultation

4.3.1 Biological Opinions

FERC has designated FirstLight as FERC's non-federal representative for carrying out informal consultation with NMFS and USFWS under Section 7 of the ESA. As part of this informal consultation, FirstLight submitted as part of its AFLAs draft Biological Assessments to assist FERC's preparation of Biological Assessments for purposes of Section 7 consultation with NMFS and USFWS. Within 180 days of the Effective Date, FirstLight will file with FERC revised draft Biological Assessments reflecting the relevant PM&E measures agreed to as part of this Settlement Agreement and asking FERC to consider and adopt them as part of the proposed actions for the Section 7 consultations between FERC and NMFS, and FERC and USFWS. Any Biological Opinions relating to the New Project Licenses shall address and evaluate the provisions that FERC incorporates into its proposed actions. As of the Effective Date, NMFS and USFWS represent that they enter into this Settlement Agreement believing that the information in the record supports the PM&E measures provided herein. However, NMFS and USFWS are not making a pre-decisional determination of the outcome of any Section 7 consultation and expressly reserve the right to issue any Reasonable and Prudent Measures and Terms and Conditions in any Biological Opinions and Incidental Take Statements as necessary to meet their obligations under the ESA.

Further, the Parties acknowledge the ESA consultation will be based on FERC's proposed actions, the species listed under the ESA at the time of the consultation, and the best information available at the time of the consultation. Per the implementing regulations for Section 7 of the ESA, a consultation shall be reinitiated if any of the criteria at 50 C.F.R. § 402.16 are met. The outcome of future consultations on the Projects, during or after the term of the New Project Licenses, will not be limited by the content of this Settlement Agreement. Per 50 C.F.R. § 402.14(i)(2), formal consultations that result in non-jeopardy Biological Opinions must adhere to the "minor change rule."

4.3.2 Biological Opinion and Incidental Take Statement Inconsistent with This Settlement Agreement

4.3.2.1 Consistent with Section 4.3.1, NMFS and USFWS anticipate that the measures contained in this Settlement Agreement will minimize any incidental take occurring as a result of implementation of this Settlement Agreement for species listed as threatened or endangered as of the Effective Date, and that any Reasonable and Prudent Measures and/or Terms and Conditions contained in any Biological Opinions and Incidental Take Statements will not be Inconsistent with this Settlement Agreement.

4.3.2.2 If any Biological Opinion or Incidental Take Statement issued pursuant to Section 7 of the ESA is Inconsistent with this Settlement Agreement, this Settlement Agreement shall be deemed modified to conform to the provisions of the Biological Opinion and Incidental Take Statement, unless a Party provides Notice to the other Parties that it objects to the inconsistency and initiates dispute resolution within 30 days after the Biological Opinion and Incidental Take Statement are filed with FERC.

4.3.2.3 The Disputing Party may, to the extent provided by applicable law, seek administrative and/or judicial review of any Biological Opinion or Incidental Take Statement that is Inconsistent with this Settlement Agreement. The Parties shall follow the dispute resolution process to the extent reasonably practicable while such administrative or judicial review is pursued.

4.3.2.4 Except as provided in Section 4.5.5.4 for omissions based on jurisdiction or if the Settlement Agreement is terminated pursuant to Section 6.5, if any Biological Opinion or Incidental Take Statement is Inconsistent with this Settlement Agreement after a final and non-appealable decision on the administrative or judicial action, this Settlement Agreement shall be deemed modified to conform to the final decision.

4.4 CWA Section 401 Certification

4.4.1 Protection, Mitigation and Enhancement Measures Recommended to Be Included in CWA Section 401 Certifications

Any Party participating in the Section 401 Certification process shall request that MADEP accept and incorporate, without material modifications, as conditions to the Section 401 Certifications, all the PM&E measures stated in Appendices A and B of the Settlement Agreement that are within the MADEP's jurisdiction pursuant to Section 401 of the CWA. The Parties shall further request that

MADEP not include as conditions to the Section 401 Certifications additional conditions that are Inconsistent with this Settlement Agreement.

4.4.2 Section 401 Certifications Inconsistent with This Settlement Agreement

4.4.2.1 If the MADEP denies FirstLight's application for Section 401 Certification for either of the Projects, the Parties agree such denial shall be considered Inconsistent with this Settlement Agreement, unless (1) the denial is without prejudice, and (2) the denial is not based on a determination that the PM&E measures in Appendices A and B of this Settlement Agreement are insufficient for MADEP to issue Section 401 Certifications based on those PM&E measures. If the MADEP issues the Section 401 Certifications and any condition of a Section 401 Certification is Inconsistent with this Settlement Agreement, the Settlement Agreement shall be deemed modified to conform to the Section 401 Certification, unless a Party provides Notice to the other Parties that it objects to the inconsistency and initiates dispute resolution within 30 days after the issuance of the Section 401 Certification.

4.4.2.2 The Disputing Party may, to the extent provided by applicable law, seek administrative and/or judicial review of any Section 401 Certification or denial of Section 401 Certification that is Inconsistent with this Settlement Agreement. The Parties shall follow the dispute resolution process to the extent reasonably practicable while such administrative and/ or judicial review is pursued.

4.4.2.3 If any Party or non-party seeks administrative and/or judicial review of a Section 401 Certification, FirstLight or any Party may request that FERC hold the New Project Licenses in abeyance pending a final adjudication of the Section 401 Certification. Any Party objecting to such a request may oppose it, after complying with the dispute resolution procedures of this Settlement Agreement.

4.4.2.4 Except as provided in Section 4.5.5.4 for omission based on jurisdiction or if the Settlement Agreement is terminated pursuant to Section 6.5, if any condition of a Section 401 Certification is Inconsistent with this Settlement Agreement after a final and non-appealable decision on the administrative or judicial action, this Settlement Agreement shall be deemed modified to conform to the final decision.

4.5 New Project Licenses

4.5.1 Support for Issuance of New Project Licenses

The Parties shall support and advocate through appropriate written communications to FERC, USFWS, NMFS, and MADEP on behalf of this Settlement Agreement and the PM&E measures stated in Appendices A and B hereto. The Parties agree not to propose, support, or advocate proposed PM&E measures Inconsistent with this Settlement Agreement, except as specifically permitted herein.

4.5.2 Term of New Project Licenses

The Parties agree that the investment of funds and other commitments associated with the terms of this Settlement Agreement justify the issuance of 50-year licenses and support FirstLight's request for 50-year licenses to FERC.

4.5.3 Comments on the NEPA Document

The Parties shall comment on any PM&E measure recommended by FERC in its draft or final NEPA document which, if approved in the New Project Licenses, would be Inconsistent with this Settlement Agreement. Such comment(s) would aim to urge FERC to adopt the full settlement terms before the issuance of the New Project Licenses.

4.5.4 PM&E Measures Recommended to Be Included in New Project Licenses

The Parties shall request that FERC accept and incorporate, without material modification, as license articles, all the PM&E measures stated in Appendices A and B of this Settlement Agreement. The Parties shall further request that FERC not include in the New Project Licenses PM&E measures that are Inconsistent with this Settlement Agreement.

The Parties shall request that measures and actions agreed to among the Parties as set forth in Appendix C not be incorporated in the New Project Licenses.

4.5.5 New Project Licenses Inconsistent with This Settlement Agreement

4.5.5.1 Consistency of Licenses with Settlement Agreement

If the New Project Licenses issued by FERC are Inconsistent with this Settlement Agreement, the Settlement Agreement shall be deemed modified to conform to the inconsistency, unless a Party provides Notice to the other Parties that it objects to the inconsistency and initiates dispute resolution within 30 days after the date of the FERC order issuing license.

4.5.5.2 Disputing Inconsistencies

The Disputing Party may, in addition, if it is a party to the FERC relicensing proceeding, petition FERC for rehearing and seek judicial review of the New Project Licenses. If any Party, including FirstLight, or non-party seeks rehearing or judicial review of the New Project Licenses, FirstLight may seek a stay or an extension of time of any or all requirements of the New Project Licenses. Any Party objecting to such a request may oppose it, after complying with the dispute resolution procedures of this Settlement Agreement.

4.5.5.3 Modification of Agreement if Inconsistency

Except as provided in Section 4.5.5.4 for omission based on jurisdiction and Section 4.5.5.5 for inclusion based on jurisdiction, or if the Settlement Agreement is terminated pursuant to Section 6.5, if a provision in the Final New Project Licenses is Inconsistent with this Settlement Agreement, this Settlement Agreement shall be deemed modified to conform to the final decision.

4.5.5.4 Omission Based on Jurisdiction

If the New Project Licenses do not contain all the PM&E measures stated in Appendices A and B because FERC expressly determines that it does not have jurisdiction to adopt or enforce the omitted PM&E measures, this Settlement Agreement shall not be deemed modified to conform to such omission, and such omission shall not be used as the basis for dispute among the Parties; provided that any PM&E measure that FERC excludes from Appendices A or B based on a lack of jurisdiction shall be automatically included in Appendix C without material modification (including all funds needed to carry out or implement any such PM&E measure).

4.5.5.5 Inclusion Based on Jurisdiction or Section 401 Certification

If the New Project Licenses include PM&E measures stated in Appendix C of this Settlement Agreement because FERC determines that such measures are required to be included under the FPA and are within FERC's jurisdiction to enforce, or MADEP includes such measures as conditions of a Section 401 Certification, such action shall not be considered Inconsistent with this Settlement Agreement provided there is no material change to the PM&E measure other than its inclusion in the New Project Licenses. However, Parties may not assert in any regulatory forum including FERC that any PM&E measures in Appendix C of this Settlement Agreement should be included in the New Project Licenses.

4.6 Cooperation Among Parties

The Parties shall cooperate in good faith in the implementation of this Settlement Agreement and the New Project Licenses.

4.7 Support for Implementation

Upon notification by FirstLight of the need therefore, the other Parties shall provide written communications (or orally, in the event written communication is impossible to obtain due to reasons outside a Party's control) of support in any administrative approval process that may be required for implementation of this Settlement Agreement or related articles of the New Project Licenses, subject to available Party resources and Regulatory Party authority and policy.

4.8 Defense Against PM&E Measures Inconsistent with This Settlement Agreement

If a Party files a pleading or other document before FERC or another regulatory agency advocating a PM&E measure Inconsistent with this Settlement Agreement which is not based on Material New Information, whether prior to or following issuance of the New Project Licenses, any other Party may defend by: (1) stating its opposition to the PM&E measure Inconsistent with this Settlement Agreement; (2) requesting that FERC or other regulatory agency disapprove the PM&E measure Inconsistent with this Settlement Agreement; and (3) explaining what offsetting PM&E measures should be included in and/or excluded from the New Project Licenses if the PM&E measure Inconsistent with this Settlement Agreement is approved.

4.9 Responsibility for Compliance with New Project Licenses

Upon acceptance of the New Project Licenses, FirstLight is ultimately responsible for compliance with them. By entering into this Settlement Agreement, except as expressly provided herein, none of the other Parties is accepting any new or additional legal liability or responsibility for compliance with the obligations under the New Project Licenses. FirstLight shall not be excused from its duty to comply with the New Project Licenses due to a failure by any other Party, entity, or person to provide funding or carry out a duty, obligation, or responsibility it may have with respect to the Projects pursuant to other laws or agreements. Notwithstanding the foregoing, this Settlement Agreement does not alter or abrogate any duty, obligation, or responsibility that any other Party or person may have to provide such funding pursuant to other laws or agreements, nor does this Settlement Agreement prevent FirstLight or any other Party from seeking to enforce such duty, obligation, or responsibility. Further, FirstLight shall have no obligation to reimburse or otherwise pay any other Party for its assistance, participation, or cooperation in any activities pursuant to this Settlement Agreement of the New Project Licenses unless expressly agreed to by FirstLight or as required by law. In the event of administrative rehearing or judicial review, Parties shall bear their own costs and attorneys' fees.

4.10 Availability of Funds

Implementation of this Settlement Agreement by any Party other than FirstLight is subject to the availability of funds. In addition, implementation of this Settlement Agreement by any federal agency is subject to the requirements of the Anti-Deficiency Act, 31 U.S.C. Section 1341 *et seq*.

4.11 Implementation

4.11.1 Implementation Schedule

FirstLight shall ensure that implementation of the PM&E measures stated in Appendices A and B shall be consistent with any schedule specified in Appendices A and B (as it may be modified by the New Project Licenses). FirstLight and other responsible Parties shall implement the measures stated in Appendix C consistent with the applicable schedules.

4.11.2 Permits

Upon acceptance of the New Project Licenses and FERC approval of the applicable plans, FirstLight shall apply for and use reasonable efforts to obtain in a timely manner and in final form all necessary federal, state, regional, and local permits, licenses, authorizations, certifications, determinations, and other governmental approvals for purposes of implementing this Settlement Agreement and the New Project Licenses ("Permits"). The applications for such Permits shall be consistent with the terms of this Settlement Agreement. Each Party, upon FirstLight's request, shall use reasonable efforts to support FirstLight's applications for Permits, and shall not file comments or recommend Permit conditions that are Inconsistent with this Settlement Agreement. However, this agreement to support FirstLight's applications for Permits, shall not apply to a Regulatory Party issuing the permit, consulting on the issuance of a permit under its legal authority, or not participating in the Permit application proceeding. FirstLight shall pay all fees required by law related to such Permits. The Parties shall work together and cooperate as appropriate during the permitting, environmental review, and implementation of this Settlement Agreement. FirstLight shall not be required by the Settlement Agreement to implement an action required under this Settlement Agreement or the New Project Licenses if a Permit has been denied or contains conditions that are Inconsistent with this Settlement Agreement, or until all applicable Permits required for that action are obtained. If a proceeding challenging any Permit required for the action has been commenced, FirstLight shall be under no obligation under this Settlement Agreement to implement the action or any related action until any such proceeding is terminated. In the event any Permit has been denied, FirstLight determines that the Permit contains conditions that are Inconsistent with this Settlement Agreement, or any Permit is not obtained in a timely manner, the Parties shall confer to evaluate the effect of such event on implementation of this

Settlement Agreement and seek to develop actions to respond to that event. If the Parties do not agree on actions to respond to that event and nonperformance or prolonged delay in performance of one or more PM&E measures due to the event materially reduces the benefit of this Settlement Agreement, a Party may initiate dispute resolution, except that dispute resolution regarding denial of a Permit shall be restricted to the issue of actions to respond to that event. In addition, if the event results in nonperformance or prevents performance of one or more PM&E measures for a prolonged period, the Parties recognize that re-initiation of consultation under the ESA may be required. Nothing contained in this section shall be construed to limit FirstLight's right to apply for a Permit before issuance of the New Project Licenses, provided that any such applications shall not be Inconsistent with this Settlement Agreement.

4.12 Reopener or Amendment of New Project Licenses

4.12.1 Limitation on Reopeners and Modifications

No Party to this Settlement Agreement may seek to modify or otherwise reopen the PM&E measures included in the New Project Licenses in a manner that is Inconsistent with this Settlement Agreement unless that Party, relying on Material New Information, reasonably demonstrates that such proposed modification or reopener fulfills a statutory, regulatory, or court ordered responsibility, or reasonably demonstrates that the New Project Licenses no longer comply with applicable law, or that there is a similarly compelling reason to modify the PM&E measures.

4.12.1.1 Notice of Proposed Reopener

Prior to seeking modification or reopener, a Party shall provide all Parties at least 90-day Notice to consider the Material New Information and that Party's position. A Party shall not be required to comply with this 90-day Notice provision if it reasonably believes an emergency situation exists. If a Party proposes a modification or reopener that another Party believes would be Inconsistent with this Settlement Agreement and objects, then the dispute resolution provisions of Section 5 apply, and the objecting Party must invoke dispute resolution during the 90-day Notice period or waive its objection.

4.12.2 Amendment of New Project Licenses

Nothing in this Settlement Agreement is intended, or shall be construed, to affect or limit the right of FirstLight to seek amendments of the New Project Licenses that are not Inconsistent with this Settlement Agreement.

4.12.2.1 Notice of Proposed License Amendment

Prior to filing any proposed license amendment that relates to a subject covered by this Settlement Agreement, including a temporary amendment, FirstLight shall provide the other Parties at least 90-day Notice of its intention to do so. At the request of any Party, FirstLight shall consult with any/all interested Parties regarding the need for and the purpose of the amendment. If a Party believes the proposed amendment is Inconsistent with this Settlement Agreement and objects, then the dispute resolution provisions in Section 5 apply, and the objecting Party must invoke dispute resolution within this 90-day Notice period or waive its objection. FirstLight shall not be required to comply with this 90-day Notice provision if it reasonably believes an emergency situation exists or if required to meet its responsibilities under applicable law or an order of an agency with jurisdiction over it. In such an emergency or regulatory compliance situation, FirstLight shall give Notice to the Regulatory Parties within 10 business days of recognition of the need for such amendment.

4.12.2.2 Consultation on Amendments

Except as provided in the New Project Licenses or in the case of an emergency, FirstLight shall allow a minimum of 60 days for any Party to comment and to make recommendations before filing any application for a Project license amendment that relates to a subject covered by this Settlement Agreement and where consultation with Regulatory Parties or other Parties is required. If FirstLight does not adopt a recommendation or comment of a Party, it shall include in any filing with FERC copies of the comments/recommendations and an explanation as to why the comment/recommendation was not adopted.

4.12.2.3 Exception for FERC Compliance Directives

The notice and consultation requirements of this Section shall not apply to license amendments in connection with compliance matters under Section 4.13 below.

4.12.2.4 Parties' Option to Intervene in Amendment Proceeding

FirstLight shall not oppose, based on the issue of standing, an intervention request by any Party in a proceeding for a Project license amendment that the Party has concluded would be Inconsistent with this Settlement Agreement. The Parties acknowledge that intervention in the relicensing proceeding docket at FERC does not make the Party an intervenor in any post-licensing proceeding.

4.13 Compliance with FERC Project Safety and Other Directives

FirstLight expressly reserves the right to fully and timely comply with any FERC directive or compliance order, including but not limited to any requirement related to Project safety or security. In no instance will any action by FirstLight that is reasonably necessary or appropriate to comply with any such order or direction from FERC trigger the dispute resolution protocols of this Settlement Agreement or be construed as a breach of the Settlement Agreement or an action Inconsistent with this Settlement Agreement. FirstLight agrees to consult with relevant Parties to the extent practicable prior to taking action. All Parties reserve their rights to defend their interests at FERC.

4.14 Amendment of Settlement Agreement

This Settlement Agreement may be amended at any time through the term of the New Project Licenses plus the term(s) of any annual license(s) that may be issued after the New Project Licenses have expired, with the unanimous agreement of all Parties still in existence, including any successor thereto. The Party seeking amendment shall give each other Party at least 60-day prior written Notice. Such Notice shall state that failure of any Party, with the exception of Regulatory Parties and FirstLight, to respond in writing or by electronic mail to the Notice within the applicable 60-day period shall be deemed to be an approval of such amendment. Any amendment of this Settlement Agreement shall be in writing and executed by the responding Parties. The Parties recognize that any amendment to Appendices A and B of the Settlement Agreement may also require an amendment to the New Project Licenses, the CWA 401 Certifications, and the Biological Opinions.

5 Dispute Resolution

5.1 General Applicability

5.1.1 All disputes among the Parties regarding any Party's performance or compliance with this Settlement Agreement, including resolution of any disputes related to the New Project Licenses, Fishway Prescriptions, Biological Opinions, Section 401 Certifications, or Permits related to the New Project Licenses, shall be subject to the dispute resolution process provided in this Section 5, unless otherwise specifically provided in this Settlement Agreement or required by applicable law. The Parties agree that disputes shall be brought in a prompt and timely manner.

5.1.2 The Disputing Parties shall devote such resources as are needed and as can be reasonably provided to resolve the dispute expeditiously.

5.1.3 The Disputing Parties shall cooperate in good faith to promptly schedule, attend, and participate in the dispute resolution.

5.1.4 Unless otherwise agreed among the Disputing Parties, each Disputing Party shall bear its own costs for its participation in this or any administrative dispute resolution process related to the Settlement Agreement.

5.1.5 Each Disputing Party shall promptly implement any resolution of the dispute.

5.1.6 The dispute resolution process in this Section does not preclude any Party from timely filing and pursuing an action for administrative or judicial relief of any FERC order, compliance matter, or other regulatory action related to the New Project Licenses, provided that any such Party shall pursue dispute resolution pursuant to this process as soon as practicable thereafter or concurrently therewith.

5.1.7 The Party initiating a dispute under this Section may notify FERC when dispute resolution proceedings are initiated relevant to the New Project Licenses. The Parties acknowledge that the initiation of dispute resolution proceedings shall have no effect on filing deadlines or applicable statutes of limitation before FERC.

5.2 Process

5.2.1 Dispute Initiation Notice

A Party claiming a dispute shall give Notice of the dispute. If the dispute includes a claim that a New Project License, or related regulatory approval, is Inconsistent with this Settlement Agreement, the Notice shall be issued within the applicable time periods specified in Section 4. Such Notice shall describe: (A) the matter(s) in dispute, (B) the identity of any other Party alleged to have not performed an obligation provided by the Settlement Agreement, and (C) the specific relief sought. The Parties agree that disputes shall be brought in a prompt and timely manner.

5.2.2 Informal Meetings

The Disputing Parties shall hold at least two informal meetings to resolve the dispute, commencing within 30 days after the Dispute Initiation Notice.

5.2.3 Mediation

If the dispute is not resolved in the informal meetings, the Disputing Parties shall decide whether to use a neutral mediator, such as FERC's Office of Dispute Resolution Services. The decision whether to pursue mediation shall be made within 20 days after conclusion of the informal meetings in Section 5.2.2. The Disputing Parties shall agree on an appropriate allocation of any costs of the mediator employed under this section. Mediation shall not occur if the Disputing

Parties cannot agree on the allocation of costs. The Disputing Parties shall select a mediator within 30 days of the decision to pursue mediation, including the agreement of allocation of costs. The mediation process shall be concluded not later than 60 days after the mediator is selected. The above time periods may be shortened or lengthened upon mutual agreement of the Disputing Parties.

5.2.4 Dispute Resolution Notice

The Disputing Parties shall provide Notice of any resolution of the dispute achieved under Sections 5.2.2 and 5.2.3. The Notice shall: (A) restate the disputed matter, as initially described in the Dispute Initiation Notice; (B) describe the alternatives which the Disputing Parties considered for resolution; and (C) state whether resolution was achieved, in whole or part, and state the specific relief agreed-to as part of the resolution.

5.3 Enforcement of Settlement Agreement After Dispute Resolution

5.3.1 Enforcement Regarding New Project Licenses

A Disputing Party may seek administrative or judicial relief for an unresolved dispute regarding FirstLight's performance of its obligations under the New Project Licenses only after exhaustion of the dispute resolution process under Section 5, unless applicable processes require a filing for relief before dispute resolution can conclude. Any such relief shall be sought and obtained from FERC or other appropriate regulatory or judicial forum. No Party to the Settlement Agreement may seek damages for breach of the Proposed License Articles stated in Appendices A and B, whether before or after acceptance of the New Project Licenses.

5.3.2 Enforcement Regarding Contractual Obligations

A Disputing Party may seek administrative or judicial relief for breach of a contractual obligation established by this Settlement Agreement only after exhaustion of the dispute resolution process in Section 5. Venue for such action shall lie in a court with jurisdiction located in the Commonwealth of Massachusetts. In such action, a Disputing Party may only seek specific performance of the contractual obligation or other equitable relief. No Party shall be liable for damages for such breach of contractual obligations. By executing this Settlement Agreement, no Party waives any equitable or legal defenses that may be available. Nothing in this agreement waives the sovereign immunity of the United States, or the Commonwealth of Massachusetts, or constitutes consent to suit by either sovereign in any manner not otherwise provided for by law.

6 Withdrawal from Settlement Agreement

6.1 Withdrawal of Party from Settlement

A Party may withdraw from this Settlement Agreement only if (1) it objects to a Fishway Prescription, Biological Opinion, CWA 401 Certification, or FERC order issuing a New Project License that is Inconsistent with this Settlement, (2) it has complied with the required dispute resolution procedures stated in Section 5 to attempt to resolve the objection, and (3) the objection is to a CWA 401 Certification or FERC order issuing a New Project License, that Party does not file for appeal of the inconsistency. If the Party files an appeal to resolve the inconsistency, that Party may not withdraw until its appeal is concluded and the inconsistency remains uncured. In addition, FirstLight may withdraw as provided in Section 6.2. A Party that withdraws will provide Notice of withdrawal, including its basis for withdrawal.

6.2 Withdrawal of FirstLight from Settlement Agreement Prior to Acceptance of the New Project Licenses

In addition to the provisions of Section 6.1, prior to the acceptance of the New Project Licenses, FirstLight may withdraw from this Settlement Agreement without first complying with the dispute resolution process stated in Section 5 if a Party withdraws from this Settlement Agreement and FirstLight determines in its sole discretion, after providing the remaining Parties a reasonable opportunity to meet and discuss the matter with FirstLight, that the withdrawal: (1) may adversely affect the likelihood of NMFS or USFWS issuing a Fishway Prescription or Biological Opinion that is consistent with this Settlement Agreement, (2) may adversely affect the likelihood of MADEP issuing a CWA 401 Certification that is consistent with this Settlement Agreement, (3) may adversely affect the likelihood of FERC issuing a license that is consistent with this Settlement Agreement, or (4) substantially diminishes the value of this Settlement Agreement for FirstLight. FirstLight shall give Notice identifying the reason for withdrawal within 30 days of its knowledge of the event creating the right to withdraw.

6.3 Effective Date of Withdrawal

Withdrawal by a Party shall become effective 10 calendar days after Notice is given by the withdrawing Party.

6.4 Continuity After Withdrawal

The withdrawal of a Party, other than FirstLight, does not automatically terminate this Settlement Agreement for the remaining Parties. If a Party withdraws from this Settlement Agreement, the withdrawing Party shall not be bound by any term contained in this Settlement Agreement, except as provided in this section and in Section 2.2. The withdrawing Party shall not use any documents and communications related to the development, execution, and submittal of this Settlement Agreement to FERC as evidence, admission, or argument in any forum or proceeding for any purpose to the

fullest extent allowed by applicable law, including 18 C.F.R. § 385.606. This provision does not apply to any information that was in the public domain prior to the development of this Settlement Agreement or that became part of the public domain at some later time through no unauthorized act or omission by any Party. This provision does not apply to: (1) any information held by a federal agency that is not protected from disclosure pursuant to the Freedom of Information Act or other applicable law; or (2) any information held by a state or local agency that is not protected from disclosure pursuant to M.G.L. ch. 66 §§ 10-10B or other applicable state or federal law. The withdrawing Party shall continue to maintain the confidentiality of all settlement communications to the extent permitted by applicable law.

6.5 Termination of Settlement Agreement

This Settlement Agreement shall terminate as to all Parties and have no further force or effect upon expiration of the New Project Licenses and any annual licenses issued after expiration thereof, upon withdrawal from this Settlement Agreement by FirstLight or upon FirstLight's decision not to affirmatively accept the New Project Licenses, or upon FERC issuing an order approving FirstLight's surrender of one or both of the New Project Licenses. Upon termination, all documents and communications related to the development, execution, and submittal of this Settlement Agreement to FERC shall not be used as evidence, admission, or argument in any forum or proceeding for any purpose to the fullest extent allowed by applicable law, including 18 C.F.R. § 385.606. This provision does not apply to any information that was in the public domain prior to the development of this Settlement Agreement or that became part of the public domain at some later time through no unauthorized act or omission by any Party. This provision does not apply to: (1) any information held by a federal agency that is not protected from disclosure pursuant to the Freedom of Information Act or other applicable law; or (2) any information held by a state or local agency that is not protected from disclosure pursuant to M.G.L. ch. 66 §§ 10-10B or other applicable state or federal law. Notwithstanding the termination of this Settlement Agreement, all Parties shall continue to maintain the confidentiality of all settlement communications to the extent permitted by applicable law, and all Parties remain subject to Section 2.2 of this Settlement Agreement.

7 General Provisions

7.1 Non-Severable Terms of Settlement Agreement

The terms of this Settlement Agreement are not severable one from the other. This Settlement Agreement is made on the understanding that each term is in consideration and support of every other term, and each term is a necessary part of the entire Settlement Agreement. If a court of competent jurisdiction rules that any provision in Sections 1 through 8.2 of this Settlement Agreement is invalid, this Settlement Agreement is deemed modified to conform to such ruling, unless a Party objects. If a Party objects, the other Parties agree to meet and confer regarding the continued viability of this Settlement Agreement.

7.2 No Third-Party Beneficiaries

This Settlement Agreement shall not create any right or interest in the public, or any member thereof, as a third-party beneficiary hereof, and shall not authorize any non-Party to maintain a suit at law or equity pursuant to this Settlement Agreement. The duties, obligations, and responsibilities of the Parties with respect to third parties shall remain as imposed under applicable law.

7.3 Successors and Assigns

This Settlement Agreement shall be binding on and inure to the benefit of the Parties and their successors and approved assigns, unless otherwise specified in this Settlement.

7.3.1 Assignment

Any voluntary assignment by a Party shall not be effective unless approved by FirstLight, which approval shall not be unreasonably withheld. A partial assignment is not permitted. After FirstLight's approval of the assignment, the assignee shall sign the Settlement Agreement and become a Party.

7.3.2 Succession

In the event of succession between public agencies, whether by statute, executive order, or operation of law, the successor agency shall become a Party to and be bound by the terms of this Settlement Agreement, to the extent permitted by law.

7.3.3 Continuation of Certain Obligations

7.3.3.1 Upon completion of a succession or assignment, the initial Party shall no longer be a Party. It shall continue to be bound by Sections 2.2, 6.4, 6.5, 7.2, and 7.3. The initial Party shall not take any action adverse to the Settlement Agreement, or the New Project Licenses to the extent they incorporate the Settlement Agreement.

7.3.3.2 No change in ownership of the Project or transfer of the existing or New Project Licenses by FirstLight shall in any way modify or otherwise affect any other Party's rights or obligations under this Settlement Agreement. Unless prohibited by applicable law, FirstLight shall require in any transaction for a change in ownership of the Projects or transfer of the existing or New Project Licenses, that such new owner shall be bound by, and shall assume all of the rights and obligations of FirstLight under this Settlement Agreement upon completion of the change of ownership and approval by FERC of the license transfer.

7.3.4 Notice

FirstLight transferring pursuant to Section 7.3.3.2 or an assigning Party shall provide Notice to the other Parties at least 30 days prior to the proposed effective date of such transfer or assignment.

7.4 Extension of Time; Inability to Perform

7.4.1 Obligations under New Project Licenses

7.4.1.1 Extension of Time

If FirstLight has good cause, consistent with FERC's standard in 18 C.F.R. § 385.2008, to seek an extension of time to fulfill an obligation under the New Project Licenses, it may file with FERC such a request after consulting with the relevant Parties. The Parties acknowledge that FERC's standard for any such request shall apply. If any Party provides Notice that it disputes the good cause for extension, FirstLight and the Disputing Party shall follow the dispute resolution process in Section 5 of this Settlement Agreement. If the dispute cannot be timely resolved by such process, FirstLight may proceed with its request, if it has not done so already, and any Disputing Party may oppose the request.

7.4.1.2 Inability of FirstLight to Perform

If FirstLight is unable to perform an obligation under the New Project Licenses due to an event or circumstances beyond its reasonable control, FirstLight may file with FERC an appropriate request for relief. The Parties acknowledge that FERC's standard for any such request shall apply. If any Party provides Notice that it disputes the non-performance, FirstLight and the Disputing Party shall follow the dispute resolution process in Section 5 of this Settlement Agreement. If the dispute cannot be timely resolved by such process, FirstLight may proceed with its request to FERC, if it has not done so already, and any Disputing Party may oppose its request.

7.4.2 Contractual Obligations

No Party shall be in breach of a contractual obligation under this Settlement Agreement, as established by Sections 1 through 8.2 and Appendix C of this Settlement Agreement, if it is unable to perform or delays performance due to any Uncontrollable Force reasonably beyond its control, unless otherwise provided by this Settlement Agreement. For this purpose, "Uncontrollable Force" may include, but is not limited to, natural events, labor or civil disruption, action or non-action of a governmental agency, or unforeseen breakdown or failure of the Project works for the period of time necessary to cure.

7.4.3 Notice of Delay or Inability to Perform

The Party whose performance of an obligation under this Settlement Agreement is affected by any delay or inability to perform under Section 7.4 shall provide Notice as soon as reasonably practicable. This Notice shall include: (1) a description of the event causing the delay or anticipated delay; (2) an estimate of the anticipated length of the delay; (3) a description of the measures taken or to be taken to avoid or minimize the delay; and (4) a proposed timetable for the implementation of the measures or performance of the obligation. The affected Party shall make all reasonable efforts to promptly resume performance of the obligation. It shall provide Notice when it resumes performance of the obligation.

7.5 Governing Law

The New Project Licenses and any other terms of this Settlement Agreement over which a federal agency has statutory or regulatory jurisdiction shall be governed, construed, and enforced in accordance with such authorities. This Settlement Agreement shall otherwise be governed and construed under the laws of the Commonwealth of Massachusetts. By executing this Settlement Agreement, no federal agency is consenting to the jurisdiction of a state court unless such jurisdiction otherwise exists. All activities undertaken pursuant to this Settlement Agreement shall be in compliance with all applicable law.

7.6 Elected Officials Not to Benefit

No elected officials shall be entitled to any share or part of this Settlement Agreement or to any benefit that may arise from it.

7.7 No Partnership

Except as otherwise expressly set forth herein, this Settlement Agreement does not and shall not be deemed to make any Party the agent for, partner of, or joint venturer with any other Party.

7.8 Reference to Regulations

Any reference in this Settlement Agreement to any federal or state regulation shall be deemed to be a reference to such regulation, or successor regulation, in existence as of the date of the action at the time in question.

7.9 Notice

Except as otherwise provided in this Section, any Notice required by this Settlement Agreement shall be written. Notice shall be sent to all Parties still in existence and, as applicable, filed with FERC. For the purpose of this Settlement Agreement and unless otherwise specified, a Notice shall be effective upon receipt, but if provided by U.S. Mail, seven (7) business days after the date on which it is mailed. The Parties agree that

if practicable, electronic mail or fax are the preferred methods of providing Notice under this Settlement Agreement. When this Settlement Agreement requires Notice in fewer than seven (7) business days, Notice shall be provided by telephone, fax, or electronic mail and shall be effective when provided. For the purpose of Notice, the list of authorized representatives of the Parties as of the Effective Date is attached as Appendix D. FirstLight shall keep the names and contact information for the Parties to this Settlement Agreement. The Parties shall provide Notice of any change in the authorized representatives designated in Appendix D, and FirstLight shall maintain the current distribution list of such representatives. The Parties agree it is their responsibility to keep FirstLight informed of their current address, telephone, fax, and electronic mail information, and that failure to provide FirstLight with current contact information will result in a waiver of that Party's right to Notice under this Settlement Agreement.

7.10 Section Titles for Convenience Only

The titles for the Sections of this Settlement Agreement are used only for convenience of reference and organization and shall not be used to modify, explain, or interpret any of the provisions of this Settlement Agreement or the intentions of the Parties. This Settlement Agreement has been jointly drafted by the Parties and therefore shall be construed according to its plain meaning and not for or against any Party.

8 Execution of Settlement Agreement

8.1 Signatory Authority

Each signatory to this Settlement Agreement certifies that he or she is authorized to execute this Settlement Agreement and to legally bind the Party he or she represents, and that such Party shall be fully bound by the terms hereof upon such signature without any further act, approval, or authorization by such Party.

8.2 Signing in Counterparts

This Settlement Agreement may be executed in any number of counterparts, and each executed counterpart shall have the same force and effect as an original instrument as if all the signatory Parties to all of the counterparts had signed the same instrument. Any signature page of this Settlement Agreement may be detached from any counterpart of this Settlement Agreement without impairing the legal effect of any signatures thereon, and may be attached to another counterpart of this Settlement Agreement identical in form hereto but having attached to it one or more signature pages.

IN WITNESS THEREOF,

the Parties, through their duly authorized representatives, have cause this Settlement Agreement to be executed as of the date set forth in this Settlement Agreement.

FirstLight MA Hydro LLC and Northfield Mountain LLC,

Date: 3/24/2023

By: Justin Trudell

U.S. Fish and Wildlife Service,

AUDREY MAYER Digitally signed by AUDREY MAYER
Date: 2023.03.24 11:40:21 -04'00' Date: _____

By:

National Marine Fisheries Service,

Michael Pentony

Digitally signed by Michael Pentony
Date: 2023.03.24 14:47:06 -04'00'

Date: _____

By:

Massachusetts Division of Fisheries and Wildlife,

Mark S. Tisa Date: 3/24/2023

By: Director Mark S. Tisa, Ph.D., M.B.A.

The Nature Conservancy,

Date: March 22, 2023

By: Deb Markowitz, TNC
Massachusetts State Director

American Whitewater,

Date: 3/27/23

By:

Appalachian Mountain Club,

Nicole Zussman _____ Date: March 28, 2023

By: Nicole Zussman, President & CEO of Appalachian Mountain Club

Crab Apple Whitewater, Inc.,

_____ Date: 3-27-23

By: Frank J Mooney IV

New England FLOW,

New England FLOW

Date: 3/24/23

By: Thomas J. Christopher,
Secretary/Director

Zoar Outdoor,

Janet Cowie

By: JANET Cowie, GM

Date: 3/27/23

Appendix A. Protection, Mitigation, and Enhancement Measures Recommended to be Included in the New Turners Falls Hydroelectric Project License

Appendix A: Draft License Articles- Turners Falls Hydroelectric Project

Article A100. Station No. 1 Upgrades

Within 3 years of license issuance, the Licensee shall automate Station No. 1 such that it is capable of being operated remotely and over a range of flows. The Licensee shall submit design plans to the Commission for automating Station No. 1. Upon Commission approval, the Licensee shall automate Station No. 1, including any changes required by the Commission.

Article A110. Minimum Flows below Turners Falls Dam

Upon license issuance, the Licensee shall discharge from the Turners Falls Dam or from the gate located on the power canal ("canal gate") just below the Turners Falls Dam the following seasonal minimum flows.

Date	Minimum Flows below Turners Falls Dam
01/01-03/31[1]	• If the Naturally Routed Flow (NRF- definition provided later in this article) is ≤ 400 cubic feet per second (cfs), the Minimum Flow below Turners Falls Dam shall be 400 cfs or the NRF, whichever is less. • If the NRF is > 400 cfs, the Minimum Flow below Turners Falls Dam shall be 400 cfs.
04/01-05/31	• If the NRF is ≤ 6,500 cfs, the Minimum Flow below Turners Falls Dam shall be 67% of the NRF. • If the NRF is > 6,500, the Minimum Flow below Turners Falls Dam shall be 4,290 cfs.
06/01-06/15[2,3]	• If the NRF is ≤ 4,500 cfs, the Minimum Flow below Turners Falls Dam shall be 67% of the NRF. • If the NRF is > 4,500 cfs, the Minimum Flow below Turners Falls Dam shall be 2,990 cfs.
06/16-06/30[3]	• If the NRF is ≤ 3,500 cfs, the Minimum Flow below Turners Falls Dam shall be 67% of the NRF. • If the NRF is > 3,500 cfs, the Minimum Flow below Turners Falls Dam shall be 2,280 cfs.
07/01-11/15[1]	• If the NRF is ≤ 500 cfs, the Minimum Flow below Turners Falls Dam shall be 500 cfs or the NRF, whichever is less. • If the NRF is > 500 cfs, the Minimum Flow below Turners Falls Dam shall be 500 cfs.
11/16-12/31[1]	• If the NRF is ≤ 400 cfs, the Minimum Flow below Turners Falls Dam shall be 400 cfs or the NRF, whichever is less. • If the NRF is > 400 cfs, the Minimum Flow below Turners Falls Dam shall be 400 cfs.

[1]From November 16 through March 31, the 400 cfs minimum flow below Turners Falls Dam will be provided from the canal gate, having a design maximum capacity of 400 cfs. The Licensee shall open the canal gate to its maximum opening and implement ice mitigation measures, if necessary, to maintain the maximum opening. The Licensee shall monitor canal gate operations to determine if supplemental measures, such as cable-heating the gate, are needed to maintain flows at or as close to 400 cfs as possible.

[2]One of the upstream fish passage adaptive management measures (AMMs) described in Article A330 calls for increasing the Total Minimum Bypass Flow below Station No. 1 (see Article A120) from June 1 to June 15 from 4,500 cfs to 6,500 cfs. If this AMM is enacted, and if the NRF is ≤ 6,500 cfs, the Minimum Flow below the Turners Falls Dam shall be 67% of the NRF, subject to the conditions in Article A330. If this AMM is enacted, and if the NRF is > 6,500 cfs, the Minimum Flow below the Turners Falls Dam shall be 4,290 cfs, subject to the conditions in Article A330.

[3]The magnitude of the Minimum Flow below Turners Falls Dam from June 1 to June 30 may be modified in the future pending fish passage effectiveness studies (see Article A330). If the Licensee conducts fish passage effectiveness studies, in consultation with the Massachusetts Division of Fisheries and Wildlife (MDFW), National Marine Fisheries Service (NMFS), and United States Fish and Wildlife Service (USFWS) and determines that migratory fish are not delayed by passing a greater percentage of the Total Minimum Bypass below Station No. 1 (see Article A120) via Station No. 1 discharges, the Licensee may file for a license amendment to increase the Station No. 1 discharge upon written concurrence of MDFW, NMFS, and USFWS. Prior to filing for a license amendment with the Commission, the Licensee shall consult the Massachusetts Department of Environmental Protection (MDEP) and address any of its comments in the license amendment filing.

Definition of Naturally Routed Flow

From December 1 through June 30, the NRF is defined as the hourly sum of the discharges from 12 hours previous as reported by the: Vernon Hydroelectric Project (FERC No. 1904), Ashuelot River United States Geological Survey gauge (USGS, Gauge No. 01161000), and Millers River USGS gauge (Gauge No. 01166500).

From July 1 through November 30, the NRF is defined as the hourly sum of the discharges averaged from 1 to 12 hours previous as reported by the: Vernon Hydroelectric Project, Ashuelot River USGS gauge, and Millers River USGS gauge. Upon license issuance until 3 years thereafter, the Licensee shall operate the Turners Falls Project based on the NRF computational method from July 1 through November 30 to determine if the Turners Falls Project can be operated in this manner. If the Turners Falls Project cannot be operated in this manner, the Licensee shall consult MDFW, NMFS, and USFWS on alternative means of computing the NRF that are feasible for Turners Falls Project operation and sufficiently dampen upstream hydroelectric project flexible operations.

The Minimum Flow below Turners Falls Dam may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Minimum Flow below Turners Falls Dam is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Minimum Flow below Turners Falls Dam may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS and USFWS, and upon 5 days' notice to the Commission.

Article A120. Total Minimum Bypass Flows below Station No. 1

Upon license issuance, the Licensee shall maintain the Total Minimum Bypass Flows below Station No. 1 as follows:

Date	Total Minimum Bypass Flows below Station No. 1[1]
01/01-03/31	• If the NRF is ≤ 400 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 400 cfs, or the NRF, whichever is less. • If the NRF is > 400 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 1,500 cfs, or the NRF, whichever is less.
04/01-05/31	• If the NRF is ≤ 6,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF. • If the NRF is > 6,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 6,500 cfs.
06/01-06/15[2,4]	• If the NRF is ≤ 4,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF. • If the NRF is > 4,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 4,500 cfs.
06/16-06/30[4]	• If the NRF is ≤ 3,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF. • If the NRF is > 3,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 3,500 cfs.
07/01-08/31[3]	• If the NRF is ≤ 500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 500 cfs, or the NRF, whichever is less. • If the NRF is > 500 cfs and ≤ 1,800 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF or 90% of the NRF. • If the NRF is > 1,800 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,800 cfs, or 90% of the NRF, whichever is less.
09/01-11/15[3]	• If the NRF is ≤ 500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 500 cfs, or the NRF, whichever is less. • If the NRF is > 500 cfs and ≤ 1,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF, or 90% of the NRF. • If the NRF is > 1,500 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,500 cfs, or 90% of the NRF, whichever is less.
11/16-12/31[3]	• If the NRF is < 400 cfs, then the Total Minimum Bypass Flow below Station No. 1 shall be 400 cfs, or the NRF, whichever is less. • If the NRF is > 400 cfs and ≤ 1,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be the NRF or 90% of the NRF. • If the NRF is > 1,500 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,500 cfs, or 90% of the NRF, whichever is less.

[1]From license issuance until 3 years thereafter, Station No. 1 will not be automated. During those 3 years, if Station No. 1 is the only source, other than the Fall River, Turners Falls Hydro, LLC, or Milton Hilton, LLC to provide the additional flow needed to meet the Total Minimum Bypass Flow below Station No. 1, the Licensee shall maintain the Station No. 1 discharge such that the Turners Falls Dam Minimum Flow will be as shown in Article A110, or higher flows, in cases where the additional flow cannot be passed through Station No. 1.

[2]One of the upstream fish passage adaptive management measures (AMMs) described in Article A330 calls for increasing the Total Minimum Bypass Flow below Station No. 1 from June 1 to June 15 from 4,500 cfs to 6,500 cfs. If this AMM is enacted, and if the NRF is ≤ 6,500 cfs, the Total Minimum Bypass Flow

below Station No. 1 shall be the NRF, subject to the conditions in Article A330. If this AMM is enacted, and the NRF > 6,500 cfs, the Total Minimum Bypass Flow below Station No. 1 is 6,500 cfs, subject to the conditions in Article A330.

[3]From July 1 to August 31, when the NRF is greater than 1,800 cfs, the Total Minimum Bypass Flow below Station No.1 shall be 1,800 or 90% of the NRF, whichever is less. From September 1 to December 31, when the NRF is greater than 1,500 cfs, the Total Minimum Bypass Flow below Station No. 1 shall be 1,500 cfs or 90% of the NRF, whichever is less. From July 1 to December 31, if the Total Minimum Bypass Flow below Station No. 1 shall be reduced by 10%, it will not be taken from the Turners Falls Dam Minimum Flow (Article 110).

[4]The amount of flow needed from Station No. 1 from June 1 to June 30 may be modified in the future pending fish passage effectiveness studies. If the Licensee conducts fish passage effectiveness studies, in consultation with the MDFW, NMFS, and USFWS and determines that migratory fish are not delayed by passing a greater percentage of the Total Minimum Bypass Flow below Station No. 1 via Station No. 1 discharge, the Licensee may file for a license amendment to increase the magnitude of Station No. 1 discharge upon written concurrence of MDFW, NMFS, and USFWS. Prior to filing for a license amendment with the Commission, the Licensee shall consult AW, AMC, CAW, MDEP, NEF and ZO and address any comments of those entities in the license amendment filing.

If the Station No. 1 units are used to maintain the Total Minimum Bypass Flow below Station No. 1, and if some or all of the Station No. 1 units become inoperable, the balance of the flow needed to maintain the Total Bypass flow below Station No. 1 will be provided from either the Turners Falls Dam Minimum Flow (dam or canal gate), Fall River, Turners Falls Hydro, LLC or Milton Hilton, LLC.

The Total Minimum Bypass Flow below Station No. 1 may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Total Minimum Bypass Flow below Station No. 1 is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The total bypass flow below Station No. 1 may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

Article A130. Minimum Flows below Cabot Station

Upon license issuance, the Licensee shall maintain Minimum Flows below Cabot Station, or the NRF, whichever is less, as follows.

Date	Minimum Flow below Cabot Station
01/01-03/31	3,800 cfs or the NRF, whichever is less
04/01-05/31	8,800 cfs from midnight to 7:00 pm or the NRF, whichever is less and 6,500 cfs from 7:00 pm to midnight or the NRF, whichever is less.
06/01-06/15	6,800 cfs or the NRF, whichever is less
06/16-06/30	5,800 cfs or the NRF, whichever is less
07/01-08/31[1]	1,800 cfs or 90% of the NRF, whichever is less
09/01-11/15[1]	1,500 cfs or 90% of the NRF, whichever is less
11/16-11/30[1]	1,500 cfs or 90% of the NRF, whichever is less
12/01-12/31	3,800 cfs or NRF, whichever is less

[1]From July 1 to November 30, the Minimum Flow below Cabot Station is 1,800 (07/01-08/31) and 1,500 cfs (09/01-11/30) or 90% of the NRF, whichever is less. If the Minimum Flow below Cabot Station is reduced by 10% during these periods, it will not be taken from the Turners Falls Dam Minimum Flow (Article A110).

The Minimum Flow below Cabot Station may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Minimum Flow below Cabot Station is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Minimum Flow below Cabot Station may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS and USFWS, and upon 5 days' notice to the Commission.

Article A140. Cabot Station Ramping Rates

Upon license issuance until 3 years after license issuance, the Licensee shall ramp Cabot Station as follows.

Date	Cabot Station Ramping Rates[1]
04/01-06/30	Up and Down Ramping at a rate of 2,300 cfs/hour
07/01-08/15	Up Ramping at a rate of 2,300 cfs/hour from 8:00 am to 2:00 pm

Three years after license issuance, the Licensee shall ramp Cabot Station as follows.

Date	Cabot Station Ramping Rate[1]
04/01-06/30	Up and Down Ramping at a rate of 2,300 cfs/hour

[1]If the NRF is greater than the sum of the hydraulic capacity of Cabot Station and Station No. 1 and the Minimum Flow below Turners Falls Dam in effect at the time, the Cabot Station up-ramping rates will not apply.

The Cabot Station Ramping Rates above will take precedence over the Flow Stabilization below Cabot Station (Article A160).

The Cabot Station Ramping Rates may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Cabot Station Ramping Rates are so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Cabot Station Ramping Rate may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

Article A150. Variable Releases from Turners Falls Dam and Variable Flow below Station No. 1

For recreation and ecological conservation purposes, upon license issuance, the Licensee shall provide variable releases from the Turners Falls Dam and a variable flow below Station No. 1 as shown below.

Variable Releases from Turners Falls Dam

Magnitude of Variable Release from Turners Falls Dam	[1]4,000 cfs, or the NRF, whichever is less
Dates when Variable Releases may occur	[2]July 1 through October 31
[3]Total No. of 2-day events	5 events for a total of 10 Variable Releases, but could potentially be 11 Variable Releases subject to footnote 3
Days of Variable Release for 2 day-events	Saturday and Sunday- must be two consecutive days
Hours of Variable Release	10:00 am to 2:00 pm, 4 hrs/day, Saturday and Sunday
Magnitude of Variable Release from Turners Falls Dam from Saturday at 2:00 pm to Sunday at 10:00 am.	See footnote 4
[5]Up-Ramping Rates at Start of Variable Release	See footnote 5
[6]Down-Ramping Rates at End of Variable Release	See footnote 6

[1]If the NRF< 2,500 cfs during the scheduled variable release (see footnote 2 below relative to scheduling variable releases), there will be no variable release and it will not be rescheduled.

[2]The Licensee shall consult American Whitewater (AW), Appalachian Mountain Club (AMC), commercial outfitters, MDEP, MDFW, National Park Service (NPS), New England FLOW (NE FLOW), and USFWS no later than March 1 annually over the license term to develop a mutually agreeable schedule for the variable releases. When developing the schedule, there will be at least one weekend per month, between July 1 and October 31, when no variable releases are provided.

[3]The Licensee conducts annual canal drawdowns for maintenance purposes resulting in the NRF being passed at the Turners Falls Dam. If the canal drawdown occurs between July 1 and October 31 and the NRF is being passed either on Saturday from 10:00 am- 2:00 pm or Sunday from 10:00 am-2:00 pm, the total number of releases at the Turners Falls Dam shall remain at 10 releases. However, if the canal drawdown does not occur between July 1 and October 31 on Saturday from 10:00 am-2:00 pm or Sunday from 10:00 am-2:00 pm, the Licensee shall provide an additional consecutive day of variable release such that one of the 2-day events is a 3-day consecutive event resulting in a total of 11 releases. The additional day shall either be Friday from 10:00 am-2:00 pm before the scheduled weekend variable release or Monday from 10:00 am-2:00 pm after the scheduled weekend variable release. If there ends up being one 3-day event, the magnitude of release from Friday at 2:00 pm to Saturday at 10:00 am (or Sunday at 2:00 pm to Monday at 10:00 am), shall be computed as noted in footnote 4.

[4]This flow will be calculated as: [(Variable Flow Release- Minimum Flow below Turners Falls Dam as defined in Article A110)/2]. If there is a 3-day event as noted in footnote 3, the variable flow release from Friday at 2:00 pm to Saturday at 10:00 am (or from Sunday at 2:00 pm to Monday at 10:00 am) will be based on the same calculation.

[5]At the beginning of the variable release, if the NRF is > 4,000 cfs, the Licensee shall up-ramp from the Minimum Flow below Turners Falls Dam as defined in Article A110 to 4,000 cfs in two hours, not to exceed 2,000 cfs/hr.

At the beginning of the variable release, if the NRF is between 2,500 and 4,000 cfs, the Licensee shall up ramp at 50% of the NRF per hour.

[6]At the end of the variable release, if Turners Falls Dam variable release is between 2,500 and 4,000 cfs, the Licensee shall down ramp at 50% of the variable release per hour.

Variable Flow below Station No. 1

Magnitude of Variable Flow below Station No. 1	[1]2,500 cfs, or the NRF, whichever is less
Dates when Variable Flow may occur	[2]July 1 through October 31
Total No. of 2-day events	7 events for a total of 14 Variable Flows
Days of Variable Flow	Saturday and Sunday- must be two consecutive days
Hours of Variable Flow	10:00 am to 2:00 pm, 4 hrs/day
Magnitude of Variable Flow below Station No. 1 from Saturday at 2:00 pm to Sunday at 10:00 am.	See Footnote 3

[1]If the NRF< 2,500 cfs, during the scheduled flow (see footnote 2 below relative to scheduling the flow), there will be no 2,500 cfs flow and it will not be rescheduled.

[2]The Licensee shall consult AW, AMC, commercial outfitters, MDEP, MDFW, NPS, NE FLOW, and USFWS no later than March 1 annually over the license term to develop a mutually agreeable schedule for the variable flow. When developing the schedule there will be at least one weekend per month, between July 1 and October 31, when no variable flow is provided.

[3]From July 1 to August 31, the Total Minimum Bypass Flow below Station No. 1 is defined in Article A120. If the NRF is > 1,800 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,800 cfs, or 90% of the NRF, whichever is less. The magnitude of flow below Station No. 1 from Saturday at 2:00 pm to Sunday at 10:00 am from July 1 to August 31 will be computed as follows:

(2,500 cfs + Total Minimum Flow below Station No. 1 as defined in Article A120)/2.

From September 1 to November 15, the Total Minimum Bypass Flow below Station No. 1 is defined in Article A120. If the NRF is > 1,500 cfs, the Total Minimum Bypass below Station No. 1 shall be 1,500 cfs, or 90% of the NRF, whichever is less. The magnitude of flow below Station No. 1 from Saturday at 2:00 pm to Sunday at 10:00 am from September 1 to November 15 will be computed as follows:

(2,500 cfs + Total Minimum Flow below Station No. 1 as defined in Article A120)/2.

When implementing the variable releases from the Turners Falls Dam or the 2,500 cfs flow below Station No. 1, the Licensee is still required to maintain the operational requirements in License Articles A110, A120, A130, A140, A160 and A190.

The above variable release from the Turners Falls Dam and variable flow below Station No. 1 may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Turners Falls Dam variable release or variable flow below Station No. 1 are so modified, the Licensee shall notify AW, AMC, commercial outfitters, MDEP, MDFW, NMFS, NPS, NE

FLOW, and USFWS as soon as possible. The Turners Falls Dam variable release or variable flow below Station No. 1 may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), AW, AMC, commercial outfitters, MDEP, MDFW, NMFS, NPS, NE FLOW and USFWS.

Article A160. Flow Stabilization below Cabot Station and Allowable Deviations for Flexible Operations

Three years after license issuance, the Licensee shall maintain ±10% of the NRF below Cabot Station as follows.

Date	Flow Stabilization below Cabot Station[1]
04/01-05/15[2]	Provide ±10% of the NRF below Cabot Station from 7:00 pm to midnight, with allowable deviations up to ±20% of the NRF for up to 22 hours total from 04/01-05/15 (the 22 hours will be used from 7:00 pm to midnight).
05/16-05/31[2]	Provide ±10% of the NRF below Cabot Station from 7:00 pm to midnight, with allowable deviations up to ±20% of the NRF for up to 18 hours total from 05/16-05/31 (the 18 hours will be used from 7:00 pm to midnight).
06/01-06/15[2]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 7 hours total from 06/01-06/15.
06/16-06/30[2]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 7 hours total from 06/16-06/30.
07/01-08/15[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 55 hours total from 07/01-08/15.
08/16-08/31[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 27 hours total from 08/16-08/31.
09/01-10/31[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 44 hours total from 09/01-10/31.
11/01-11/30[3]	Provide ±10% of the NRF below Cabot Station with allowable deviations up to ±20% of the NRF for up to 11 hours total from 11/01-11/30.

[1]If the NRF is greater than the sum of the hydraulic capacity of Cabot Station and Station No. 1 and the Minimum Flow below Turners Falls Dam in effect at the time, the Flow Stabilization below Cabot Station will not apply.

[2]From April 1 to June 30, the NRF flow may be reduced by 10% or up to 20% for select hours. If the NRF is reduced during this period, the flow will be taken from Cabot Station generation.

[3]From July 1 to November 30, the NRF flow may be reduced by 10% or up to 20% for select hours. If the NRF is reduced during this period, the flow will not be taken from the Turners Falls Dam Minimum Flow.

Beginning three years after license issuance, the Licensee may deviate from the Flow Stabilization below Cabot Station and Cabot Station Ramping Rates (Article A140) for a certain number of hours in July, August, September, October and November, hereinafter referred to as flexible operations.

The Licensee has restricted discretionary flexible operating capability to respond to elevated energy prices, as defined in paragraph (a) below, from July 1 to November 30, as well as unrestricted capability to respond to emergencies, Independent System Operator-New England (ISO-NE, or its successors)

transmission and power system requirements, and other regulatory requirements as defined in paragraph (b) below.

(a) The Licensee may deviate from the Flow Stabilization below Cabot Station and Cabot Station Ramping Rates (Article A140). The number of hours of flexible operations, which may be used at the discretion of the Licensee, are as follows.

Date	Allowable Deviations from Cabot Station Ramping Rates (Article A140) and Flow Stabilization below Cabot Station
07/01-07/31	20 hours of flexible operations with no more than 7 flexible events per month
08/01-08/31	26 hours of flexible operations with no more than 7 flexible events per month
09/01-09/30	23 hours of flexible operations with no more than 7 flexible events per month
10/01-10/31	20 hours of flexible operations with no more than 7 flexible events per month
11/01-11/30	28 hours of flexible operations with no more than 7 flexible events per month

(b) If compliance with the Flow Stabilization below Cabot and Cabot Station Ramping Rates (Article A140) would cause the Licensee to violate or breach any law, any applicable license, permit, approval, consent, exemption or authorization from a federal, state, or local governmental authority, any applicable agreement with a governmental entity, the Licensee may deviate from the Flow Stabilization below Cabot and Cabot Station Ramping Rates (Article A140) to the least degree necessary to avoid such violation or breach. The Licensee may also deviate from the Flow Stabilization below Cabot and Cabot Station Ramping Rates for the following reasons:

(1) To implement Flood Flow Operations as defined in Article A170.
(2) To perform demonstrations of the resources' operating capabilities under ISO-NE, or its successors, rules and procedures such as, maintaining the Licensee's capacity accreditation (or its successor) or its fast start reserve eligibility. The Licensee shall seek to perform these demonstrations at times that will not cause it to deviate from the conditions in Articles A110-A160, with recognition that April 1 to June 30 should be avoided, to the maximum extent possible.
(3) To manage the Turners Falls Impoundment to stay within its licensed operating limits in Article A190, with recognition that deviations from April 1 to June 30 should be avoided to the maximum extent possible.
(4) If compliance with Articles A110-A160 would cause a public safety hazard or prevent timely rescue.

*****ISO-NE, or its successors, (or another recognized entity with responsibilities for regional energy and capacity supply) requirements are circumstances when ISO-NE requires the Licensee to be fully available and, if necessary, responsive.

The Flow Stabilization below Cabot Station may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the Flow Stabilization below Cabot Station is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The Flow Stabilization below Cabot Station may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

Article A170. Flood Flow Operations

Upon license issuance, the Licensee shall operate the Project in accordance with its existing agreement with the United States Army Corps of Engineers (USACE). This agreement, memorialized in the Reservoir and River Flow Management Procedures (1976), as it may be amended from time to time, governs how the Turners Falls Project will operate during flood conditions and coordinate its operations with the Licensee of the Northfield Mountain Pumped Storage Project (FERC No. 2485).

Article A180. Cabot Station Emergency Gate Use

Upon license issuance, the Licensee will use the Cabot Station Emergency Gates under the following conditions: a) a Cabot load rejection which could cause overtopping of the canal, b) dam safety issues such as potential canal overtopping or partial breach, and c) to discharge up to approximately 500 cfs from April 1 to June 15 for debris management. The Licensee shall avoid discharging flows higher than 500 cfs through the gates from April 1 to June 15 if practicable; however, if necessary to discharge higher flows, the Licensee shall coordinate with NMFS to minimize potential impacts to Shortnose Sturgeon in the area below Cabot Station.

Article A190. Turners Falls Impoundment Water Level Management

Upon license issuance, the Licensee shall operate the Turners Falls Impoundment, as measured at the Turners Falls Dam, as follows:

(a) Maintain water levels between elevation 176.0 feet and 185.0 feet National Geodetic Vertical Datum of 1929 (NGVD29).

(b) Limit the rate of rise of the Turners Falls Impoundment water level to be less than 0.9 feet/hour from May 15 to August 15 from 8:00 am to 2:00 pm. However, if the NRF is greater than the sum of the hydraulic capacity of Cabot Station and Station No. 1 and the Minimum Flow below Turners Falls Dam in effect at the time, the Turners Falls Impoundment rate of rise requirement will not apply.

(c) The rate of rise of the Turners Falls Impoundment may be temporarily modified if required by equipment malfunction or operating emergencies reasonably beyond the control of the Licensee. If the rate of rise of the Turners Falls Impoundment is so modified, the Licensee shall notify the Commission, MDEP, MDFW, NMFS, and USFWS as soon as possible, but no later than 10 days after such incident. The rate of rise of the Turners Falls Impoundment may also be temporarily modified for short periods upon mutual agreement with the Licensee for the Northfield Mountain Pumped Storage Project (FERC No. 2485), MDEP, MDFW, NMFS, and USFWS, and upon 5 days' notice to the Commission.

(d) The Licensee may increase the allowable NRF deviation from ±10% to ±20% to better manage Turners Falls Impoundment water levels. The increased flow deviation is limited by the number of hours shown in the first table of Article A160. This allowance for an increased flow deviation is in addition to the exceptions outlined in paragraphs (a) and (b) of Article A160. As such, the increased flow allowable deviations outlined in this paragraph will not count against any time allotment for exceptions outlined in paragraphs (a) and (b) of Article A160. Similarly, operations meeting the exception criteria outlined in paragraphs (a) and (b) of Article A160 will not count against any time allotment for allowable deviations outlined in this paragraph. Allowable flow deviations in excess of

±10% of NRF resulting from conflicting operational requirements will not count against any time allotment for allowable deviations outlined in this paragraph.

Article A200. Project Operation, Monitoring and Reporting Plan

Within 1 year of license issuance, the Licensee shall file with the Commission, for approval, a Project Operation, Monitoring and Reporting Plan describing how the Licensee will document compliance with the operating conditions. The Plan will include the following:

(a) a description of how the Licensee will comply with Minimum Flows below Turners Falls Dam (Article A110), Total Minimum Bypass Flows below Station No. 1 (Article A120), Minimum Flows below Cabot Station (Article A130), Cabot Station Ramping Rates (Article A140), Variable Releases from Turners Falls Dam and Variable Flow below Station No. 1 (Article A150), Flow Stabilization below Cabot Station (Article A160, implementation starting 3 years after license issuance), and Turners Falls Impoundment Water Level Management (Article A190). These are collectively referred to hereinafter as the operating requirements.

(b) a provision to file with the Commission, after consultation with the MDEP, MDFW, NFMS, and USFWS, a minimum flow and operation compliance report detailing implementation of the plan, including any allowable deviations that occurred during the reporting period. For the period January 1 to March 31 and July 1 to December 31, the compliance report, including any deviations, will be filed with the Commission by March 1 of the following year. For the months of April, May and June, the monthly compliance report, including any deviations, will be filed with the Commission on June 1, July 1 and August 1, respectively. Upon license issuance until 3 years thereafter, the Licensee shall document on an hourly basis for each day any allowable deviations from the Cabot Station Ramping Rates (Article A140) and demonstrate progress towards meeting the Flow Stabilization below Cabot Station (Article A160). Beginning three years after license issuance until license expiration, the Licensee shall document on an hourly basis for each day any allowable deviations from the Cabot Station Ramping Rates restrictions (Article A140) and Flow Stabilization below Cabot Station restrictions (Article A160). Each day, from April 1 to November 30, the Licensee shall record any allowable deviations in a spreadsheet showing the daily deviations, the reason for the deviation, the number of hours, and scope. The Licensee shall provide the total number of deviations to the MDEP, MDFW, NFMS, and USFWS per the reporting schedule above. Allowable deviations will be tracked as follows:

- Identify Allowable Deviations: The Licensee shall record the NRF, Turners Falls Dam discharge, Station No. 1 discharge, Cabot Station discharge and total Turners Falls Project discharge (below the Cabot Station tailrace) at the top of each hour. Allowable deviations in both the Cabot Station Ramping Rate and Flow Stabilization below Cabot Station requirements will be recorded. At the top of each hour, the Licensee shall record the change in Cabot Station discharge from the previous hour to determine if any deviation has occurred from the agreed upon Cabot Station Ramping Rate. In addition, the NRF (as detailed in paragraph (b) of the "Operational Regime" section) will be compared with the recorded total Turners Falls Project discharge in a given hour to identify if a Flow Stabilization below Cabot Station deviation occurred over the past hour. Any deviation of either the Cabot Station Ramping Rate or total Turners Falls Project discharge within the hour will be counted in one-hour increments.

- Categorize Allowable Deviations: When an allowable deviation is identified it will be categorized as either Regulatory, as detailed in paragraph (b) of Article A160, NRF Allowance, as detailed in paragraph (d) of the Article A190 or Discretionary, as detailed in paragraph (a) of Article A160.

The Licensee shall develop the Plan after consultation with MDEP, MDFW, NMFS, and USFWS. The Licensee shall include with the Plan documentation of consultation after it has been prepared and provided to MDEP, MDFW, NMFS, and USFWS. The Licensee shall provide a minimum of 30 days for MDEP, MDFW, NMFS, and USFWS to comment and to make recommendations before filing the Plan with the Commission. If the Licensee does not adopt a recommendation, the filing will include the Licensee's reasons, based on project-specific information.

The Commission reserves the right to require changes to the Plan. Implementation of the Plan will not begin until the Licensee is notified by the Commission that the Plan is approved. Upon Commission approval, the Licensee shall implement the Plan, including any changes required by the Commission.

Article A210. Flow Notification and Website

Within 1 year of license issuance, the Licensee shall provide the following information year-round on a publicly available website:

(a) On an hourly basis, the Turners Falls Impoundment water elevation, as measured at the Turners Falls Dam, the Turners Falls Dam total discharge, and the Station No. 1 discharge.

(b) On an hourly basis, the anticipated Turners Falls Dam total discharge and the anticipated Station No. 1 discharge for a 12-hour window into the future. Should the Licensee deviate from passing the 12-hour previous NRF from December 1 to May 31 or the 12-hour average NRF from June 1 to November 30, it will post the revised flows (in the 12-hour look ahead window) to a website as soon as practicable after they are known. Should the Licensee of the Vernon Hydroelectric Project provide the Licensee with flow data more than 12 hours in advance, the Licensee shall publish the information sooner.

(c) Within one month prior to its annual power canal drawdown, the Licensee shall post on its website the starting and ending time/date of the drawdown, which will last at least 4 days. Throughout the duration of the canal drawdown, the NRF, as defined in Article A110, will be maintained below the Turners Falls Dam.

Article A300. Fish Passage Facilities and Consultation

The Licensee shall implement the following fish passage measures on the schedule specified. When due dates cited in this and other articles are in "years after license issuance," this shall mean on the appropriate date in the specified calendar year after license issuance, regardless of the quarter in which the license is issued. For example, "Year 1 after license issuance" begins on the first January 1 following license issuance.

Upstream Fish Passage

(a) construct a Spillway Lift at the Turners Falls Dam to be operational no later than April 1 of Year 9 after license issuance.

(b) rehabilitate the Gatehouse Trapping facility (sampling facility) to be operational no later than April 1 of Year 9 after license issuance.

(c) retire, either by removal or retaining in place, the Cabot Ladder and the power canal portions of the Gatehouse Ladder within 2 years after the Spillway Lift becomes operational.

(d) install and operate interim upstream eel passage in the vicinity of the existing Spillway Ladder within 1 year of license issuance and continue operating it until permanent upstream eel passage facilities are operational. The Licensee shall consult MDFW, NMFS, and USFWS on the location and design of the interim eelway(s).

(e) conduct up to 2 years of eelway siting studies after the Spillway Lift becomes operational, using a similar methodology to relicensing Study 3.3.4 for both years. Based on the siting survey results, design, construct, operate, and maintain up to two permanent upstream eel passage facilities at the Turners Falls Project no later than 3 years after completing the final siting survey. The Licensee shall consult MDFW, NMFS, and USFWS on the location of the two permanent upstream eel passage facilities. The final eelway siting will take into account the ability to maintain the eelway(s) in light of spillage conditions at the Turners Falls Project. The Licensee will not be required to place any eelways at the foot of any active spillway structures.

Downstream Fish Passage

(f) Within 4 years[1] of license issuance, replace the existing Cabot Station trashrack structure with a new full depth trashrack with 1-inch clear spacing. The new trashracks will have multiple openings for fish passage, including openings on the top and bottom of the water column. The Licensee will attempt to maximize the hydraulic capacity of these openings within the constraints of the conveyance mechanisms. The Licensee will base detailed design alternatives on the following conceptual design; however, the Parties will remain flexible on design alternatives as necessary to meet fish passage goals.

The new trashrack will have multiple surface entrances including a.) between Cabot Units 2 and 3; b.) between Cabot Units 4 and 5; and c.) at the right wall of the intake (looking downstream) at Cabot Unit 6. The openings will be 3-feet-wide by 2-feet-tall and will connect to the existing trash trough located behind the racks. Each opening at the top of the trashrack will have an approximate hydraulic capacity of 24 cfs, and the existing trash trough will convey a total hydraulic capacity of approximately 72 cfs from these openings. The new trashrack will have an additional entrance near the bottom at the left wall of the intake (looking downstream) at Unit 1. This entrance will be approximately 3-feet-wide by 3-feet-tall and will connect to a vertical pipe to safely convey fish to the existing trash trough or log sluice. This entrance will be sized to provide a velocity that attracts fish to the bypass relative to the turbine intakes (approximately 5 feet-per-second). In addition to the entrances integral to the new trashrack structure, fish will be conveyed via a new uniform acceleration weir (UAW) and log sluice. The log sluice will be resurfaced to limit turbulence and injury to migrants. A steel panel (or equivalent) will be provided below the UAW to exclude migrants from being delayed in the space below the UAW. Total flow from all downstream passage components at Cabot Station will be 5% (685 cfs) of maximum hydraulic station capacity (13,728 cfs). The conveyance at each bypass entrance will be determined during the design phase.

(g) Within 4 years[1] of license issuance, construct a ¾-inch clear-spaced bar rack at the entrance to the Station No. 1 branch canal.

[1]Relative to the Cabot Intake Protection and Downstream Passage Conveyance and the Station No. 1 Bar Rack, the times cited are from license issuance based on the time needed to complete construction. The actual first year of operation of these two facilities will depend on when the license is issued. If the License is issued in quarter 1 (Q1, Jan 1-Mar 31) then these two facilities will be operational no later than April 1 of Year 4 after license issuance; if it is issued in Q2 then these two facilities will be operational no later than August 1 of Year 4 after license issuance; and if it is issued after Q2 then these two facilities will be operational no later than April 1 of Year 5 after license issuance.

(h) Construct a plunge pool downstream of the Turners Falls Dam Bascule Gate No. 1 as part of the construction of the Spillway Lift, to be operational no later than April 1 of Year 9 after license issuance.

Consultation

For any new fish passage facility, the Licensee shall consult and obtain approval from MDFW, NMFS, and USFWS on the facility design and on operation and maintenance procedures. The Licensee shall consult MDFW, NMFS, and USFWS at the 30%, 60%, 90% and 100% design plan milestones. The Licensee shall file the 100% design plans with the Commission, along with documentation of consultation with MDFW, NMFS, and USFWS. If any fish passage adaptive management measures (AMMs) are implemented as discussed in Articles A320 and A330 and require facility design and operation and maintenance procedures, then the Licensee shall follow the same consultation process as the initial fish passage build-out.

The Commission reserves the right to require changes to the design plans. Implementation of the design plans will not begin until the Licensee is notified by the Commission that the design plans are approved. Upon Commission approval, the Licensee shall implement the design plans, including any changes required by the Commission.

Article A310. Schedule of Initial Effectiveness Testing, Consultation Process on Effectiveness Testing Study Plans, and Fish Passage Performance Goals

Schedule of Initial Effectiveness Testing

The Licensee shall complete construction of each fish passage facility, operate the fish passage facility for one season (shakedown year), and then conduct representative and quantitative fish passage effectiveness testing per the schedule below.

Facility	Operational/Shakedown Date	Initial Effectiveness Study Years and Locations to be Tested
Cabot Rack and Downstream Conveyance	Year 4 after license issuance[1]	Years 6-7, the Cabot Downstream Fish Passage Structure and Station No. 1 Rack will be tested.
Station No. 1 Bar Rack	Year 4 after license issuance[1]	
Turners Falls Dam Plunge Pool	Year 9 (by April 1st) after license issuance	Years 10-11, the Turners Falls Plunge Pool and Spillway Lift will be tested.
Spillway Lift	Year 9 (by April 1st) after license issuance	

Facility	Operational/Shakedown Date	Initial Effectiveness Study Years and Locations to be Tested
Rehabilitate Gatehouse Trapping Facility (Sampling Facility)	Year 9 (by April 1st) after license issuance	Not Applicable
Retire Cabot Ladder and Portions of Gatehouse Ladder	No later than Year 11 after license issuance (tied to within 2 years after the Spillway Lift becomes operational).	Not Applicable
Permanent Eel Passage Structure(s)	Year 13 after license issuance	Year 14, the internal efficiency of the permanent eel passage structure(s) will be tested.

[1]Relative to the Cabot Intake Protection and Downstream Passage Conveyance and the Station No. 1 Bar Rack, the times cited are from license issuance based on the time needed to complete construction. The actual first year of operation of these two facilities will depend on when the license is issued. If the license is issued in quarter 1 (Q1, Jan 1-Mar 31) then these two facilities will be operational no later than April 1 of Year 4 after license issuance; if it is issued in Q2 then these two facilities will be operational no later than August 1 of Year 4 after license issuance; and if it is issued after Q2 then these two facilities will be operational no later than April 1 of Year 5 after license issuance.

Consultation Process on Effectiveness Study Plans

For any initial fish passage effectiveness studies and any subsequent fish passage effectiveness studies required after implementing any AMMs described in Article A320 and A330, the Licensee shall provide the effectiveness study plans to MDFW, NMFS, and USFWS and request comments on the study plans within 30 days. The Licensee shall consult MDFW, NMFS, and USFWS and obtain their approval on the study plans before conducting the effectiveness studies. The Licensee shall file the effectiveness study plans with the Commission, along with any consultation records.

Fish Passage Performance Goals

The Licensee shall compare the effectiveness study results to the following fish passage performance goals:

Downstream Passage

- 95% of juvenile American Shad arriving 500 meters upstream of the Turners Falls Dam survive migration past the Turners Falls Project within 24 hours.
- 95% of adult American Shad arriving 1 kilometer upstream of the Turners Falls Dam survive migration past the Turners Falls Project within 24 hours.
- 95% of American Eel arriving 1 kilometer upstream of the Turners Falls Dam survive migration past the Turners Falls Project within 48 hours of a flow event. The definition of what constitutes a flow event shall be determined by the Licensee in consultation with MDFW, NMFS and USFWS during effectiveness study plan development.

The downstream passage at the Turners Falls Project is project wide and will include all routes of passage (e.g., spill, fish bypass, and turbine passage).

Upstream Passage

- 75% of adult American Shad arriving 500 meters below Cabot Station successfully pass into the Turners Falls Impoundment within 48 hours. The 75% passage efficiency for American Shad will be based on the first 90% of the American Shad run. The effectiveness testing will be conducted over the entire adult American shad run, but the 75% passage efficiency goal will be based on the first 90% of the run as determined by the Licensee as *a posteriori* analysis of run counts. The Licensee will determine where and how run counts will occur in consultation with MDFW, NMFS and USFWS during effectiveness study plan development. The Licensee, MDFW, NMFS and USFWS will revisit whether the 75% passage efficiency goal is achievable or should be reduced, and whether the 48-hour time-to-pass goal is achievable or should be increased, after implementing the first (Tier 1) and second (Tier 2) round of AMMs as described in Article A330.
- An internal passage efficiency of 95% within the permanent passage structure(s) for American Eel. The 95% internal efficiency assumes it is possible for the Licensee to successfully tag up-migrating eels. The Licensee shall consult MDFW, NMFS, and USFWS on the appropriate size American eel, based on available technology, to test the internal efficiency.

Article A320. Downstream Fish Passage- Initial Effectiveness Studies, Adaptive Management Measures and Subsequent Effectiveness Studies

Initial Effectiveness Studies- Years 6 and 7

The Licensee shall conduct initial effectiveness testing in Years 6 and 7 (see Article 310) to evaluate the fish passage survival and time-to-pass of the newly constructed Station No. 1 bar rack and Cabot Rack and Conveyance Structure and compare the findings at individual components (e.g., Cabot Station and Station No. 1) to the performance goals in Article 310. The Licensee shall develop reports by February 1 of Years 7 and 8 for adult American Shad and by April 1 of Years 7 and 8 for juvenile American Shad and adult American Eel summarizing the survival study findings and provide it to MDFW, NMFS, and USFWS. The Licensee shall consult MDFW, NMFS, and USFWS on the effectiveness study results and determine what, if any, adaptive management measures (AMMs) may be implemented from the table below. The Licensee will target any AMMs to those locations where fish passage performance goals are not achieved. The Licensee shall file a report with the Commission to include the effectiveness testing report and documentation of any AMMs agreed to by the Licensee, MDFW, NMFS, and USFWS, along with any consultation records. If warranted, the Licensee shall consult MDFW, NMFS, and USFWS on when to implement the Round 1 AMMs at Station No. 1 and/or Cabot Station.

Effectiveness Testing of Round 1 AMMs at Station No. 1 and/or Cabot Station and Initial Effectiveness Testing at Turners Falls Dam Plunge Pool- Years 10 and 11

The Licensee shall conduct Round 1 AMM effectiveness testing at Station No. 1 and/or Cabot Station and initial effectiveness testing of the Turners Falls Dam plunge pool in Years 10 and 11. The Licensee shall:

- Compare the effectiveness study results to the performance goals in Article 310.
- Provide the effectiveness study report to MDFW, NMFS, and USFWS by February 1 of Years 11 and 12 for adult American Shad and by April 1 of Years 11 and 12 for juvenile American Shad and adult American Eel summarizing the survival study findings.
- Consult MDFW, NMFS, and USFWS to determine what, if any AMMs may be implemented from the table below and target AMMs to those locations where passage performance goals are not achieved.

- File the effectiveness study report and documentation of any AMMs with the Commission.

If warranted, the Licensee shall consult MDFW, NMFS and USFWS on when to implement any Round 2 AMMs at Station No. 1 and/or Cabot Station and Round 1 AMMs at the Turners Falls Dam plunge pool.

Effectiveness Testing of Round 2 AMMs at Station No. 1 and/or Cabot Station and Round 1 AMMs at Turners Falls Dam Plunge Pool- Years 14 and 15

The Licensee shall conduct Round 2 AMM effectiveness testing at Station No. 1 and/or Cabot Station and Round 1 AMMs at the Turners Falls Dam plunge pool in Years 14 and 15. The Licensee shall follow the same consultations steps bulleted above; however, the Licensee shall provide the effectiveness study report to MDFW, NMFS, and USFWS by February 1 of Years 15 and 16 for adult American Shad and by April 1 of Years 15 and 16 for juvenile American Shad and adult American Eel.

If warranted, the Licensee shall consult MDFW, NMFS and USFWS on when to implement any Round 3 AMMs at Station No. 1 and/or Cabot Station and Round 2 AMMs at the Turners Falls Dam plunge pool.

Effectiveness Testing of Round 3 AMMs at Station No. 1 and/or Cabot Station and Round 2 AMMs at Turners Falls Dam Plunge Pool- Years 18 and 19

The Licensee shall conduct Round 3 AMM effectiveness testing at Station No. 1 and/or Cabot Station and Round 2 AMMs at the Turners Falls Dam plunge pool in Years 18 and 19. The Licensee shall follow the same consultations steps bulleted above however, the Licensee shall provide the effectiveness study report to MDFW, NMFS, and USFWS by February 1 of Years 19 and 20 for adult American Shad and by April 1 of Years 19 and 20 for juvenile American Shad and adult American Eel.

MDFW, NMFS, and USFWS have agreed, consistent with the terms of the Flows and Fish Passage Settlement Agreement (March 2023), not to exercise any reserved or other regulatory authority regarding downstream passage to request or require any AMMs other than those listed in the table below for the first 25 years of the license. In addition, MDFW, NMFS, and USFWS have agreed, consistent with the terms of the settlement agreement, that they will not request or require Cabot Station shutdowns over the life of the license.

Downstream Adaptive Management Measures

Adaptive Management Measure (if needed)	Timing
Turners Falls Dam • Modify the bascule gate setting(s) and resultant spill (rate, location). Station No. 1 • Install a behavioral barrier. Cabot Station • Modify the downstream passage conveyance design to reduce impact velocities and shear stresses (e.g., pump-back system; gradient reduction; piping, lining);	Initial Effectiveness Testing at Cabot Station and Station No. 1: **Years 6-7**. Initial Effectiveness Testing at Turners Falls Dam Plunge Pool and Round 1 Effectiveness Testing for any AMMs implemented at Cabot Station and/or Station No. 1 (if needed): **Years 10-11**. Round 2 AMM Effectiveness Testing at Cabot Station and/or Station No. 1 (if needed) and Round 1 Effectiveness

Adaptive Management Measure (if needed)	Timing
• Modify the downstream passage conveyance design to increase water depth; • Modify the area of flow convergences of the trash trough, Uniform Acceleration Weir, eel pipe, and sluiceway; • Modify the area of flow convergence of the sluiceway and the receiving waters in the Connecticut River (e.g., adjustable lip, velocity control, and plunge pool depth)	Testing at Turners Falls Dam Plunge Pool (if needed): **Years 14-15** Round 3 AMM Effectiveness Testing at Cabot Station and/or Station No. 1 (if needed) and Round 2 Effectiveness Testing at Turners Falls Dam Plunge Pool (if needed): **Years 18-19**

Article A330. Upstream Fish Passage Initial Effectiveness Studies, Adaptive Management Measures and Subsequent Effectiveness Testing

Initial Effectiveness Testing of Adult American Shad- Years 10 and 11

The Licensee shall conduct initial effectiveness testing in Years 10 and 11 (see Article 310) to evaluate upstream fish passage efficiency and time-to-pass at the Cabot Station tailrace, Rawson Island, Station No. 1 tailrace, and at the Spillway Lift through the Gatehouse Ladder exit and compare the findings to the performance goals in Article 310. The Licensee shall develop a report by February 1 of Years 11 and 12 for adult American Shad summarizing the effectiveness study findings and provide it to MDFW, NMFS, and USFWS. The Licensee shall consult MDFW, NMFS, and USFWS on the effectiveness study results and determine what, if any, Tier 1 adaptive management measures (AMMs) from the table below may be implemented.

The Licensee's implementation of Tier 1 AMMs, if warranted, will be informed by the initial effectiveness testing results. While the overall passage efficiency goal is 75% in 48 hours, there are four locations (or nodes) of interest, where the Licensee can provide enhancements as part of the AMMs for upstream passage efficiency including Cabot Station, Rawson Island, Station No. 1 and the Spillway Lift. If the individual passage efficiency at all four locations is 90% or higher, or if the overall passage efficiency goals are met, no Tier 1 AMMs will be implemented. If the individual passage efficiency at any of the four locations is less than 90%, the Licensee shall target Tier 1 enhancements to achieve an individual location passage efficiency of 90% or higher. However, if the Licensee, MDFW, NFMS, and USFWS agree that improvements can be made at other nodes that would improve the overall passage efficiency a comparable amount as an enhancement to achieve an individual location/node to at least 90%, then that enhancement can be implemented.

If warranted, the Licensee shall consult MDFW, NMFS and USFWS on when to implement the Tier 1 AMMs.

Tier 1 Adaptive Management Measures Effectiveness Testing of Adult American Shad- Years 13 and 14

The Licensee shall conduct Tier 1 AMM effectiveness testing in Years 13 and 14 and conduct the following:

- The Licensee shall compare the effectiveness study results to the performance goals in Article 310.
- The Licensee shall provide the effectiveness study report to MDFW, NMFS and USFWS by February 1 of Years 14 and 15.

- At the election of the Licensee, the Licensee may provide the effectiveness study report to an Independent Peer Review Panel (IPRP) of experts to evaluate the study results. The IPRP will consist of one member selected by the Licensee, one member selected collectively by MDFW, NMFS, and USFWS, and one member selected jointly by the Licensee, MDFW, NMFS, and USFWS. After the IPRP's review of the effectiveness study findings, the IPRP will evaluate the ability to achieve the upstream fish passage performance goals in Article 310 and provide a summary report of its findings to the Licensee, MDFW, NMFS, and USFWS within 3 months of receiving the effectiveness study report.
- If the 75% passage efficiency/48-hour time-to-pass performance goal is not met, the Licensee shall consult MDFW, NMFS, and USFWS to determine whether the 75% passage efficiency goal is achievable or should be reduced, and/or the 48-hour time-to-pass goal is achievable or should be increased. Any modifications to the 75% passage efficiency/48-hour time-to-pass must be agreed to by the Licensee, MDFW, NMFS, and USFWS.
- The Licensee shall consult MDFW, NMFS, and USFWS to determine what, if any, AMMs will be implemented.
- The Licensee shall file the effectiveness study report and documentation of any AMMs with the Commission.

If warranted, the Licensee shall consult MDFW, NMFS and USFWS on when to implement either the remaining Tier 1 AMMs and/or Tier 2 AMMs.

<u>Tier 1 and/or Tier 2 Adaptive Management Measures Effectiveness Testing of Adult American Shad- Years 18 and 19</u>

The Licensee shall conduct any Tier 1 and/or Tier 2 AMM effectiveness testing in Years 18 and 19 and conduct the following:

- The Licensee shall compare the effectiveness study results to the performance goals in Article 310.
- The Licensee shall provide the effectiveness study report to MDFW, NMFS and USFWS by February 1 of Years 19 and 20.
- The Licensee shall file the effectiveness study report and documentation of any AMMs with the Commission.

If, after the Licensee implements additional Tier 1 AMMs and/or Tier 2 AMMs, the overall passage efficiency is greater than 65% or a lesser number as agreed to by the Licensee, MDFW, NMFS, and USFWS, and the overall time-to-pass is less than 60 hours or a higher number as agreed by the same group, then MDFW, NMFS, and USFWS will not exercise any reserved or other regulatory authority to require additional upstream fish passage measures or operational changes.

MDFW, NMFS, and USFWS have agreed, consistent with the terms of the Flows and Fish Passage Settlement Agreement (March 2023), not to exercise any reserved or other regulatory authority regarding upstream passage to request or require any AMMs other than those listed in the table below for the first 25 years of the license. In addition, MDFW, NMFS, and USFWS have agreed, consistent with the terms of the settlement agreement, that they will not request or require Cabot Station shutdowns or a lift at Cabot Station over the life of the license.

Effectiveness Testing of Juvenile American Eel- Year 14

The Licensee shall conduct effectiveness testing in Year 14 to evaluate the internal efficiency of the permanent eelway structure(s) and compare the findings to the performance goals in Article 310.

Upstream Adaptive Management Measures- Tier 1 and 2

Adaptive Management Measure (if needed)	Schedule
Tier 1	
<u>Cabot Tailrace and Rawson Island Nodes</u> • Upon license issuance, the Total Minimum Bypass Flow below Station No. 1 from June 1 to June 15 is 4,500 cfs (see Article A120). This AMM includes increasing the Total Minimum Bypass Flow below Station No. 1 from June 1 to June 15 to 6,500 cfs until 90% of the American Shad run enter the Spillway Lift, upon which the Total Minimum Bypass Flow below Station No. 1 will revert to 4,500 cfs. If this adaptative management measure is enacted and after two years of effectiveness testing, it improves the fish passage efficiency and time-to-pass goals, this change may be implemented throughout the remainder of the license, subject to other adaptive management measures. However, even after this change, the 6,500 cfs will revert to 4,500 cfs when 90% of the adult American Shad run enter the Spillway Lift before or within the June 1 to 15 period. The indicator as to when the 90% of the adult American Shad run passes will be determined using a predictive model to be developed by the Licensee in consultation with MDFW, NMFS, and USFWS. The Licensee shall file with the Commission the predictive model results within 6 months of license issuance and it will be updated and/or refined with data collected over intervening years. If this change is implemented, from June 1 to June 15, the Minimum Flow below the Turners Falls Dam (Article A110) must be 4,290 cfs or the NRF, whichever is less; and the Total Minimum Bypass Flow below Station No. 1 (Article A120) must be 6,500 cfs or the NRF, whichever is less. <u>Station No. 1 Node</u> • Shift the distribution of the Total Minimum Bypass Flow below Station No. 1 (Article A120) to increase the Total Minimum Flow below Turners Falls Dam (Article A110) from April 1 to June 30 until 90% of the adult American Shad run enter the Spillway Lift, upon which it will revert back to the flow requirements in Articles A110 and A120. The Total Minimum Bypass Flow below Station No. 1 remains the same from April 1 to June 30 as described in Article A120. <u>Spillway Lift</u> • Adjust the new plunge pool release and/or bascule gate operation and/or, • Adjust the new fish lift attraction water and entrance conditions and/or, • Adjust the timing and frequency of lift operations and/or; • Adjust the entrance gate.	Years of Initial Effectiveness Testing: **Years 10-11** Time Needed to Implement AMM(s): **Year 0** since all Tier 1 AMMs are operational Years of Post AMM Effectiveness Testing: **Years 13-14**

Adaptive Management Measure (if needed)	Schedule
Tier 2	
Cabot Tailrace Node • Install a behavioral barrier near the Cabot Station tailrace to guide fish upstream for passage at the Turners Falls Dam. If this AMM is implemented, then the Total Minimum Bypass Flow below Station No. 1 (Article A120) will be reduced from 6,500 cfs to 4,500 cfs (Tier 1 AMM) from June 1 to June 15 for the period of testing the Tier 2 measures. At the end of Tier 2 testing (and provided that the 6,500 cfs extension is not needed to significantly improve passage efficiency or time-to-pass at Rawson Island) either the increased flow of 6,500 cfs (June 1 to June 15) will be implemented or the behavioral barrier but not both unless it is demonstrated that both are needed to make a substantial improvement in passage efficiency or time-to-pass. Rawson Island Node • If it is determined that the river channel adjacent to Rawson Island is inhibiting upstream fish passage, then constructing a zone of passage is an AMM. Prior to conducting any work associated with this AMM, the Licensee shall consult MDFW, NMFS, USFWS, recreational boating and Tribal interests and the Massachusetts Natural Heritage and Endangered Species Program (NHESP) on the design of the zone of passage. If the zone of passage is constructed, then the Total Minimum Bypass Flow below Staton No. 1 will be reduced from 6,500 cfs to 4,500 cfs (Tier 1 AMM) from June 1 to June 15 for the period of testing the Tier 2 measures. At the end of Tier 2 testing (and provided that the 6,500 cfs extension is not needed to significantly improve passage efficiency or time-to-pass at Rawson Island) the 6,500 cfs will be reduced back to 4,500 cfs. Station No. 1 Node • Install a behavioral barrier near the Station No. 1 tailrace to guide fish upstream for passage at the Turners Falls Dam. If this AMM is implemented, then the Turners Falls Dam Spill/Sum of Fall River, Turners Falls Hydro, LLC, Milton Hilton, LLL and Station No. 1 flow split will be returned to the 67%/33%, respectively, from April 1 to June 30. At the end of Tier 2 testing, either the increased Turners Falls Dam Minimum Flow component of the flow split used in Tier 1 will be implemented or the behavioral barrier but not both unless it is demonstrated that both are needed to make a substantial improvement in passage efficiency or time to pass. Turners Falls Dam/Fish Lift Node • Internal structural modifications to improve hydraulics for fish movement, as necessary.	Time Needed to Implement AMM(s): **Year 15-16** Shakedown: **Year 17** Years of Post AMM Effectiveness Testing: **Years 18-19**

Article A340. Fishway Operating Periods[1]

The Licensee shall operate the fishways during the following periods:

Upstream eel passage	May 1 to November 15
Upstream anadromous	April 4 to July 15
Downstream passage	April 4 to November 15

[1]Future refinement of the timing on an annual or permanent basis may be made by the MDFW, NMFS, and USFWS based on new information and after consultation with the Licensee.

Article A350. Fish Passage Facilities Operation and Maintenance Plan

The Licensee shall develop and implement a Fish Passage Facilities Operations and Maintenance Plan (FOMP). The FOMP shall detail how and when the fishways will be operated and describe routine maintenance activities that will occur both during and outside of the fish passage season. The FOMP will include a provision to provide annual fishway Operation and Maintenance (O&M) reports that summarize the status of the fish passage facilities, identify needed repairs or equipment replacement, etc. The O&M report shall be submitted to the MDFW. NMFS, and USFWS by January 31 annually. The FOMP shall be developed in consultation with and require approval by the MDFW, NMFS, and USFWS prior to submitting the final FOMP to the FERC for approval.

The FOMP shall be completed no later than 6 months after license issuance for the interim upstream eel passage which will be placed into service within 1 year of license issuance per Article A300, and for existing fish passage facilities (i.e., Cabot downstream fish bypass; Cabot Ladder; Spillway Ladder; and Gatehouse Ladder). Thereafter, the same FOMP shall be amended by the Licensee within 6 months prior to the following:

- Any fish passage structures are placed into service, as outlined in the schedule in Article A300;
- Any AMM's are placed into service, as outlined in the schedule in Articles A320 and A330; and,
- Any operational or facilities modifications resulting from new information obtained from operation of the fish passage facilities pursuant to the annual O&M reports.

FOMP provisions dealing with facilities that are decommissioned over the term of the license may be dropped from revisions of the FOMP after decommissioning.

Article A400. Bald Eagle Protection Plan

The Licensee shall implement the Bald Eagle Protection Plan dated January 2023.

Article A410. Bat Protection Measures

The Licensee shall implement the following measures to protect state or federally listed bat habitat: (1) avoid cutting trees equal to or greater than 3 inches in diameter at breast height within the Turners Falls Project boundary from April 1 through October 31, unless they pose an immediate threat to human life or property (hazard trees); and (2) where non-hazard trees need to be removed, only remove non-hazard trees between November 1 and March 31.

Turners Falls Hydroelectric Project
(FERC Project Number 1889)

Bald Eagle Protection Plan

:

JANUARY 2023

BACKGROUND

The purpose of this plan is to guide the Licensee's management and maintenance of lands at the Turners Falls Hydroelectric Project (Project) over the new license term for the protection of bald eagles.

Although bald eagles have been removed from the endangered species list, bald and golden eagles are still protected under multiple federal laws and regulations including the Bald and Golden Eagle Protection Act and the Migratory Bird Treaty Act.

Bald eagles winter along the Connecticut River in the Project area. Bald eagles are known to perch in riverbank trees and forage over the Connecticut River in Project vicinity. As part of licensing, several bald eagles, adults and juveniles, have been observed perching or foraging in the Turners Falls Impoundment (TFI) and Northfield Mountain in both 2014 and 2015, and three occupied bald eagle nests were located within the study area. These nests were found downstream on Third Island (below Cabot Station), near Smead Island, Barton Island in Barton Cove, and along the east bank of the TFI across from Stebbins Island in the upper reaches of the TFI. Since the study, the Licensees staff at the Northfield Mountain Visitor Center have provided anecdotal information on two additional eagle nests located within the TFI. One is located in the vicinity of Kidd's Island either on the Island or the eastern shore in the Town of Northfield and one in Turners Falls, on the hillside in the general vicinity of the Turners Falls Airport runway.

PROTECTION MEASURES

Given the nature and scope of Project operations, no adverse effects on bald eagles are anticipated. In the event that tree removal or construction activities are necessary at the Project, the Licensee shall implement the conservation measures described below to avoid effects to bald eagles.

Prior to any tree clearing within the Project boundary or areas immediately adjacent to the Project boundary by the Licensee or its contractors, the area to be cleared will be observed for bald eagle nests by the Licensee. If practicable, the Licensee should also survey for nests within 660 feet of the proposed clearing because nests adjacent to clearing may also be indirectly affected. If such nests are discovered, the Licensee shall consult the Massachusetts Division of Fisheries and Wildlife (MDFW) and the United States Fish and Wildlife Service (USFWS) prior to tree-clearing activities and the tree-clearing activities shall be performed in accordance with the applicable regulations and guidance (i.e., the National Bald Eagle Management Guidelines, USFWS 2007, or as amended).

During the nesting season (January 1 through September 30), no tree clearing will occur within 330 feet of, and no construction activities will occur within 660 feet of, any known bald eagle nests by the Licensee or its contractors. The National Bald Eagle Management Guidelines advise against conducting external construction and land clearing activities within 660 feet of bald eagle nests during the breeding season. Additionally, the Guidelines recommend maintaining a year-round buffer between nests and tree clearing of at least 330 feet and a year-round buffer between external construction and nests of either 330 or 660 feet, depending on the construction's size, visibility, and local precedence. For any project-related construction activities, work that requires blasting or other activities that produce extremely loud noises within 1/2 mile of active nests will be avoided. The Licensee shall consult with the MDFW and USFWS regarding tree clearing or construction activities that cannot meet these conditions.

Appendix B. Protection, Mitigation, and Enhancement Measures Recommended to be Included in the New Northfield Mountain Pumped Storage Project License

Appendix B: Draft License Articles- Northfield Mountain Pumped Storage Project

Article B100. Project Operations

Upon license issuance, the Licensee shall:

(a) operate the Northfield Mountain Pumped Storage Project in accordance with its existing agreement with the United States Army Corps of Engineers (USACE). This agreement, memorialized in the Reservoir and River Flow Management Procedures (1976), as it may be amended from time to time, governs how the Project will operate during flood conditions and coordinate its operations with the Licensee of the Turners Falls Hydroelectric Project (FERC No. 1889).

(b) operate the Northfield Mountain Pumped Storage Project upper reservoir between elevation 1004.5 and 920.0 feet National Geodetic Vertical Datum of 1929 (NGVD29).

Article B200. Fish Intake Protection and Consultation

Intake Protection

The Licensee shall install a barrier net in front of the Northfield Mountain tailrace/intake, having 3/8-inch mesh on the top and ¾-inch mesh on the bottom. The barrier net design shall be based on the conceptual design in the Amended Final License Application filed with the Commission in December 2020, as modified through consultation with MDFW, NMFS, and USFWS, from June 1 to November 15 to protect out-migrating American Shad and adult American Eel, to be operational no later than June 1 of Year 7 after license issuance.

Consultation

The Licensee shall consult and obtain approval from MDFW, NMFS, and USFWS on the barrier net design and on operation and maintenance procedures. The Licensee shall consult MDFW, NMFS, and USFWS at the 30%, 60%, 90% and 100% design plan milestones. The Licensee shall file the 100% design plans with the Commission, along with documentation of consultation with MDFW, NMFS, and USFWS.

The Commission reserves the right to require changes to the design plans. Implementation of the design plans must not begin until the Licensee is notified by the Commission that the design plans are approved. Upon Commission approval, the Licensee shall implement the design plans, including any changes required by the Commission.

Article B210. Initial Intake Protection Effectiveness Testing and Fish Passage Performance Goals

Initial Effectiveness Testing

The Licensee shall complete construction of the Northfield Mountain barrier net, operate the barrier net for one season (shakedown year), and conduct representative and quantitative effectiveness testing in Years 10 and 11 to evaluate the downstream fish passage survival and time-to-pass compared to the performance goals below.

Consultation Process on Effectiveness Study Plans

For any initial fish passage effectiveness studies and any subsequent fish passage effectiveness studies required after implementing any AMMs described in Article B220, the Licensee shall provide the effectiveness study plans to MDFW, NMFS, and USFWS and request comments on the study plans within

30 days. The Licensee shall consult MDFW, NMFS, and USFWS and obtain their approval on the study plans before conducting the effectiveness study. The Licensee shall file the effectiveness study plans with the Commission, along with any consultation records.

Fish Passage Performance Goals

The Licensee shall compare the effectiveness study results to the following fish passage performance goals:

- 95% of juvenile American Shad arriving 500 meters upstream of the Northfield Mountain Pumped Storage Project tailrace survive migration past the Northfield Mountain Pumped Storage Project tailrace within 24 hours.
- 95% of adult American Shad arriving 1 kilometer upstream of the Northfield Mountain Pumped Storage Project tailrace survive migration past the Northfield Mountain Pumped Storage Project tailrace within 24 hours.
- 95% of American Eel arriving 1 kilometer upstream of the Northfield Mountain Pumped Storage Project tailrace survive migration past the Northfield Mountain Pumped Storage Project tailrace within 48 hours of a flow event. The definition of what constitutes a flow event shall be determined by the Licensee in consultation with MDFW, NMFS, and USFWS during effectiveness study plan development.

Article B220. Downstream Fish Passage- Initial Effectiveness Studies, Adaptive Management Measures and Subsequent Effectiveness Studies

Initial Effectiveness Studies- Years 10 and 11

The Licensee shall conduct initial effectiveness testing in Years 10 and 11 (Article B210) to evaluate the fish passage survival and time-to-pass of the newly constructed barrier net and compare the findings to the performance goals in Article B210. The Licensee shall develop a report by February 1 of Years 11 and 12 for adult American Shad and by April 1 of Years 11 and 12 for juvenile American Shad and adult American Eel summarizing the survival study findings and provide it to MDFW, NMFS, and USFWS. The Licensee shall consult MDFW, NMFS, and USFWS on the effectiveness study results and determine what, if any, adaptive managements measures (AMMs) may be implemented from the table below. The Licensee shall file a report with the Commission to include the effectiveness testing report and documentation of any AMMs agreed to by the Licensee, MDFW, NMFS, and USFWS, along with any consultation records. If warranted, the Licensee shall consult MDFW, NMFS and USFWS on when to implement any Round 1 AMMs.

Effectiveness Testing of Round 1 AMMs - Years 14 and 15

The Licensee shall conduct Round 1 AMM effectiveness testing in Years 14 and 15. The Licensee shall:

- Compare the effectiveness study results to the performance goals in Article B210.
- Provide the effectiveness study report to MDFW, NMFS, and USFWS by February 1 of Years 15 and 16 for adult American Shad and by April 1 of Years 15 and 16 for juvenile American Shad and adult American Eel.
- Consult MDFW, NMFS, and USFWS to determine what, if any AMMs may be implemented from the table below.
- File the effectiveness study report and documentation of any AMMs with the Commission.

If warranted, the Licensee shall consult MDFW, NMFS and USFWS on when to implement any Round 2 AMMs.

Effectiveness Testing of Round 2 AMMs - Years 17 and 18

The Licensee shall conduct Round 2 AMM effectiveness testing in Years 17 and 18. The Licensee shall follow the same consultations steps bulleted above; however, the Licensee shall provide the effectiveness study report to MDFW, NMFS, and USFWS by February 1 of Years 18 and 19 for adult American Shad and by April 1 of Years 18 and 19 for juvenile American Shad and adult American Eel.

MDFW, NMFS, and USFWS have agreed, consistent with the terms of the Flows and Fish Passage Settlement Agreement (March 2023), not to exercise any reserved or other regulatory authority regarding passage to request or require any AMMs other than those listed in the table below for the first 25 years of the license. In addition, they have agreed, consistent with the settlement agreement, not to request or require pumping restrictions at any time over the life of the license.

Downstream Adaptive Management Measures

Adaptive Management Measure (if needed)	Timing
Northfield Mountain Intake/Tailrace • Alter the arrangement and size of the net panels (e.g. extend depth of the smaller panels). • Improve maintenance measures for the net.	Initial Effectiveness Testing of Barrier Net: **Years 10-11**. Round 1 AMM Effectiveness Testing (if needed): **Years 14-15** Round 2 AMM Effectiveness Testing (if needed): **Years 17-18**

Article B230. Fishway Operating Periods[1]

The Licensee shall operate the barrier net for downstream passage from June 1 to November 15.

[1]Future refinement of the timing may be made by the MDFW, NMFS, and USFWS based on new information and after consultation with the Licensee.

Article B240. Fish Passage Facility Operation and Maintenance Plan for Barrier Net

The Licensee shall develop and implement a Fish Passage Facilities Operations and Maintenance Plan (FOMP) for the barrier net. The FOMP shall detail how and when the barrier net will be operated and describe routine maintenance activities that will occur both during and outside of the downstream fish passage season. The FOMP will include a provision to provide annual fishway Operation and Maintenance (O&M) reports that summarize the status of the barrier net, identify needed repairs or equipment replacement, etc. The O&M report shall be submitted to the MDFW, NMFS, and USFWS by January 31 annually. The FOMP shall be developed in consultation with and require approval by the MDFW, NMFS, and USFWS prior to submitting the final FOMP to the FERC for approval.

The FOMP shall be completed no later than 6 months prior to the barrier net being placed into service, as outlined in the schedule in Article B200. Thereafter, the same FOMP shall be amended by the Licensee within 6 months prior to the following:

- Any AMM's are placed into service, as outlined in Articles B220; and,
- Any operational or facility modifications resulting from new information obtained from operation of the barrier net pursuant to the annual O&M reports.

Article B300. Bald Eagle Protection Plan

The Licensee shall implement the Bald Eagle Protection Plan dated January 2023.

Article B310. Bat Protection Measures

The Licensee shall implement the following measures to protect state or federally listed bat habitat: (1) avoid cutting trees equal to or greater than 3 inches in diameter at breast height within the Northfield Mountain Pumped Storage Project boundary from April 1 through October 31, unless they pose an immediate threat to human life or property (hazard trees); and (2) where non-hazard trees need to be removed, only remove non-hazard trees between November 1 and March 31.

Northfield Mountain Project
(FERC Project Number 2485)

Bald Eagle Protection Plan

:

JANUARY 2023

BACKGROUND

The purpose of this plan is to guide the Licensee's management and maintenance of lands at the Northfield Mountain Pumped Storage Project (Project) over the new license term for the protection of bald eagles.

Although bald eagles have been removed from the endangered species list, bald and golden eagles are still protected under multiple federal laws and regulations including the Bald and Golden Eagle Protection Act and the Migratory Bird Treaty Act.

Bald eagles winter along the Connecticut River in the Project area. Bald eagles are known to perch in riverbank trees and forage over the Connecticut River in Project vicinity. As part of licensing, several bald eagles, adults and juveniles, have been observed perching or foraging in the Turners Falls Impoundment (TFI) and Northfield Mountain in both 2014 and 2015, and two occupied bald eagle nests were located within the study area. These nests were found downstream on Third Island (below Cabot Station), near Smead Island, Barton Island in Barton Cove, and along the east bank of the TFI across from Stebbins Island in the upper reaches of the TFI. Since the study, the Licensees staff at the Northfield Mountain Visitor Center have provided anecdotal information on two additional eagle nests located within the TFI. One is located in the vicinity of Kidd's Island either on the Island or the eastern shore in the Town of Northfield and one in Turners Falls, on the hillside in the general vicinity of the Turners Falls Airport runway.

PROTECTION MEASURES

Given the nature and scope of Project operations, no adverse effects on bald eagles are anticipated. In the event that tree removal or construction activities are necessary at the Project, the Licensee shall implement the conservation measures described below to avoid effects to bald eagles.

Prior to any tree clearing within the Project boundary or areas immediately adjacent to the Project boundary by the Licensee or its contractors, the area to be cleared will be observed for bald eagle nests by the Licensee. If practicable, the Licensee should also survey for nests within 660 feet of the proposed clearing because nests adjacent to clearing may also be indirectly affected. If such nests are discovered, the Licensee shall consult the Massachusetts Division of Fisheries and Wildlife (MDFW) and the United States Fish and Wildlife Service (USFWS) prior to tree-clearing activities and the tree-clearing activities shall be performed in accordance with the applicable regulations and guidance (i.e., the National Bald Eagle Management Guidelines, USFWS 2007, or as amended).

During the nesting season (January 1 through September 30), no tree clearing will occur within 330 feet of, and no construction activities will occur within 660 feet of, any known bald eagle nests by the Licensee or its contractors. The National Bald Eagle Management Guidelines advise against conducting external construction and land clearing activities within 660 feet of bald eagle nests during the breeding season. Additionally, the Guidelines recommend maintaining a year-round buffer between nests and tree clearing of at least 330 feet and a year-round buffer between external construction and nests of either 330 or 660 feet, depending on the construction's size, visibility, and local precedence. For any project-related construction activities, work that requires blasting or other activities that produce extremely loud noises within 1/2 mile of active nests will be avoided. The Licensee shall consult with the MDFW and USFWS regarding tree clearing or construction activities that cannot meet these conditions.

Appendix C. Measures Agreed to Among the Parties But Not to be Included in New Project License

ENVIRONMENTAL

Section C101. Ichthyoplankton Mitigation Fund (Northfield Mountain Project)

The Licensee of the Northfield Mountain Pumped Storage Project (FERC No. 2485) shall provide funding for habitat improvement projects and/or alosine management activities to offset the potential loss of ichthyoplankton through entrainment at the Northfield Mountain Pumped Storage Project. The Licensee shall make payments to the United States Fish and Wildlife Service or its designee per the schedule below by February 1 of each identified year.

Year after License Issuance	Amount
1	$112,800
13	$35,000
15	$220,000
20	$90,000
25	$110,000
30	$294,000
35	$125,000
40	$132,481
45	$177,000
Total	$1,296,281

Section C102. Cobblestone Tiger Beetle Fund (Turners Falls Project)

The Licensee of the Turners Falls Project (FERC No. 1889) shall provide funding for Cobblestone Tiger Beetle (CTB) conservation and management activities to provide a long-term net benefit to CTB in Massachusetts. The Licensee shall make payments to the Massachusetts Division of Fisheries and Wildlife or its designee per the schedule below by February 1 of each identified year.

Year after License Issuance	Amount
4	$50,000
5	$80,000
6	$100,000
7	$150,000
8	$150,000
9	$150,000
10	$150,000
11	$75,000
12	$75,000
Total	$980,000

OPERATIONS

Section C103. Agency Support for Flow Data from Licensee of Vernon Hydroelectric Project (Turners Falls and Northfield Mountain Projects)

The Massachusetts Division of Fisheries and Wildlife (MDFW) shall independently request from the Commission, at the same time the Settlement Agreement is filed, that the Licensee of the Vernon Hydroelectric Project (Vernon Project, FERC No. 1904) shall provide to the Licensees of the Turners Falls Hydroelectric Project (FERC No. 1889) and Northfield Mountain Pumped Storage Project (FERC No. 2485) the following upon license issuance:

- Electronically provide by 8:00 am of each day, the next day's 24 hour anticipated Vernon Project total discharge. The next day's 24-hour anticipated Vernon Project total discharge will be updated once the day ahead power bidding market closes and Independent System Operator-New England (ISO-NE) issues the day ahead schedule. If ISO-NE updates the day ahead hourly Vernon Project total discharge, then that revised schedule shall be provided to the Licensees within 2 hours of the Vernon Project Licensee receiving an update from ISO-NE.
- Electronically provide the instantaneous Vernon Hydroelectric Project total discharge and tailwater elevation.

Section C104. Licensee Reporting on Flow Stabilization below Cabot Station Measures for Years 1 -3 after License Issuance (Turners Falls Project)

Upon license issuance, the Licensee shall implement the proposed Flow Stabilization below Cabot Station as defined in Article A160. *Flow Stabilization below Cabot Station and Allowable Deviations for Flexible Operations*[1], recognizing that it will not be required to demonstrate to the Federal Energy Regulatory Commission (FERC), or the Parties, that it is meeting the Flow Stabilization below Cabot Station requirements until the third (3rd) anniversary of the date of license issuance. The Licensee shall provide the Parties an annual report (by March 1 of the following year) for Years 1 and 2 and quarterly reports for Year 3 to demonstrate substantive progress towards implementing the Flow Stabilization below Cabot Station. Quarterly reports for January 1 to March 31, April 1 to June 30, July 1 to September 30 and October 1 to December 31 shall be provided to the Parties by June 1, September 1, December 1 and March 1 (of the following year), respectively.

[1]The Flow Stabilization below Cabot Station is based on providing a percentage of the naturally routed flow (NRF). The NRF is defined in Article A110. *Minimum Flows below Turners Falls Dam* as follows:

From December 1 through June 30, the NRF is defined as the hourly sum of the discharges from 12 hours previous as reported by the: Vernon Hydroelectric Project (FERC No. 1904), Ashuelot River United States Geological Survey gauge (USGS, Gauge No. 01161000), and Millers River USGS gauge (Gauge No. 01166500).

From July 1 through November 30, the NRF is defined as the hourly sum of the discharges averaged from 1 to 12 hours previous as reported by the: Vernon Hydroelectric Project, Ashuelot River USGS gauge, and Millers River USGS gauge. Upon license issuance until 3 years thereafter, the Licensee shall operate the Turners Falls Project based on the NRF computational method from July 1 through November 30 to determine if the Turners Falls Project can be operated in this manner. If the Turners Falls Project cannot

be operated in this manner, the Licensee shall consult Massachusetts Division of Fisheries and Wildlife, National Marine Fisheries Service and United States Fish and Wildlife Service on alternative means of computing the NRF that are feasible for Turners Falls Project operation and sufficiently dampen upstream hydroelectric project flexible operations.

Appendix D. Authorized Representatives of the Parties

FirstLight

Justin Trudell
Chief Operating Officer
FirstLight Power
111 Soth Bedford Street, Suite 103
Burlington, MA 01803
Phone: 781-653-4247
Email: justin.trudell@firstlightpower.com

American Whitewater

Bob Nasdor
Northeast Stewardship & Legal Director
American Whitewater
65 Blueberry Hill Lane
Sudbury, MA 01776
Phone: 617-584-4566
Email: bob@americanwhitewater.org

Appalachian Mountain Club

Mark Zakutansky
Director of Conservation Policy Engagement
Appalachian Mountain Club
45 Jordan Road, PO Box 527
Albrightsville, PA 18210
Phone: 610-868-6915
Email: mzakutansky@outdoors.org

Crab Apple Whitewater, Inc.

Frank Mooney
River Manager/Ownership Family
Crab Apple Whitewater, Inc.
PO Box 295
Charlemont, MA 01339
Phone: 413-824-1842
Email: frank@crabapplewhitewater.com

Massachusetts Division of Fisheries and Wildlife

Todd Richards
Assistant Director of Fisheries
Massachusetts Division of Fisheries and Wildlife
1 Rabbit Hill Road
Westborough, MA 01581
Phone: 508-389-6336
Email: todd.richards@mass.gov

Everose Schluter
Assistant Director of Natural Heritage & Endangered Species Program
Massachusetts Division of Fisheries and Wildlife
1 Rabbit Hill Road
Westborough, MA 01581
Phone: 508-389-6346
Email: eve.schluter@mass.gov

National Marine Fisheries Service

Christopher Boelke
Chief, New England Branch
Habitat and Ecosystem Services Division
NOAA Fisheries, Greater Atlantic Regional Fisheries Office
55 Great Republic Drive
Gloucester, MA 01930
Phone: 978-281-9131
Email: christopher.boelke@noaa.gov

New England Flow

Tom Christopher
New England FLOW
240 Fort Pond Road
Lancaster, MA 01523
Phone: 508-331-4889
Email: tom.christopher@comcast.net

The Nature Conservancy

Katie Kennedy
Applied River Scientist
North America Region
The Nature Conservancy
PO Box 32
Chesterfield, MA 01012
Phone: 413-588-1959
Email: kkennedy@tnc.org

United States Fish and Wildlife Service

Supervisor
New England Field Office
U.S. Fish and Wildlife Service
70 Commercial Street, Suite 300
Concord, NH 03301-5087
Phone: 603-223-2541
Email: newengland@fws.gov

Zoar Outdoor

Janet Cowie
Zoar Outdoor
PO Box 245
Charlemont, MA 01339
Phone: 413-339-4010
Email: janet@zoaroutdoor.com